

Finance Update at Month 7

Item 2

Governing Body
 7 December 2017

1. Executive Summary

Key Duties	Year to date	Forecast	Key Issues
Deliver £13.2m Surplus (CCG's Control Total) against Commissioning Revenue Resource Limit (RRL) + RCA combined	(£7.7m) Under Spend	(£13.2m) Under Spend	The surplus brought forward from 2016/17 was £11.6m. In 2017/18, the CCG has to make progress towards a 1% surplus as part of an overall control total for South Yorkshire and Bassetlaw CCGs and has agreed an in year surplus of £1.6m with NHS England to take the overall planned surplus to £13.2m. Whilst we are still forecast achievement of this planned surplus, there are significant pressures and risks to be managed against our programme allocation if we are to deliver this position.
a) Achieve a surplus against the Programme Allocation	(£6.6m) Under Spend	(£11.5m) Under Spend	A number of risks and challenges need to be managed (see section 2). Significant financial pressures continue in terms of acute activity, as well as in relation to primary care prescribing. If these pressures continue at the current rate, the CCG has insufficient contingency reserves to cover these risks. There are also significant risks associated with the full delivery of the QIPP plan with a balance of c£2m with no supporting schemes. NHS England requires CCGs to take action where unmitigated net risks exist. This is discussed further in section 2. Hence a RAG rating of red indicating the need for urgent additional actions.
b) Remain within Running Cost Allowance (RCA) of £12.72m.	(£1.1m) Under Spend	(£1.7m) Under Spend	At the plan stage, we agreed £0.3m of our surplus should come from the RCA noting that this would present challenges as we look to deliver an ambitious service change programme. Subsequently QIPP, underspends from vacancies and release of running cost reserves have been identified, increasing the projected surplus to £1.7m.
Remain within Cash Limit (i.e. Maximum draw down set by NHS England)	£3.9m closing balance	Breakeven	The CCG's maximum draw down for 2017/18 is £849.9m. To remain within this limit, which requires the revenue position to be brought in on plan, cash payments will need to be managed to meet this target.
Hold 0.5% of CCG resources as a reserve to be released only with agreement of NHSE	£3.4m	£3.4m	The CCG is holding a 0.5% reserve, which demonstrates compliance with this requirement.

Key:

Red	Significant risk of non-delivery. Additional actions need to be urgently pursued.
Amber	Medium risk of non-delivery requires additional management effort.
Green	Low risk of non-delivery – current management effort should deliver success.

2.0 Summary of the reported position

The overall position is summarised in table 1 below.

Table 1: Summary Position at 30 September 2017	Annual Budget £'000s	Year to Date Variance £'000s	Forecast Variance £'000s	Forecast Variance %
Acute Hospital Care	395,354	7,982	13,998	3.5%
Mental Health & Learning Disabilities	81,389	442	622	0.8%
Primary & Community Services (Incl. CHC)	246,143	(54)	136	0.1%
Primary Care	88,391	(350)	(126)	-0.1%
Reserves including planned surplus	40,497	(14,651)	(26,163)	
Programme Costs	851,774	(6,631)	(11,533)	-1.4%
Running Costs (analysis in section 2.1.12)	12,722	(1,088)	(1,670)	-13.1%
Year to date and Year end Surplus	862,458	(6,665)	(13,203)	-1.5%

Further detail can be found at Appendix A (at summary level) and a more detailed position by programme category is then provided in Appendix B.

Table 2 below shows the forecast over/(under)spend variances from budget by main category of spend with a comparison against Month 6

Summary of Reported Position at Month 7	Variance at M7 £m	Variance at M6 £m	Change £m
Planned Care	4.9	2.6	2.3
Urgent Care	6.6	5.4	1.2
Other acute care	2.5	3.7	(1.1)
Mental Health & learning disabilities	0.6	(0.3)	0.9
Community services	0.5	0.6	(0.2)
Continuing care /FNC	(1.2)	(0.5)	(0.6)
Primary Care	(0.1)	(0.2)	0.1
Prescribing	0.8	(0.5)	1.3
Running Costs excluding planned surplus	(1.1)	(0.4)	(0.7)
Forecast out-turn net pressure at month 7	13.5	10.3	3.2
<u>Mitigated by release of reserves:</u>			
Slippage from commissioning reserves	(5.1)	(2.1)	(3.1)
Release of general contingency reserves	(8.4)	(8.3)	(0.1)
	(13.5)	(10.3)	(3.2)

Figures are subject to roundings

We are reporting a £6.7m year-to-date (YTD) surplus broadly in line with our plan for this stage of the year but this is only being achieved by releasing £7.3m or c87% of our general contingency reserves.

We are also reporting achievement of the planned year end surplus of £13.2m but because we have seen as, shown in table 2 above, significant additional pressures emerge which we need to incorporate into the reported position, maintaining delivery of the out-turn plan is only achieved by:

- release of the totality of our general contingency reserves of £8.4m (incorporating a marginal £0.1m increase in reserves in month)
- holding vacancies/constraining other spend within our running costs allocation by a further forecast £0.7m
- Incorporating additional expected benefits from contractual challenge and CQUIN non delivery by local providers of £0.8m
- contributing £5.1m from specific reserves. This latter has only been achieved by further “freezing” of developments, fortuitous slippage in spend or confirmed receipt of additional resources where we had previously been incurring costs against our baseline allocation. We have had to find an additional £3.1m of such reserves/resources as shown in the table 2 above in month, leaving virtually no flexibility to manage any further cost pressures or crystallisation of risk over the winter.

At Month 6 we reported “un-covered” risk of £3m – that is risk which has a good probability of materialising and for which we have no confirmed contingency actions or reserves in place to manage. We have again assessed such risk at circa £3m at Month 7 (although a different combination of risks). This position partly reflects the additional pressures that have emerged in month and for which we have needed to take the actions discussed above. Thus as per the executive summary, delivery of the planned surplus continues to be RAG rated as Red – i.e. significant risk of non-delivery: additional actions need to be urgently pursued.

Governing Body is meeting in a private development session on 7 December 2017 and will receive a more detailed briefing on the position and possible options for additional actions to manage this risk.

3 Further Information on Key Budgets

Acute Hospital Activity: Sheffield Teaching Hospitals (STH) is by far the most significant contract in value terms as it is planned to account for £382m (including the MSK and Walk in Centre contracts).

Year to date: At month 7, the budget for the contract overall is £7.6m (3.3%) overspent, this shows a worsening of the position as the contract was 2.5% overspent at month 6.

A breakdown of the key contract variances by activity and price is shown in the table below. The other main contract variances are on high cost drugs and direct access diagnostics.

	Activity Variance	Cost variance	Variance (£000's)	Volume of activity (£000's)	Pricing element (£000's)
Planned Inpatients	6.2%	5.2%	£ 1,446	£ 1,705	(£ 259)
Outpatient Firsts	2.8%	1.6%	£ 184	£ 320	(£ 135)
Outpatient Follow-Ups	5.2%	9.4%	£ 1,174	£ 652	£ 523
Urgent Inpatients	3.4%	5.2%	£ 3,327	£ 2,173	£ 1,154
A&E	-0.3%	1.1%	£ 123	(£ 26)	£ 149
			£ 6,254	£ 4,823	£ 1,432

The CCG and STHFT are still working together to review the significant case mix impact in non-electives – this is proving to be challenging to fully understand because of the range of complex factors involved.

The table below shows the cumulative average target and actual price per emergency admission at the end of each month this year. In general the trend appears to be downwards; however our current assumption is that the remainder of the year continues at an average of £2,122 per spell.

	Target £'000	Actual £'000
Apr-17	2,089	2,145
May-17	2,089	2,173
Jun-17	2,088	2,179
Jul-17	2,088	2,148
Aug-17	2,088	2,147
Sep-17	2,087	2,134
Oct-17	2,086	2,122

QIPP schemes which should result in reduced spend with STHFT currently stand at £12.8m but only £3.6m is phased into the first 7 months of the year. We have assessed that circa £2.1m of this £3.6m has been delivered to date.

The levels of activity which has not been fully coded within the contract data have increased again in October, overall 0.3% of total activity was un-coded at the end of M7 (0.2% last month). This chiefly relates to Octobers activity is probably a result of STHFT focusing on ensuring that all activity to the end of Quarter 2 has been fully coded in time for the contract freeze date. An estimate of £1.5m for the cost of this activity has been included in the year to date position (this was £1.1m last month).

Monitoring information indicates the outpatient queue at the end of October remains around 4% higher than March, whilst the inpatient waiting list appears to have reduced by 2.1% since March (5.1% down last month). The trust has now corrected the issue with reporting the inpatient waiting list.

Performance against the Incomplete RTT (Referral to Treatment) target of 92% remains high at 96.14% for October (April 95.3% May 96.1%, June 95.9%, July 95.8%, August 95.7%, September 95.74%).

Forecast Out-turn: Based on the month 7 data, we are forecasting an overall £13.6m over-spend which is an increase of £2m against the position reported last month. £1.8m of this movement relates to the assessment of how much QIPP will be delivered against plan by the end of the year . In addition to this there still remains significant risk around delivering the predicted this level of savings by the end of the year.

Month 7 data from **Sheffield Children's (SCH)** shows a large increase in activity compared to plan and previous forecasts. Both Planned and Urgent Care were much higher than profiled at M7. Extrapolating the year to date position including Month 7 means the year end forecast variance was deteriorated by £0.52m. There is now

expected to be an overspend against the SCH contract by £0.46m, the majority of which reflects QIPP slippage of £0.4m.

Mental Health: Mental Health forecast spend has increased by £0.9m, due to a patient who has been discharged from NHS England specialist services. Previously part of this cost was included within the risk assessment. The cost included in the position may change up or down when discussions are concluded with NHSE and the patient's current provider of care.

Continuing Health Care (CHC) and Funded Nursing Care (FNC): Adults CHC has a year to date underspend of £0.5m with an expected underspend of nearly £1.1m by year end based on our latest assessment of know packages of care and what may happen for the rest of the year in terms of potential net "run rate" of new patients and average package costs. There were no material changes in either the Children CHC or FNC forecast activity and spend this month.

Primary Care: The year to date position is an underspend of £0.35m largely due to the phasing of some expenditure e.g. the provisions made for future list size increases and additional premises costs that are likely to be required later in the financial year, as well as underspends against locally commissioned services. A small underspend of £0.2m is forecast by year end in relation to locally commissioned services.

Prescribing: Prescribing data is available for April - September, with a local estimate, based on sample data, available for October. Actual September volume was higher than the original local estimate and the No Cheaper Stock Obtainable (NCSO) cost pressure mentioned in last month's report increased further. With a revaluation of the rebate QIPP scheme, Prescribing QIPP is now showing a £0.3m under delivery against a £3m target. With all these factors the forecast year end spend has been increased by £1.3m to an overspend of £0.9m.

One of the biggest risks to increased prescribing spend is due to NCSO, which relates to a number of drugs having a shortage of supply and therefore prices are higher or more expensive alternatives have been prescribed. The cost pressure from this has been increasing since April; with June at £270k, July £300k, August £400k and September £500k. The forecast position assumes the average pressure from June-September will continue for the remainder of the financial year giving a total pressure of c£3.8m. Nationally provided estimates show the cost pressure could be reducing from November however this could quickly change as different drugs costs are affected by NCSO. If the current November forecast is correct and continues the forecast would decrease by £0.8m however if September level of extra costs were to be maintained this would increase year end spend by over £0.8m.

As detailed in previous reports, price reductions for certain drugs (Category M) came into effect from August 2017 but this financial benefit will be transferred back to NHS England to ensure an appropriate level of national contingency reserves. The latest calculation of this is just over £1m transfer for Sheffield which is incorporated into our forecast.

Quality Innovation, Productivity & Prevention (QIPP): A separate QIPP report is available each month to Governing Body members from the Director of Commissioning and Performance. The latest assessment is that we will only achieve c£11m of the original £21.6m target and this disappointing position is fully incorporated into either the reported financial position or the uncovered risk position discussed above. Further actions

to mitigate or reduce the level of non delivery are under way and will be discussed with Governing Body members on 7 December.

Section 75 Framework Partnership Agreement (Better Care Fund): Appendix E shows the citywide position in relation to the Better Care Fund. Overall, with a forecast overspend of £9.9m. Of this, £3.7m relates to SCC services within the BCF (which is a small deterioration of £0.4m, mainly as a result of lower than previously assumed slippage on capital grants).

Information reported on the CCG expenditure within the Better Care Fund (BCF) is included within the overall CCG reported position in appendix A to D. It should be noted that the CCG overspend relating to services included in the BCF has increased by £1.1m mainly due to increased acute medicine emergency admissions as well as slippage in terms of delivery of the mental health QIPP.

0.5% Non-Recurrent Reserve: NHS England business rules require that all CCGs plan on retaining 0.5% (£4.1m including £0.4m relating to primary care) uncommitted to support the overall NHS financial position. Despite our locally very challenged financial position, we are continuing to comply with this requirement.

Running Costs: The £12.7m running cost allocation is summarised in the table below. At the planning stage Governing Body approved a planned underspend of £0.3m towards delivery of the overall surplus. Various QIPP schemes were then identified, totalling £250k, which increased this figure to £0.55m. At month 7 further slippage is reported in relation to uncommitted reserves and slippage on recruitment to vacant posts.

Category	Annual Budget £'000s	YTD Variance £'000s	Forecast Variance £'000s
Pay	8,910	(145)	(236)
Non Pay	4,162	(442)	(227)
Income	(1,425)	(180)	(287)
Running Costs Reserve	525	0	(370)
Running Costs Planned Surplus	550	(321)	(550)
Running Cost Budget	12,722	(1,088)	(1,670)

Additional Income: Under the Financial Management principles approved by Governing Body we are seeking to maximise additional income, over and above the CCG's core allocation, to be able to support delivery of our commissioning intentions. A table summarising the additional allocations secured to date is attached at Appendix F. Any known slippage from this income is now factored into the 2017/18 forecast.

4. Delivery of Cash Position

The CCG was notified of a maximum cash drawdown limit of £849.9m at month 7. The total cash used to the end of October was £441.3m against a requested cash drawdown of £439.3m, other income of £5.8m and a brought forward balance of £141k. The cash balance at bank at the end of the month was £3.9m. A minimal cash balance at bank is planned for the year end.

5. Better Payment Practice Code

The Better Payment Practice Code requires the clinical commissioning group to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later.

Measure of compliance	12mths to Oct-17 Number	12mths to Oct-17 £'000
Non-NHS Payables		
Total Non-NHS Trade invoices paid in the Year	15,976	200,284
Total Non-NHS Trade Invoices paid within target	15,711	199,285
Percentage of Non-NHS Trade invoices paid within target	98.34%	99.50%
NHS Payables		
Total NHS Trade Invoices Paid in the Year	4,862	566,374
Total NHS Trade Invoices Paid within target	4,842	565,698
Percentage of NHS Trade Invoices paid within target	99.59%	99.88%

Recommendations

Governing Body is asked to note the risk assessment and existing mitigations to manage the risks to deliver the CCG's year end control total of a £13.2m surplus.

Paper prepared by: Jackie Mills, Deputy Director of Finance and Chris Cotton, Senior Finance Manager

On behalf of: Julia Newton, Director of Finance

30 November 2017

	Year to Date: October				Year End Forecast Out-turn					Forecast Variance @ Month 6 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		Change from prev month*	
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%		
PROGRAMME COSTS										
Revenue Resource Limit	489,240	489,240	0	0.0%	851,774	851,774	0	0.0%	→	0
EXPENDITURE										
Acute Hospital Care										
Elective	90,894	93,214	2,320	2.6%	153,026	157,882	4,856	3.2%	↑	2,605
Urgent care	86,392	89,761	3,369	3.9%	143,370	149,969	6,599	4.6%	↑	5,385
Other Acute Care / Ambulance Services	57,711	60,003	2,292	4.0%	98,958	101,501	2,543	2.6%	↓	3,674
	234,996	242,978	7,982	3.4%	395,354	409,352	13,998	3.5%	↑	11,664
Mental Health & Learning Disabilities										
Mental Health & Learning Disabilities	48,352	48,795	442	0.9%	81,389	82,011	622	0.8%	↑	(259)
Community Services										
Elective Community Care	18,928	18,789	(139)	-0.7%	34,587	34,331	(257)	-0.7%	↓	(215)
Urgent Community Care	2,963	2,973	10	0.3%	5,039	5,056	17	0.3%	↑	0
Intermediate Care & Reablement	26,405	26,645	240	0.9%	44,983	45,395	412	0.9%	↓	449
Long Term Care and End of Life	35,133	34,381	(752)	-2.1%	60,856	59,687	(1,169)	-1.9%	↓	(544)
Prescribing	56,276	56,631	355	0.6%	96,778	97,608	830	0.9%	↑	(492)
Other Commissioning	2,181	2,413	232	10.6%	3,900	4,202	303	7.8%	↓	393
	141,887	141,832	(54)	0.0%	246,143	246,279	136	0.1%	↑	(410)
Primary Care										
Primary Care Co-commissioning	40,112	40,031	(81)	-0.2%	71,102	71,148	46	0.1%	↑	(39)
Locally Commissioned Primary Care Services	9,242	8,973	(269)	-2.9%	17,289	17,118	(172)	-1.0%	↑	(183)
	49,354	49,004	(350)	-0.7%	88,391	88,266	(126)	-0.1%	↑	(222)
Reserves										
Reserves	14,651	0	(14,651)	-100.0%	40,497	14,333	(26,163)	-64.6%	↓	(23,000)
TOTAL EXPENDITURE - PROGRAMME COSTS	489,240	482,609	(6,631)	-1.4%	851,774	840,241	(11,533)	-1.4%	↑	(12,227)
(UNDER)/OVER SPEND - Programme Costs	0	(6,631)	(6,631)		0	(11,533)	(11,533)		↑	(12,227)
RUNNING COSTS ALLOWANCE										
Running Cost Funding	7,075	7,075	0	0.0%	12,722	12,722	0	0.0%	→	0
Total Running Cost Expenditure	7,075	5,987	(1,088)	-15.4%	12,722	11,052	(1,670)	-13.1%	↓	(975)
(UNDER)/OVER SPEND - Running Costs	0	(1,088)	(1,088)		0	(1,670)	(1,670)		↓	(975)
TOTAL										
Revenue Resource Limit	496,315	496,315	0	0.0%	864,496	864,496	0	0.0%	→	0
Expenditure	496,315	488,596	(7,719)	-1.6%	864,496	851,293	(13,203)	-1.5%	→	(13,203)
TOTAL (A)	0	(7,719)	(7,719)		0	(13,203)	(13,203)		→	(13,203)

* ↑ = deterioration, ↓ = improvement, → = no change.

Appendix A (cont)

RESOURCE LIMIT ALLOCATIONS	Revenue			Maximum Cash Drawdown incl Capital		
	Recurrent £'000s	Non Rec £'000s	Total £'000s	Confirmed £'000s	Anticipated £'000s	Total £'000s
Programme Costs				849,894		849,894
Initial CCG Programme Allocation	748,941		748,941			
Primary Care Co-Commissioning	76,122		76,122			
CHIS funding currently in Sheffield Children's Hospital	(249)		(249)			
PMS Premium - Co Commissioning	362		362			
PYE transfer of One Health July - March 2017	66		66			
PYE transfer of Claremont July- March 2017	250		250			
PYE April-June 2017 transfer of Claremont	86		86			
PYE April-June 2017 transfer of One health	21		21			
Budget re suspended doctors transferred with other Co-Comm in error	(94)		(94)			
PMCF - GP Access Fund and TA Improving Access Allocations	854		854			
IR changes		(94)	(94)			
HRG4+ changes		(2,190)	(2,190)			
Planned surplus carry forward from 2016/17		11,620	11,620			
Surplus/Deficit Carry Forward - 1617 Final Outturn		4	4			
Reception and clerical training		101	101			
Diabetes Treatment and Care Transformation Fund		143	143			
NHS WiFi		164	164			
Market rents adjustment		(580)	(580)			
Paramedic Rebanding Additional Funding 2017-18		179	179			
TB allocations Qtr 1		17	17			
Cancer Alliance Running Cost FY 2017/18		275	275			
Cancer Phase 1 Early Diagnosis Q1 2017/18		805	805			
£176,961 - HSCN - GP funding , £59,917 - HSCN - CCG funding		237	237			
CYPT IAPT Trainee staff support costs		7	7			
Adult IAPT Wave 2		453	453			
Acute hospital urgent & emergency liaison mental health services		134	134			
Transfer NHCN - CCG funding from programme to running costs		(60)	(60)			
Infrastructure funding for STPs		281	281			
Acute hospital urgent and emergency liaison mental health services		134	134			
Adult IAPT Wave 2		453	453			
STP comms and engagement		175	175			
Cancer Phase 1 Early diagnosis Q2		805	805			
Cancer Phase 1 LWBC South Yorks		132	132			
Additional month5 IR Changes - agreed by J Stalker Booth		(6)	(6)			
PMCF - GP Access Fund and TA Improving Access Allocations	2,642		2,642			
Structured Education: Diabetes Treatment and Care Transformation Fund		18	18			
Treatment Targets: Diabetes Treatment and Care Transformation Fund		41	41			
MDFT: Diabetes Treatment and Care Transformation Fund		28	28			
DISN: Diabetes Treatment and Care Transformation Fund		56	56			
CYP IPC Personal Health Programme		70	70			
South Yorkshire & Bassetlaw ACS Transformation Funds		7,333	7,333			
UEC Transformation Funding – SYB STP		312	312			
CCG LTBI Q2 funding - TB reserve		17	17			
Early Diagnosis funding		805	805			
Living with and beyond cancer funding		66	66			
Cancer 62 day wait tranche 3 North funding		114	114			
CYP IAPT Trainee staff support costs		7	7			
Acute hospital urgent and emergency liaison mental health services		133	133			
Early Implementers Wave 2 Third payment		716	716			
Occupational Health for Dentists	(132)		(132)			
Month 7 Programme Costs Resource Limit	828,869	22,905	851,774	849,894	0	849,894
Running Costs						
Initial Running Costs allocation	12,634		12,634			
Market rents - Admin adjustment		28	28			
Transfer NHCN - CCG funding from programme to running costs		60	60			
Month 7 Running Cost Resource Limit	12,634	88	12,722			
CLOSING LIMITS (B)	841,503	22,993	864,496	849,894	0	849,894

Memo Table: Planned Surplus

	£'000
Historic Surplus b/f	3,500
Plus release of 1% reserve in 2016/17 added to surplus b/f	8,124
Cumulative surplus carried forward from 2016/17	11,624
Planned increase to surplus in 2017/18	1,579
Total planned surplus for 2017/18	13,203

Balance of surplus required to be 'drawn up' in future years (to meet business rules)	2,608
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Memo Table: Forecast In Year Financial Performance

Total Allocation 17/18 from the above table	864,496
Less cumulative surplus carried forward from 2016/17	-11,624
In Year Allocation	852,872

Expenditure:

Forecast Expenditure (Programme Spend plus Running Costs)	851,293
Forecast under/(over)-spend against in year allocation	1,579

	Year to Date: October				Forecast Out-turn				Forecast Variance @ Month 6 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	
PROGRAMME COSTS									
Revenue Resource Limit	489,240	489,240	0	0%	851,774	851,774	0	0%	0
EXPENDITURE									
Acute Hospital Care									
Planned Care									
Sheffield Teaching Hospitals NHS FT	80,443	83,207	2,763	3%	135,019	140,675	5,656	4%	3,600
Sheffield Children's NHS FT	6,539	6,357	(182)	-3%	11,425	11,114	(311)	-3%	(502)
Other NHS Trusts	1,981	1,905	(76)	-4%	3,386	3,224	(162)	-5%	(229)
ISTC & Extended Choice	1,930	1,746	(184)	-10%	3,195	2,869	(327)	-10%	(264)
Planned Care	90,894	93,214	2,320	3%	153,026	157,882	4,856	3%	2,605
Urgent Care									
Sheffield Teaching Hospitals NHS FT	75,892	79,187	3,295	4%	125,342	131,786	6,444	5%	5,517
Sheffield Children's NHS FT	6,946	6,985	40	1%	11,907	11,992	85	1%	(203)
Other NHS Trusts	3,554	3,589	35	1%	6,121	6,191	70	1%	70
ISTC & Extended Choice	0	0	0	#DIV/0!	0	0	0	#DIV/0!	0
Urgent Care	86,392	89,761	3,369	4%	143,370	149,969	6,599	5%	5,385
Other Acute Care / Ambulance Services									
Sheffield Teaching Hospitals NHS FT	38,879	40,364	1,486	4%	66,579	68,106	1,527	2%	2,503
Sheffield Children's NHS FT	983	1,434	451	46%	1,702	2,384	682	40%	640
Other NHS Trusts	1,088	1,000	(89)	-8%	1,860	1,683	(177)	-10%	(177)
ISTC & Extended Choice	23	23	0	0%	54	54	0	0%	0
Ambulance Services	13,830	14,050	219	2%	23,727	23,976	249	1%	249
Other Acute Services	2,908	3,132	224	8%	5,037	5,299	263	5%	460
Other Acute Care	57,711	60,003	2,292	4%	98,958	101,501	2,543	3%	3,674
Mental Health & Learning Disabilities									
Sheffield Health and Social Care NHS FT	43,274	43,274	(0)	0%	73,298	73,156	(142)	0%	(142)
Sheffield Children's NHS FT	2,905	2,906	0	0%	4,980	4,986	6	0%	5
Local Authority	1,636	1,636	0	0%	2,178	2,178	0	0%	0
Other Mental Health Services	537	979	442	82%	933	1,691	759	81%	(122)
Mental Health & Learning Disabilities	48,352	48,795	442	1%	81,389	82,011	622	1%	(259)
Community Services									
Planned Care									
Sheffield Teaching Hospitals NHS FT	4,800	4,800	0	0%	8,229	8,229	0	0%	0
Sheffield Children's NHS FT	2,348	2,348	0	0%	4,026	4,026	0	0%	0
Local Authority	10,919	10,874	(45)	0%	20,851	20,774	(77)	0%	(60)
Development Nurses	255	161	(94)	-37%	438	258	(180)	-41%	(155)
Other Community Services	605	605	0	0%	1,044	1,044	0	0%	0
Planned Community Care	18,928	18,789	(139)	-1%	34,587	34,331	(257)	-1%	(215)
Urgent Care									
111	780	790	10	1%	1,297	1,314	17	1%	0
Out of Hours	2,183	2,183	0	0%	3,742	3,742	0	0%	0
Urgent Community Care	2,963	2,973	10	0%	5,039	5,056	17	0%	0
Intermediate Care & Reablement									
Sheffield Teaching Hospitals NHS FT	24,222	24,222	(0)	0%	41,241	41,241	0	0%	0
Local Authority	1,060	1,060	0	0%	1,817	1,817	0	0%	0
Community Equipment	1,123	1,363	240	21%	1,925	2,337	412	21%	449
Intermediate Care	26,405	26,645	240	1%	44,983	45,395	412	1%	449
Long Term Care and End of Life									
Continuing Care	26,616	26,337	(279)	-1%	46,198	45,456	(742)	-2%	(359)
Continuing Healthcare Assessments	1,403	995	(407)	-29%	2,462	2,142	(320)	-13%	(104)
Continuing Care - IFRs	39	31	(8)	-21%	67	60	(8)	-12%	(7)
Funded Nursing Care	4,472	4,417	(55)	-1%	7,666	7,572	(94)	-1%	(127)
St Lukes Hospice	1,362	1,355	(8)	-1%	2,335	2,322	(13)	-1%	(11)
Sheffield Teaching Hospitals NHS FT	1,241	1,246	5	0%	2,128	2,136	8	0%	64
Long Term Care	35,133	34,381	(752)	-2%	60,856	59,687	(1,169)	-2%	(544)
GP Prescribing									
Prescribing	55,339	55,720	381	1%	95,153	96,010	857	1%	(467)
Medicines Management Team	937	911	(26)	-3%	1,625	1,598	(27)	-2%	(25)
Prescribing	56,276	56,631	355	1%	96,778	97,608	830	1%	(492)
Other Commissioning									
Other Commissioning	2,181	2,413	232	11%	3,900	4,202	303	8%	393
Other Commissioning	2,181	2,413	232	11%	3,900	4,202	303	8%	393
Primary Care									
Co-Commissioning									
Core Contract	28,994	28,971	(23)	0%	49,704	49,704	0	0%	0
Premises	5,672	5,660	(12)	0%	9,669	9,698	29	0%	(45)
QoF	3,266	3,267	1	0%	7,574	7,574	0	0%	0
Enhanced Services	654	531	(123)	-19%	1,521	1,371	(150)	-10%	(150)
Primary Care Other	1,526	1,603	77	5%	2,634	2,801	167	6%	156
Primary Care Reserve	0	0	0	0%	0	0	0	0%	0
Locally Commissioned Primary Care Services	9,242	8,973	(269)	-3%	17,289	17,118	(172)	-1%	(183)
Primary Care	49,354	49,004	(350)	0%	88,391	88,266	(126)	0%	(222)
Reserves									
Commissioning Reserves	0	0	(0)	-100%	14,999	9,907	(5,091)	-34%	(2,072)
General Contingency Reserve	7,270	0	(7,270)	-100%	8,373	0	(8,373)	-100%	(8,275)
Primary Care	0	0	0	0%	726	680	(46)	0%	0
0.5% Non Recurrent Reserve	0	0	0	0%	3,746	3,746	0	0%	0
Planned Surplus	7,380	0	(7,380)	-100%	12,653	0	(12,653)	-100%	(12,653)
Reserves	14,651	0	(14,651)	-100%	40,497	14,333	(26,163)	-65%	(23,000)
TOTAL EXPENDITURE - PROGRAMME COSTS	489,240	482,609	(6,631)	-1%	851,774	840,241	(11,533)	-1%	(12,227)
(UNDER)/OVER SPEND - Programme Costs	0	(6,631)	(6,631)		0	(11,533)	(11,533)		(12,227)
RUNNING COSTS ALLOWANCE									
Funding net of £1.5m transfer to commissioning budgets	7,075	7,075	0	0%	12,722	12,722	0	0%	0
EXPENDITURE									
Accountable Officer	1,164	1,128	(36)	-3%	1,991	1,978	(13)	-1%	(28)
Commissioning & Performance	1,723	1,562	(162)	-9%	2,933	2,783	(150)	-5%	(66)
Finance & Facilities	1,788	1,441	(347)	-19%	3,173	2,835	(338)	-11%	(4)
Nursing, Quality & Workforce	1,562	1,430	(132)	-8%	2,674	2,520	(154)	-6%	(49)
Transformation & Delivery	518	427	(91)	-18%	875	780	(95)	-11%	(29)
Running Cost Reserve	0	0	0	0%	525	155	(370)	-71%	(150)
Running Cost Planned surplus	321	0	(321)	-100%	550	0	(550)	-100%	(550)
TOTAL EXPENDITURE - RUNNING COSTS	7,075	5,987	(1,088)	-15%	12,722	11,052	(1,670)	-13%	(975)
(UNDER)/OVER SPEND - Running Costs	0	(1,088)	(1,088)		0	(1,670)	(1,670)		(975)
TOTAL (UNDER)/OVER SPEND	0	(7,719)	(7,719)		0	(13,203)	(13,203)		(13,203)

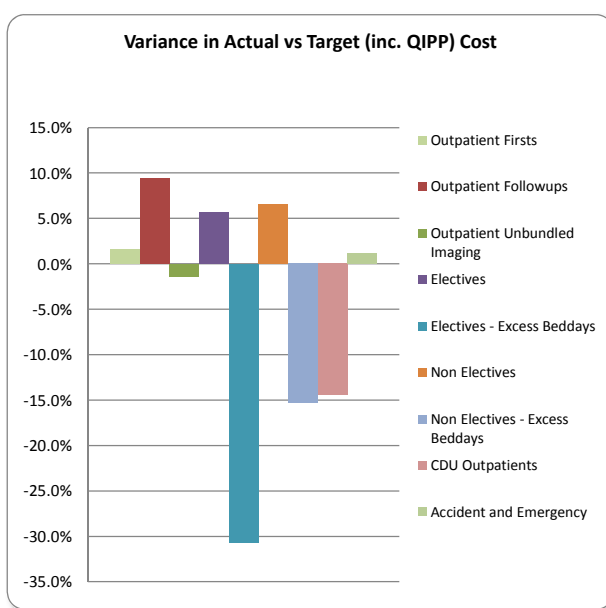
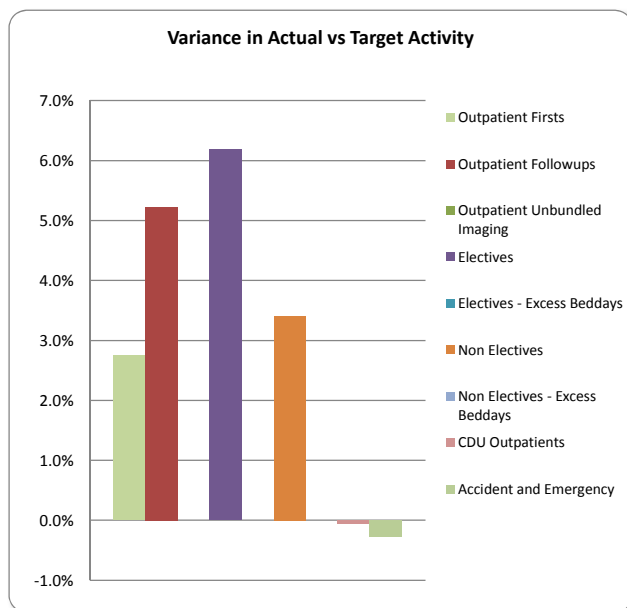
Appendix C

NHS Sheffield Clinical Commissioning Group
Finance Report 2017/18 - Financial Position for Period Ending 31 October 2017
Main Provider Contracts

	Year to Date: October				Year End Forecast Out-turn				
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000	£'000	£'000	%	£'000	£'000	£'000	%	
EXPENDITURE									
Sheffield Teaching Hospitals NHS FT									
Planned Care - STH	80,443	83,207	2,763	3.4%	135,019	140,675	5,656	4.2%	
Urgent Care - STH	75,892	79,187	3,295	4.3%	125,342	131,786	6,444	5.1%	
Community Care - STH	4,800	4,800	0	0.0%	8,229	8,229	0	0.0%	
Other Acute - STH	24,324	25,246	922	3.8%	41,698	42,128	430	1.0%	
High Cost Drugs - STH	7,665	8,316	651	8.5%	13,070	14,316	1,245	9.5%	
Maternity Services	6,890	6,803	(87)	-1.3%	11,811	11,662	(149)	-1.3%	
Primary Care - Out of Hours	2,183	2,183	0	0.0%	3,742	3,742	0	0.0%	
Intermediate Care & Reablement	24,222	24,222	(0)	0.0%	41,241	41,241	0	0.0%	
End of Life Care	1,241	1,246	5	0.4%	2,128	2,136	8	0.4%	
<i>Sub Total</i>	227,660	235,208	7,549	3.3%	382,280	395,914	13,634	3.6%	
Sheffield Children's NHS FT									
Planned Care - SCH	6,539	6,357	(182)	-2.8%	11,425	11,114	(311)	-2.7%	
Urgent Care - SCH	6,946	6,985	40	0.6%	11,907	11,992	85	0.7%	
Community Care - SCH	2,140	2,140	0	0.0%	3,668	3,668	0	0.0%	
Mental Health Services - SCH	2,905	2,906	0	0.0%	4,980	4,986	6	0.1%	
Other Acute - SCH	642	1,002	360	56.1%	1,117	1,643	526	47.1%	
High Cost Drugs - SCH	341	432	91	26.6%	585	741	156	26.6%	
Safeguarding	209	209	0	0.0%	358	358	0	0.0%	
<i>Sub Total</i>	19,722	20,031	309	1.6%	34,040	34,502	462	1.4%	
Sheffield Health and Social Care NHS FT									
Mental Health & Learning Disabilities	43,274	43,274	(0)	0.0%	73,298	73,156	(142)	-0.2%	
<i>Sub Total</i>	43,274	43,274	(0)	0.0%	73,298	73,156	(142)	-0.2%	
	290,655	298,513	7,857	2.7%	489,618	503,572	13,955	2.9%	

Sheffield CCG Commissioned Activity and Costs - August 2017

Sheffield Teaching Hospitals NHS FT



Point of Delivery	Year to Date Activity Plan	Year to Date Actual Activity	Variance	
			Activity	%
Outpatient Firsts	68,383	70,264	1,881	2.8%
Outpatient Followups	161,291	169,712	8,421	5.2%
Outpatient Unbundled Imaging				
Electives	32,710	34,731	2,021	6.2%
Electives - Excess Beddays				
Non Electives	30,544	31,586	1,042	3.4%
Non Electives - Excess Beddays				
CDU Outpatients	19,744	19,733	-11	-0.1%
Accident and Emergency	77,262	77,051	-211	-0.3%
Total				

Year to Date Budget	Actual Expenditure	Variance	
		£'000s	%
£'000s	£'000s	£'000s	%
11,623	11,807	184	1.6%
12,486	13,660	1,174	9.4%
2,323	2,291	-33	-1.4%
27,227	28,776	1,550	5.7%
365	253	-112	-30.7%
59,832	63,753	3,921	6.6%
3,878	3,284	-594	-15.3%
974	833	-141	-14.4%
9,628	9,737	109	1.1%
128,336	134,394	6,058	4.7%

MFF Uplift Applied to Contract Monitoring Costings at 2.9422% for PbR Activity Only

Includes PbR and Non-PbR Activity (and CDU (A&E) activity)

Includes Financial Adjustments and QIPP

A&E does not include Primary Care Access Centre activity or costs

There are some minor differences between the finance report and the BI version due to financial adjustments which are not reflected in BI data

Appendix E

NHS Sheffield Clinical Commissioning Group
Finance Report 2017/18 - Financial Position for Period Ending 31 October 2017
Memorandum: Section 75 - Better Care Fund

Theme	Year to Date: October				Year End Forecast Out-turn				Forecast Variance @ Month 6 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	
Citywide Position									
People Keeping Well in their local community	5,792	4,417	(1,375)	-23.7%	8,711	8,539	(172)	-2.0%	(113)
Active Support & Recovery	29,966	29,137	(829)	-2.8%	51,444	51,032	(412)	-0.8%	(331)
Independent Living Solutions	2,219	2,576	357	16.1%	3,744	4,325	581	15.5%	636
Ongoing Care	76,389	80,983	4,594	6.0%	132,644	138,721	6,076	4.6%	6,421
Emergency Medical Admissions - STH	34,713	38,208	3,495	10.1%	56,354	62,708	6,355	11.3%	5,714
Mental Health	59,049	59,101	51	0.1%	103,079	103,154	75	0.1%	(900)
Capital Grants	1,731	1,205	(526)	-30.4%	5,537	2,915	(2,622)	-47.3%	(3,030)
TOTAL EXPENDITURE	209,860	215,627	5,767	2.7%	361,514	371,396	9,882	2.7%	8,396
NHS Sheffield CCG									
People Keeping Well in their local community	1,115	878	(238)	-21.3%	1,959	1,782	(177)	-9.0%	(160)
Active Support & Recovery	25,283	25,283	0	0.0%	43,057	43,057	0	0.0%	0
Independent Living Solutions	1,123	1,363	240	21.4%	1,925	2,337	412	21.4%	449
Ongoing Care	28,186	27,886	(300)	-1.1%	48,279	47,811	(468)	-1.0%	(105)
Emergency Medical Admissions - STH	34,713	38,208	3,495	10.1%	56,354	62,708	6,355	11.3%	5,714
Mental Health	53,893	54,085	192	0.4%	93,847	93,916	69	0.1%	(811)
Capital Grants	0	0	0	0.0%	0	0	0	0.0%	0
CCG Total	144,312	147,702	3,390	2.35%	245,421	251,611	6,190	2.5%	5,086
Sheffield City Council (SCC)									
People Keeping Well in their local community	4,676	3,539	(1,137)	-24.3%	6,753	6,757	5	0.1%	47
Active Support & Recovery	4,683	3,855	(829)	-17.7%	8,387	7,975	(412)	-4.9%	(331)
Independent Living Solutions	1,096	1,213	117	10.6%	1,819	1,988	169	9.3%	187
Ongoing Care	48,204	53,097	4,893	10.2%	84,365	90,910	6,545	7.8%	6,526
Emergency Medical Admissions - STH	0	0	0	0.0%	0	0	0	0.0%	0
Mental Health	5,157	5,016	(140)	-2.7%	9,232	9,239	7	0.1%	(89)
Capital Grants	1,731	1,205	(526)	-30.4%	5,537	2,915	(2,622)	-47.3%	(3,030)
SCC Total	65,548	67,925	2,378	3.6%	116,093	119,785	3,692	3.2%	3,310

Notes:**Key elements of each theme are summarised below:**

People Keeping Well in their local community	Includes Care Planning, Health trainers/ Community Support Workers, Community Grants and Support to VCF sector, Public Health, Housing related support to Older People and other support services
Active Support & Recovery	Includes community nursing, Intermediate Care Beds, CICs, Transfer of Care Teams, STIT, Intermediate Care Assessment teams
Independent Living Solutions	Includes community equipment and adaptations
Ongoing Care	Includes CHC& FNC, Learning Disabilities, Adult Social Care. From April 2017, this excludes spend on mental health which is now included in the mental health theme.
Emergency Medical Admissions - STH	Includes Adult Inpatient Medical Emergency Admissions (excluding gastroenterology)
Mental Health	Includes all adult mental health services as commissioned by the CCG, with those for under 65 years purchased by SCC in 2017/18.

There are opportunities to bid for additional resources being held centrally and being released via STP / FYFV and other workstreams. To date we have been successful in securing the following:

Funding Name	Source	Provider	Description	Recurrent?	Funding £'000
Mental Health Liaison	NHSE through A&E Delivery Board	SHSC	Ensure Liaison service in STH meets Core 24 standard - additional A&E , ward, and medical liaison staffing	Non- Recurrent	536
IAPT	NHSE through STP	SHSC	Additional IAPT services covering Cardiology, NCCP, COPD, MSK, Cancer, Diabetes, CFS/ME, Irritable Bowel, Medically Unexplained Symptoms & Health Anxiety	Non- Recurrent	1,812
Integrated Personalised Commissioning	NHSE	Mainly CCG Costs	Project to increase integrated Personalised Commissioning and number of personal health budgets	Non- Recurrent	200
CYP IPC Project	NHSE	Mainly CCG Costs	Integrated Personal Commissioning for Looked After Children and Young People with Mental Health Support Needs Project	Non- Recurrent	70
Diabetes Prevention	NHSE	Various practices	Diabetes Prevention	Non- Recurrent	45
Diabetes Treatment & Care	NHSE	STH	Structural Education, Multi-Disciplinary Foot Team, Specialist Nursing	Non- Recurrent (expect some funding in 18/19)	405
	NHSE	CCG Internal	Currently determining plan for remainder		165
Digital inclusion	Good Things Foundation	TBC	Upskill patients with the use of healthcare technology to promote self-care		50
Cancer Alliance Running Cost FY 2017/18	NHSE	TBC		Non- Recurrent	275
Latent TB Initiative	NHSE	STH/Primary Care		Non- Recurrent	68
CYPT IAPT Trainee staff support costs	NHSE	SCH			7
Health Led Employment Trial	City Region	CCG	CCG leading on Health Led Employment Trial Procurement	Non- Recurrent	50
					3,683

In addition the CCG is in receipt of certain earmarked allocations linked to the Primary Care FYFV as follows:

Training Care Navigators and Practice Staff	NHSE	TBC	Part of GPFYFV, also £102k available in 18/19. Training staff to be able to signpost patients to other available services and help to free up GP resource	Non-Recurrent	101
GP Extended Access	NHSE	PCS	Initial continuation of 4 primary care hubs, with view to exploring possible future options. £3,535k available in 18/19	Non-Recurrent	3,479
On-Line Consultation Systems	NHSE	TBC	Part of GPFYFV, also £203k available in 18/19. For practices to purchase on-line consultation systems improving access and making full use of clinicians' time	Non-Recurrent	153
HSCN					177