Working with you to make Sheffield HEALTHIER

NHS Sheffield Clinical Commissioning Group

Finance Report at Month 3 - Governing Body Update

1. Executive Summary

Key Duties	Year to date	Forecast	Key Issues
Deliver £18.0m Surplus (CCG's Control Total) against Commissioning Revenue Resource Limit (RRL) + RCA combined	(£4.6m) Under Spend	(£18.0m) Under Spend	The surplus brought forward from 2017/18 was £18.0m. **For 2018/19, the CCG has been set an in-year breakeven control total by NHS England, i.e. we are required to maintain our brought forward cumulative surplus.** We are forecasting achievement of this surplus but there remain a range of pressures and risks to be managed throughout the year.
a) Achieve a surplus against the Programme Allocation	(£4.2m) Under Spend	(£17.5m) Under Spend	At this early stage of the financial year, there are a number of risks and challenges that need to be managed (see section 2). In particular, there are significant risks associated with full delivery of the QIPP plan of £18.5m, with £2.4m of schemes still to be identified. Hence a RAG rating of amber indicating the need for additional actions which are being progressed.
b) Remain within Running Cost Allowance (RCA) of £12.66m.	(£0.4m) Under Spend	(£0.5m) Under Spend	At the plan stage, we agreed £0.3m of our surplus should come from the RCA. Subsequently an additional £100k was identified from non pay budgets. At month 3 further savings have been reported, mainly relating to slippage on recruitment to vacant posts.
Remain within Cash Limit (i.e. Maximum draw down set by NHS England)	£0.7m closing balance	Breakeven	The CCG's maximum draw down for 2018/19 notified in June was £861.4m. To remain within this limit, which requires the revenue position to be brought in on plan, cash payments will need to be managed to meet this target.

Key:

Red	Significant risk of non-delivery. Additional actions need to be urgently pursued.
Amber	Medium risk of non-delivery requires additional management effort.
Green	Low risk of non-delivery – current management effort should deliver success.

2.0 Summary of the reported position

The overall position is summarised in table 1 below.

Table 1: Summary Position at 30 June 2018	Annual Budget £'000s	Year to Date Variance £'000s	Forecast Variance £'000s	Forecast Variance %
Acute Hospital Care	410,012	747	3,329	0.8%
Mental Health & Learning Disabilities	88,254	24	300	0.3%
Primary & Community Services (Incl. CHC)	247,455	(419)	(1,046)	-0.4%
Prescribing	95,779	98	(448)	-0.5%
Collaborative Working	1,906	(18)	0	0.0%
Reserves including planned surplus	24,717	(4,601)	(19,603)	
Programme Costs	**868,123**	**(4,169)**	**(17,468)**	**-2.0%**
Running Costs (analysis in section 2.1.12)	12,661	(381)	(536)	-4.2%
Year to date and Year end Surplus	**880,784**	**(4,550)**	**(18,004)**	**-2.0%**

Figures are subject to rounding

We are reporting an overall year-to-date (YTD) surplus of £4.6m, which is in line with our planned YTD surplus of £4.4m. The reported forecast is that the CCG will meet the planned cumulative surplus of £18m by achieving our required in year breakeven plan. There are, however, a number of key risks and issues that need to be managed to achieve this.

Further detail of spend in different areas can be found at Appendix A (at summary level) and a more detailed position by programme category is then provided in Appendix B. Appendix C provides a summary of the financial position for our main contracts with Sheffield providers (STHFT, SCFT and SHSCT), with further detail in Appendix D on the activity and spend for STHFT. Appendix E summarises the overall position for budgets within the scope of the BCF arrangement with Sheffield City Council.

The largest area of risk to delivery of the overall financial position identified to date relates to QIPP delivery. Further information on this is contained in the separate month 3 QIPP report. As noted in the Executive Summary, there are currently no agreed plans in place to deliver £2.4m of the total £18.5m plan, which is a significant risk. Work is underway, supported by Deloittes (funded by NHS England) to review current plans and options for identifying additional schemes or bringing forward future plans. In addition, there are differential risks associated with the remaining schemes and so it is imperative that we maintain the focus on the delivery of all the schemes.

A full assessment of the key risks to delivery of the overall financial position and any further mitigating actions which may be required to manage this position, will be included in the month 4 report to be presented to Governing Body on 6 September 2018.

3 Further Information on Key Budgets

Acute Hospital Activity:

Sheffield Teaching Hospitals (STH) is by far the most significant contract in value terms as it is planned to account for £401m (including the MSK and Walk in Centre contracts). At month 3, we are reporting a £0.8m (0.8%) overspend for the contract overall (compared to

forecast overspend at month 2 of £0.2m). It is important to note that the phasing of QIPP savings means that £1.4m of the £9.2m attributed to the STH contract is phased into the first 3 months and any under delivery of this QIPP in the first quarter is incorporated in this overall year to date overspend against contract.

The problems with the data received from STH described last month (how their systems assign the commissioner to be billed) appear largely resolved but will be fully tested when the formal contract Quarter 1 reconciliation work is carried out (throughout August / September). However, as the outpatient queue as currently reported is significantly higher than March 2018 we are seeking further intelligence to determine whether the apparent 15% increase is correct. The Inpatient queue at the end of June appears to be 4.7% below March levels.

The number of uncoded spells has increased in Month 3 as there is still a back log of spells to be fully coded. 26% of Non-elective activity and 13% of all Elective spells across the whole quarter, are currently not coded with an estimated value of £12m in total. This does affect our ability to accurately forecast expenditure, monitor the impact of QIPP schemes and restricts the usefulness of analysing specialty level cost and activity data. STHFT have stated that they are confident that Months 1 – 3 coding will be complete by the contractual freeze data for Quarter 1 in mid August.

We are now forecasting a year-end position of a £3m over-spend on the STHFT contract but critically this assumes that all £9.2m of QIPP will be delivered. QIPP risk is considered in the separate Month 3 QIPP report.

Month 3 data from **Sheffield Children's (SCH)** shows an under performance against plan by £123k. This is as a result of urgent care services being under plan by £161k, this is partially offset by a small overspend within Outpatients of £38k. The forecasted spend is an underspend against plan of £358k which is based on current levels of activity and cost with an assumption that Urgent Care activity will not continue at the same level of underspend for the whole year.

Other NHS Acute contracts show a year to date overspend of £132k due to over performance against plan for Planned care services at Rotherham and Chesterfield hospitals. Based on the phasing of our plan the expected forecast is an overspend of £543k if this level of additional activity continues.

Independent sector contracts are currently showing an underspend of £58k which has resulted in a forecast underspend of £225k with the assumption that the under performance levels continue through the year.

Mental Health Services: An overspend of £219k is shown against the **Local Authority**, relating to the Mental Health pooled budget. This is due to the sharing of savings being made as part of the joint Mental Health transformation plan. It is offset by the underspend within CHC.

Continuing Health Care (CHC) and Funded Nursing Care (FNC): Adults CHC has a year to date underspend of £211k with an expected underspend of £445k by year end. The year to date underspend is due to a lower number of patients in receipt of CHC packages than budgeted for. We budgeted for a small net increase in patients each month and to date we have had a small net decrease. As CHC patient numbers and spend can be volatile the forecast is based on the planned growth in patient numbers for the remainder of the year. FNC is also underspent year to date due to reduced patient

numbers in receipt of FNC, but as per CHC, the forecast is based on the number increasing in the year back to planned levels. Children's continuing care is around breakeven.

Primary Care: Full details of the primary care position can be found in the Financial Report presented to the Primary Care Commissioning Committee Meeting on 25th July 2018. Given that we are still awaiting the final outcome of national negotiations re GP core contracts, it is difficult to assess the likely pressures that may appear in relation to delegated budgets. At this early stage of the year, we have forecast year end spend to match budget across both delegated and locally commissioned primary care services.

Prescribing: Prescribing data is available for April and May. May's average price and items prescribed were higher than forecast in April. As stated in May's report it was expected that the price for certain drugs (Category M) would increase from July when the current national pricing agreement comes to an end, resulting in an increase in the average item price and we profiled the prescribing budget in an attempt to recognise this. The Category M prices have been released for July, August and September; they show a decrease in July but increase in August and September, equivalent to an average 17p per item. Prices for these drugs have not been determined yet for October; the forecasting assumption is that the costs will remain at a higher rate. By using this intelligence and expected growth in items prescribed the reported year end forecast is an underspend against budget of £445k but this has the potential to change significantly.

Collaborative Working: For 2018/19 a separate section has been included on Appendix A to report spend on our collaborative working with partners, particularly as Sheffield CCG is the host organisation for both the SY&BL Integrated Care System (ICS) (incorporating the previous commissioner working together arrangements) and the Sheffield Accountable Care Partnership. This captures at summary level the expenditure against allocations from NHS England, which the CCG receives on behalf of the ICS and the contributions from Sheffield CCG. The CCG will collect income from all partner organisations to contribute to ICS and ACP costs and this income will be offset against relevant expenditure. The net position is reported on Appendix A. It is important to note that separate financial reporting will occur to the public sessions of both ICS and Sheffield ACP boards during 2018/19 and it is therefore not the intention that detailed reporting occurs in this CCG financial report.

The year to date and forecast outturn positions show breakeven at this point in the financial year. Allocations received from NHS England up to month 3, relating to ICS (including Cancer Alliance) are now reported under ICS. The main transformation funding has yet to be received.

Section 75 Framework Partnership Agreement (Better Care Fund): Appendix E shows the citywide positon in relation to the Better Care Fund. The year to date position shows an overspend of £0.6m in relation to CCG budgets, and an overspend of £0.7m in relation to council budgets, mainly in relation to Ongoing Care (Adult social care purchasing).

The overall forecast is an overspend of £6m, £1m is in relation to CCG spend relating to Emergency Medical Admissions at Sheffield Teaching Hospitals. Sheffield City Council are reporting that they expect that some slippage currently reported will be utilised later in the financial year and/or there are additional activity pressures, particularly in adult social care purchasing, that will materialise later in the financial year. As a result, a forecast overspend of £8.1m is reported, offset by slippage of £3.1m on capital grants, leaving a net overspend of £5m.

0.5% Non-Recurrent Reserve: This reserve is £2.1m at M3 as some resources have already been deployed in year and the balance is expected to be fully committed.

Running Costs: The forecast spend against the £12.7m running cost allocation is summarised in the table below. At planning stage Governing Body approved an underspend of £0.3m towards delivery of the overall surplus. Subsequently an additional QIPP target was identified which increased this figure to £0.4m. At month 3, further savings are reported due to slippage in relation to recruitment to vacant posts.

Category	Annual Budget £'000s	YTD Variance £'000s	Forecast Variance £'000s
Pay	9,309	(170)	111
Non Pay	4,118	(63)	(27)
Income	(1,325)	(48)	(260)
Running Costs Reserve	159	0	0
Running Costs Planned Surplus	400	(100)	(360)
Running Cost Budget	**12,661**	**(381)**	**(536)**

Additional Income: Under the Financial Management principles previously approved by Governing Body we are seeking to maximise additional income, over and above the CCG's core allocation, to be able to support delivery of our commissioning intentions. We are still working to establish the likely additional funding that will be available in 2018/19, as we are still awaiting confirmation from NHS England and/or the sICS in a number of cases. We also await confirmation of how the additional funding committed by HM Treasury to fund the recently agreed Agenda for Change pay increases will flow to the local system, and whether this will leave the CCG with any net risk.

4. Delivery of Cash Position

The CCG was notified of a maximum cash drawdown limit of £861.4m at month 3. The total cash used to the end of June was £199.9m against a requested cash drawdown of £196.4m, other income of £4.0m and a brought forward balance of £181k. The cash balance at bank at the end of the month was £0.7m. A minimal cash balance at bank is planned for the year end.

5. Better Payment Practice Code

The Better Payment Practice Code requires the clinical commissioning group to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later. A summary of the position for the 12 month period to the end of June is reported in the table below:

Measure of compliance	12 months to Jun 18 Number	12 months to Jun 18 £'000
Non-NHS Payables		
Total Non-NHS Trade invoices paid in the year	16,009	193,842
Total Non-NHS Trade Invoices paid within target	15,778	193,135
Percentage of Non NHS Trade invoices paid within target	**98.56%**	**99.64%**

NHS Payables		
Total NHS Trade invoices paid in the year	4,235	596,400
Total NHS Trade invoices paid within target	4,210	595,532
Percentage of NHS Trade invoices paid within target	**99.41%**	**99.85%**

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On behalf of: Julia Newton, Director of Finance
July 2018

NHS Sheffield Clinical Commisisoning Group
Finance Report 2018/19 - Financial Position for Period Ending 30 June 2018

	Year to Date: May				Year End Forecast Out-turn					Forecast Variance @ Month 2
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		Change from prev month*	
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%		£'000s
PROGRAMME COSTS										
Revenue Resource Limit	215,857	215,857	0	0.0%	868,123	868,123	0	0.0%	→	0
EXPENDITURE										
Acute Hospital Care										
Elective	38,429	39,082	653	1.7%	153,900	156,384	2,484	1.6%	↑	(43)
Urgent care	39,094	39,317	223	0.6%	154,441	154,765	323	0.2%	↑	(65)
Other Acute Care / Ambulance Services	25,638	25,508	(129)	-0.5%	101,669	102,191	522	0.5%	↑	(13)
	103,160	**103,908**	**747**	**0.7%**	**410,011**	**413,340**	**3,329**	**0.8%**	↑	**(121)**
Mental Health & Learning Disabilities										
Mental Health & Learning Disabilities	21,927	21,951	24	**0.1%**	88,254	88,554	300	**0.3%**	↑	80
Community Services										
Elective Community Care	9,587	9,552	(35)	-0.4%	36,837	36,721	(116)	-0.3%	↓	(64)
Urgent Community Care	981	981	0	0.0%	5,227	5,227	0	0.0%	→	0
Intermediate Care & Reablement	11,474	11,633	160	1.4%	45,646	45,780	134	0.3%	↑	119
Long Term Care and End of Life	15,922	15,561	(361)	-2.3%	63,703	62,640	(1,064)	-1.7%	↓	(436)
Prescribing	24,086	24,185	98	0.4%	95,779	95,331	(448)	-0.5%	↑	(594)
Other Commissioning	810	809	(0)	-0.1%	3,219	3,219	0	0.0%	↑	0
	62,861	**62,722**	**(139)**	**-0.2%**	**250,411**	**248,917**	**(1,493)**	**-0.6%**	↓	**(975)**
Primary Care										
Primary Care Co-commissioning	18,119	17,975	(145)	-0.8%	72,482	72,482	0	0.0%	↑	**0**
Locally Commissioned Primary Care Services	3,976	3,939	(37)	-0.9%	20,341	20,341	0	0.0%	→	**0**
	22,095	**21,913**	**(182)**	**-0.8%**	**92,824**	**92,824**	**0**	**0.0%**	↑	**0**
Collaborative Working										
Collaborative Working	1,213	1,194	(18)	-1.5%	1,906	1,906	(0)	0.0%	↓	0
	1,213	**1,194**	**(18)**	**-1.5%**	**1,906**	**1,906**	**(0)**	**0.0%**	↓	**0**
Reserves										
Reserves	4,601	0	(4,601)	**-100.0%**	24,717	5,114	(19,603)	**-79.3%**	↓	(16,568)
TOTAL EXPENDITURE - PROGRAMME COSTS	**215,857**	**211,688**	**(4,169)**	-1.9%	**868,123**	**850,655**	**(17,468)**	-2.0%	↑	**(17,584)**
(UNDER)/OVER SPEND - Programme Costs	**0**	**(4,169)**	**(4,169)**		**(0)**	**(17,468)**	**(17,468)**		↑	**(17,584)**
RUNNING COSTS ALLOWANCE										
Running Cost Funding	3,113	3,113	0	0.0%	12,661	12,661	0	0.0%	→	0
Total Running Cost Expenditure	3,113	2,732	(381)	-12.2%	12,661	12,125	(536)	-4.2%	↓	(420)
(UNDER)/OVER SPEND - Running Costs	**0**	**(381)**	**(381)**		**0**	**(536)**	**(536)**		↓	**(400)**
TOTAL										
Revenue Resource Limit	218,970	218,970	0	0.0%	880,784	880,784	0	0.0%	→	0
Expenditure	218,970	214,420	(4,550)	-2.1%	880,784	862,780	(18,004)	-2.0%	→	(18,004)
TOTAL (A)	**0**	**(4,550)**	**(4,550)**		**(0)**	**(18,004)**	**(18,004)**		→	**(18,004)**

*** ↑ = deterioration, ↓ = improvement, ➔ = no change.**

RESOURCE LIMIT ALLOCATIONS	Revenue			Maximum Cash Drawdown incl Capital		
	Recurrent	Non Rec	Total	Confirmed	Anticipated	Total
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s
Programme Costs				861,357		861,357
18/19 Opening CCG Recurrent Allocation	761,852		761,852			
18/19 Opening Position - Primary Care Co Commissioning - Delegated	77,727		77,727			
18/19 Opening Position - Recurrent Change - Primary Care Access	3,496		3,496			
18/19 Opening Position Transfer from specialised services	423		423			
18/19 Opening Position Child Health Information System responsibility to NHSE	(249)		(249)			
18/19 Opening Position HRG 4 deduction made recurrent	(2,225)		(2,225)			
18/19 Opening Position IR deduction made recurrent	(314)		(314)			
2018-19 Additional Cash Uplift announced January 2018	5,867		5,867			
Opening Position - Market Rent deduction made recurrent	(580)		(580)			
SCH AMBER services	724		724			
18/19 Paramedic Allocations		147	147			
HSCN		169	169			
2017/18 Brought Forward Surplus/Deficit		18,004	18,004			
LTBI Qtr 1 allocation		22	22			
Core Funding for South Yorkshire Cancer Alliance		275	275			
National Support Funding for South Yorkshire Cancer Alliance		186	186			
ED Revenue Funding Q1 for South Yorkshire Cancer Alliance		607	607			
Diabetes Transformation Fund: SE DTCN10		34	34			
Diabetes Transformation Fund: TT DTCN10		40	40			
Diabetes Transformation Fund: MDFT DTCN10		46	46			
Diabetes Transformation Fund: DISN DTCN10		92	92			
Infrastructure funding for STPs		282	282			
Maternity Transformation Funding – LMS Funding 18-19		762	762			
Suicide Prevention - June allocation		278	278			
Perinatal Comm Services Development Fund 1st alloc		440	440			
2018-19 CYP IAPT Trainee staff salary support funding		18	18			
			0			
			0			
			0			
			0			
Month 3 Programme Costs Resource Limit	846,721	21,402	868,123	861,357	0	861,357
Running Costs						
Initial Running Costs allocation	12,612		12,612			
Market rents		28	28			
HSCN		21	21			
Month 3 Running Cost Resource Limit	12,612	49	12,661			
CLOSING LIMITS (B)	**859,333**	**21,451**	**880,784**	**861,357**	**0**	**861,357**

Memo Table: Planned Surplus

	£'000
Historic Surplus b/f	6,134
Plus release of 1% reserve in 2016/17	8,124
Plus release of 0.5% reserve in 2017/18	3,746
Cumulative surplus carried forward from 2017/18	**18,004**
Planned increase to surplus in 2018/19	0
Total planned surplus for 2018/19	**18,004**

Balance of surplus required to be 'drawn up' in future years (to meet business rules)	**1,674**

Memo Table: Forecast In Year Financial Performance

Total Allocation 18/19 from the above table	880,784
Less cumulative surplus carried forward from 2017/18	-18,004
In Year Allocation	**862,780**

Expenditure:	
Forecast Expenditure (Programme Spend plus Running Costs)	862,780
Forecast under/(over)-spend against in year allocation	**0**

NHS Sheffield Clinical Commisisoning Group
Finance Report 2018/19 - Financial Position for Period Ending 30 June 2018

	Year to Date: May				Forecast Out-turn				Forecast Variance @ Month 2
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	£'000s
PROGRAMME COSTS									
Revenue Resource Limit	215,857	215,857	0	0%	868,123	868,123	0	0%	0
EXPENDITURE									
Acute Hospital Care									
Planned Care									
Sheffield Teaching Hospitals NHS FT	34,115	34,714	600	2%	136,434	138,977	2,543	2%	0
Sheffield Children's NHS FT	2,871	2,970	99	3%	11,483	11,641	158	1%	0
Other NHS Trusts	966	978	13	1%	3,618	3,627	8	0%	8
ISTC & Extended Choice	478	420	(58)	-12%	2,365	2,140	(225)	-10%	(51)
Planned Care	**38,429**	**39,082**	**653**	**2%**	**153,900**	**156,384**	**2,484**	**2%**	**(43)**
Urgent Care									
Sheffield Teaching Hospitals NHS FT	34,373	34,839	466	1%	136,037	136,506	469	0%	0
Sheffield Children's NHS FT	2,988	2,805	(183)	-6%	11,954	11,445	(508)	-4%	0
Other NHS Trusts	1,733	1,673	(60)	-3%	6,450	6,813	363	6%	(65)
ISTC & Extended Choice	0	0	0	#DIV/0!	0	0	0	#DIV/0!	0
Urgent Care	**39,094**	**39,317**	**223**	**1%**	**154,441**	**154,765**	**323**	**0%**	**(65)**
Other Acute Care / Ambulance Services									
Sheffield Teaching Hospitals NHS FT	16,912	16,645	(267)	-2%	67,161	67,573	412	1%	0
Sheffield Children's NHS FT	673	660	(13)	-2%	2,566	2,582	16	1%	0
Other NHS Trusts	531	710	179	34%	1,860	2,032	172	9%	57
ISTC & Extended Choice	23	23	0	0%	54	54	0	0%	0
Ambulance Services	6,132	6,123	(9)	0%	24,528	24,528	0	0%	0
Other Acute Services	1,367	1,348	(20)	-1%	5,500	5,423	(77)	-1%	(70)
Other Acute Care	**25,638**	**25,508**	**(129)**	**-1%**	**101,669**	**102,191**	**522**	**1%**	**(13)**
Mental Health & Learning Disabilities									
Sheffield Health and Social Care NHS FT	19,623	19,623	0	0%	78,504	78,504	0	0%	0
Sheffield Children's NHS FT	1,290	1,296	6	0%	5,903	5,911	9	0%	0
Local Authority	265	265	0	0%	1,058	1,277	219	21%	0
Other Mental Health Services	750	768	18	2%	2,790	2,862	72	3%	80
Mental Health & Learning Disabilities	**21,927**	**21,951**	**24**	**0%**	**88,254**	**88,554**	**300**	**0%**	**0**
Community Services									
Planned Care									
Sheffield Teaching Hospitals NHS FT	3,481	3,481	0	0%	12,663	12,663	0	0%	0
Sheffield Children's NHS FT	1,081	1,070	(11)		4,324	4,293	(32)	-1%	0
Local Authority	4,689	4,678	(10)	0%	18,755	18,714	(41)	0%	(37)
Development Nurses	110	96	(14)	-13%	443	400	(43)	-10%	(27)
Other Community Services	227	227	0	0%	651	651	(0)	0%	0
Planned Community Care	**9,587**	**9,552**	**(35)**	**0%**	**36,837**	**36,721**	**(116)**	**0%**	**(64)**
Urgent Care									
111	352	352	0	0%	1,449	1,449	0	0%	0
Out of Hours	630	630	0	0%	3,778	3,778	0	0%	0
Urgent Community Care	**981**	**981**	**0**	**0%**	**5,227**	**5,227**	**0**	**0%**	**0**
Intermediate Care & Reablement									
Sheffield Teaching Hospitals NHS FT	10,471	10,597	126	1%	41,633	41,633	0	0%	0
Local Authority	454	454	0	0%	1,817	1,817	0	0%	0
Community Equipment	549	583	34	6%	2,196	2,330	134	6%	119
Intermediate Care	**11,474**	**11,633**	**160**	**1%**	**45,646**	**45,780**	**134**	**0%**	**119**
Long Term Care and End of Life									
Continuing Care	11,967	11,720	(247)	-2%	47,868	47,359	(509)	-1%	(324)
Continuing Healthcare Assessments	610	635	24	4%	2,601	2,558	(44)	-2%	(1)
Continuing Care - IFRs	16	9	(7)	-46%	63	55	(7)	-12%	(7)
Funded Nursing Care	1,956	1,924	(32)	-2%	7,680	7,573	(107)	-1%	(104)
St Lukes Hospice	590	590	0	0%	2,360	2,360	0	0%	0
Sheffield Teaching Hospitals NHS FT	783	684	(99)	-13%	3,131	2,734	(397)	-13%	0
Long Term Care	**15,922**	**15,561**	**(361)**	**-2%**	**63,703**	**62,640**	**(1,064)**	**-2%**	**(436)**
GP Prescribing									
Prescribing	23,671	23,779	108	0%	94,107	93,664	(443)	0%	(590)
Medicines Management Team	415	406	(10)	-2%	1,672	1,667	(5)	0%	(4)
Prescribing	**24,086**	**24,185**	**98**	**0%**	**95,779**	**95,331**	**(448)**	**0%**	**(594)**
Other Commissioning	810	809	(0)	0%	3,219	3,219	0	0%	0
Other Commissioning	**810**	**809**	**(0)**	**0%**	**3,219**	**3,219**	**0**	**0%**	**0**
Primary Care									
Co-Commissioning									
Core Contract	12,626	12,610	(15)	0%	50,503	50,503	0	0%	0
Premises	2,534	2,502	(32)	-1%	10,139	10,139	0	0%	0
QoF	1,908	1,908	(0)	0%	7,635	7,635	0	0%	0
Enhanced Services	432	383	(49)	-11%	1,731	1,731	0	0%	0
Primary Care Other	619	570	(48)	-8%	2,475	2,475	0	0%	0
Primary Care Reserve	0	0	0		0	0	0		0
Locally Commissioned Primary Care Services	3,976	3,939	(37)	-1%	20,341	20,341	0	0%	0

	Year to Date: May				Forecast Out-turn				Forecast Variance @ Month 2
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	£'000s
Primary Care	22,095	21,913	(182)		92,824	92,824	0	0%	0

	Year to Date: May				Forecast Out-turn				Forecast Variance @ Month 2
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	£'000s
Collaborative Working									
Accountable Care Partnership	15	23	8	57%	59	59	0	0%	0
Integrated Care System	1,129	1,129	0	0%	1,570	1,570	0	0%	0
Better Care Fund	49	35	(14)	-29%	200	200	(0)	0%	0
Other Collaborative Working	19	7	(12)	-65%	77	77	0	0%	0
Collaborative Working	**1,213**	**1,194**	**(18)**		**1,906**	**1,906**	**(0)**		**0**
Reserves									
Commissioning Reserves	0	0	0	#DIV/0!	2,263	2,263	0	0%	0
General Contingency Reserve	200	0	(200)		4,012	2,013	(1,999)	-50%	1,036
Primary Care	0	0	0		1,110	1,110	0		0
Unidentified QIPP	0	0	0		(2,390)	(2,390)	0		0
0.5% Non Recurrent Reserve	0	0	0		2,118	2,118	0	0%	0
Planned Surplus	4,401	0	(4,401)	-100%	17,604	0	(17,604)	-100%	(17,604)
Reserves	**4,601**	**0**	**(4,601)**	**-100%**	**24,717**	**5,114**	**(19,603)**	**-79%**	**(16,568)**
TOTAL EXPENDITURE - PROGRAMME COSTS	**215,857**	**211,688**	**(4,169)**	-2%	**868,123**	**850,655**	**(17,468)**	-2%	**(17,584)**
(UNDER)/OVER SPEND - Programme Costs	**0**	**(4,169)**	**(4,169)**		**(0)**	**(17,468)**	**(17,468)**		**(17,584)**
RUNNING COSTS ALLOWANCE									
Funding net of £1.5m transfer to commissioning budgets	3,113	3,113	0	0%	12,661	12,661	0	0%	0
EXPENDITURE									
Accountable Officer	499	460	(39)	-8%	2,004	2,000	(4)	0%	(2)
Commissioning & Performance	756	676	(79)	-11%	2,998	2,985	(14)	0%	(13)
Finance & Facilities	789	778	(11)	-1%	3,167	3,150	(17)	-1%	(16)
Nursing,Quality & Workforce	723	620	(103)	-14%	2,907	2,776	(132)	-5%	(25)
Transformation & Delivery	246	198	(48)	-20%	1,027	1,017	(10)	-1%	(5)
Running Cost Reserve	0	0	0		159	159	(0)	0%	0
Running Cost Planned surplus	100	0	(100)	-100%	400	40	(360)	-90%	(360)
TOTAL EXPENDITURE - RUNNING COSTS	**3,113**	**2,732**	**(381)**	-12%	**12,661**	**12,125**	**(536)**	-4%	**0**
(UNDER)/OVER SPEND - Running Costs	**0**	**(381)**	**(381)**		**0**	**(536)**	**(536)**		**(420)**
TOTAL (UNDER)/OVER SPEND	**0**	**(4,550)**	**(4,550)**	**-**	**(0)**	**(18,004)**	**(18,004)**	**-**	**(18,004)**

Appendix C

NHS Sheffield Clinical Commisisoning Group
Finance Report 2018/19 - Financial Position for Period Ending 30 June 2018

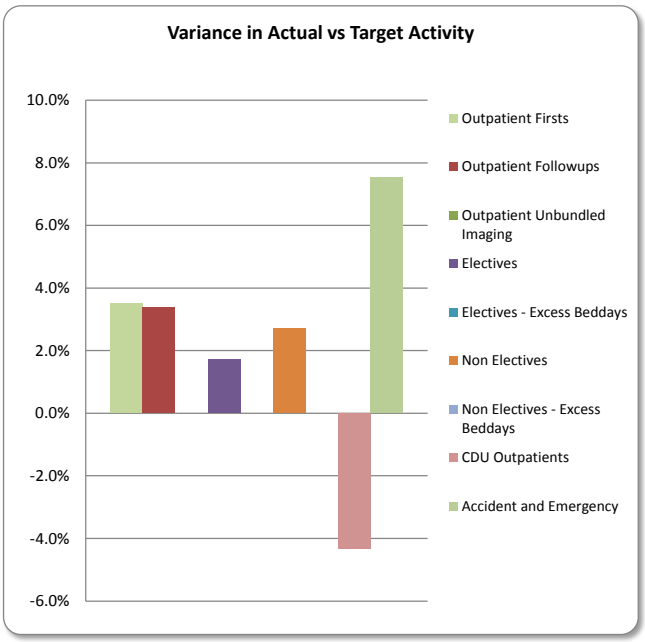
Main Provider Contracts

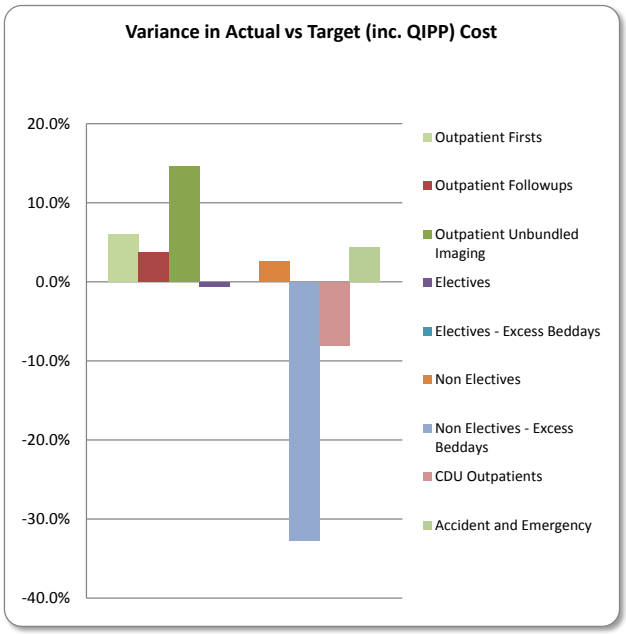
	Year to Date: May				Year End Forecast Out-turn			
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)	
	£'000	£'000	£'000	%	£'000	£'000	£'000	%
EXPENDITURE								
Sheffield Teaching Hospitals NHS FT								
Planned Care - STH	34,115	34,714	600	1.8%	136,434	138,977	2,543	1.9%
Urgent Care - STH	34,373	34,839	466	1.4%	136,037	136,506	469	0.3%
Community Care - STH	3,481	3,481	0	0.0%	12,663	12,663	0	0.0%
Other Acute - STH	10,272	9,988	(284)	-2.8%	40,604	41,025	421	1.0%
High Cost Drugs - STH	3,843	3,869	26	0.7%	15,371	15,396	25	0.2%
Maternity Services	2,797	2,788	(9)	-0.3%	11,186	11,152	(34)	-0.3%
Primary Care - Out of Hours	630	630	0	0.0%	3,778	3,778	0	0.0%
Intermediate Care & Reablement	10,471	10,597	126	1.2%	41,633	41,633	0	0.0%
End of Life Care	783	684	(99)	-12.7%	3,131	2,734	(397)	-12.7%
Sub Total	**100,762**	**101,588**	**826**	**0.8%**	**400,837**	**403,864**	**3,026**	**0.8%**
Sheffield Children's NHS FT								
Planned Care - SCH	2,871	2,970	99	3.4%	11,483	11,641	158	1.4%
Urgent Care - SCH	2,988	2,805	(183)	-6.1%	11,954	11,445	(508)	-4.3%
Community Care - SCH	980	969	(11)	-1.1%	3,920	3,889	(32)	-0.8%
Mental Health Services - SCH	1,290	1,296	6	0.5%	5,903	5,911	9	0.1%
Other Acute - SCH	485	492	7	1.4%	1,817	1,890	73	4.0%
High Cost Drugs - SCH	187	167	(20)	-10.7%	749	692	(57)	-7.6%
Safeguarding	101	101	0	0.0%	404	404	0	0.0%
Sub Total	**8,903**	**8,801**	**(102)**	**-1.1%**	**36,230**	**35,872**	**(358)**	**-1.0%**
Sheffield Health and Social Care NHS FT								
Mental Health & Learning Disabilities	19,623	19,623	0	0.0%	78,504	78,504	0	0.0%
Sub Total	**19,623**	**19,623**	**0**	**0.0%**	**78,504**	**78,504**	**0**	**0.0%**
	129,288	**130,012**	**724**	**0.6%**	**515,571**	**518,240**	**2,668**	**0.5%**

Appendix D

Sheffield CCG Commissioned Activity and Costs - June 2018

Sheffield Teaching Hospitals NHS FT





Point of Delivery	Year to Date Activity Plan	Year to Date Actual Activity	Variance	
			Activity	%
Outpatient Firsts	29,344	30,374	1,030	3.5%
Outpatient Followups	70,977	73,382	2,405	3.4%
Outpatient Unbundled Imaging				
Electives	14,350	14,596	246	1.7%
Electives - Excess Beddays				
Non Electives	13,638	14,008	370	2.7%
Non Electives - Excess Beddays				
CDU Outpatients	8,304	7,944	-360	-4.3%
Accident and Emergency	32,333	34,769	2,436	7.5%
Total				

Year to Date Budget	Actual Expenditure	Variance	
£'000s	£'000s	£'000s	%
5,078	5,382	305	6.0%
5,790	6,007	217	3.8%
978	1,120	142	14.6%
11,823	11,758	-64	-0.5%
88	88	0	0.0%
27,890	28,601	711	2.5%
1,221	821	-400	-32.8%
363	334	-29	-8.1%
4,169	4,353	184	4.4%
57,399	**58,465**	**1,066**	**1.9%**

MFF Uplift Applied to Contract Monitoring Costings at 2.9422% for PbR Activity Only
Includes PbR and Non-Pbr Activity (and CDU (A&E) activity)
Includes Financial Adjustments and QIPP
A&E does not include Primary Care Access Centre activity or costs
There are some minor differences between the finance report and the BI version due to financial adjustments which are not reflected in BI data

Note - This appendix

Appendix E

NHS Sheffield Clinical Commisisoning Group
Finance Report 2018/19 - Financial Position for Period Ending 30 June 2018

Memorandum: Section 75 - Better Care Fund

Theme	Year to Date: May				Year End Forecast Out-turn				Forecast Variance @ Month 2
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	£'000s
Citywide Position									
People Keeping Well in their local community	2,172	1,778	(394)	-18.1%	8,272	8,234	(38)	-0.5%	(31)
Active Support & Recovery	13,768	13,216	(552)	-4.0%	52,642	52,525	(117)	-0.2%	(97)
Independent Living Solutions	991	1,338	346	34.9%	3,966	4,304	338	8.5%	363
Ongoing Care	35,755	37,745	1,990	5.6%	139,366	145,976	6,610	4.7%	6,712
Emergency Medical Admissions - STH	15,870	16,524	654	4.1%	64,505	65,736	1,231	1.9%	(0)
Mental Health	26,787	26,495	(291)	-1.1%	106,765	107,877	1,112	1.0%	906
Capital Grants	962	451	(511)	-53.1%	5,678	2,558	(3,120)	-55.0%	(3,078)
TOTAL EXPENDITURE	**96,305**	**97,546**	**1,242**	**1.3%**	**381,195**	**387,211**	**6,016**	**1.6%**	**4,775**
NHS Sheffield CCG									
People Keeping Well in their local community	453	442	(10)	-2.3%	1,811	1,770	(41)	-2.3%	(37)
Active Support & Recovery	10,924	11,050	126	1.2%	43,449	43,449	0	0.0%	0
Independent Living Solutions	549	583	34	6.1%	2,196	2,330	134	6.1%	119
Ongoing Care	11,810	11,685	(125)	-1.1%	47,094	46,716	(379)	-0.8%	(261)
Emergency Medical Admissions - STH	15,870	16,524	654	4.1%	64,505	65,736	1,231	1.9%	(0)
Mental Health	24,923	24,805	(118)	-0.5%	99,313	99,358	45	0.0%	(94)
Capital Grants	0	0	0	0.0%	0	0	0	0.0%	0
CCG Total	**64,530**	**65,090**	**560**	**0.87%**	**258,369**	**259,359**	**991**	**0.4%**	**(273)**
Sheffield City Council (SCC)									
People Keeping Well in their local community	1,719	1,335	(384)	-22.3%	6,462	6,465	3	0.0%	6
Active Support & Recovery	2,843	2,165	(678)	-23.8%	9,193	9,076	(117)	-1.3%	(97)
Independent Living Solutions	442	755	313	70.7%	1,770	1,974	204	11.5%	244
Ongoing Care	23,945	26,060	2,115	8.8%	92,271	99,260	6,989	7.6%	6,973
Emergency Medical Admissions - STH	0	0	0	0.0%	0	0	0	0.0%	0
Mental Health	1,863	1,690	(173)	-9.3%	7,452	8,519	1,067	14.3%	1,000
Capital Grants	962	451	(511)	-53.1%	5,678	2,558	(3,120)	-55.0%	(3,078)
SCC Total	**31,775**	**32,457**	**682**	**2.1%**	**122,826**	**127,852**	**5,025**	**4.1%**	**5,048**

Notes:

Key elements of each theme are summarised below:

People Keeping Well in their local community	Includes Care Planning, Health trainers/ Community Support Workers, Community Grants and Support to VCF sector, Public Health, Housing related support to Older People and other support services
Active Support & Recovery	Includes community nursing, Intermediate Care Beds, CICs, Transfer of Care Teams, STIT, Intermediate Care Assessment teams
Independent Living Solutions	Includes community equipment and adaptations
Ongoing Care	Includes CHC& FNC, Learning Disabilities, Adult Social Care. From April 2017, this excludes spend on mental health which is now included in the mental health theme.
Emergency Medical Admissions - STH	Includes Adult Inpatient Medical Emergency Admissions (excluding gastroenterology)
Mental Health	Includes all adult mental health services as commissioned by the CCG, with those for under 65 years purchased by SCC in 2017/18.

Additional Funding via CCG into Sheffield System 2017/18 - Status as at June 2018

Appendix F

Funding Name	Source	Provider	Description	Recurrent?	Funding £'000
CYP IPC Project	NHSE	Mainly CCG Costs	Integrated Personal Commissioning for Looked After Children and Young People with Mental Health Support Needs Project	Non- Recurrent	TBC
Diabetes Treatment & Care	NHSE	STH	Structural Education, Multi-Discplinary Foot Team, Specialist Nursing	Non- Recurrent	848
	NHSE	CCG Internal	Currently determining plan for remainder		
Latent TB Initiative	NHSE	STH/Primary Care	Latent TB services for Sheffield	Non- Recurrent	88
CYPT IAPT Trainee staff support costs	NHSE	SCH	Funds backfill costs to release CAMHS staff to train in IAPT	Non- Recurrent	18
Health Led Employment Trial	City Region	CCG	CCG Contract lead on Health Led Employment Trial Procurement	Non- Recurrent	58
					1,012

In addition the CCG is in receipt of certain earmarked allocations linked to the Primary Care FYFV as follows:

GP Extended Access	NHSE			Non-Recurrent	3,535
Health and Social Care Network (HSCN)	NHSE	BT	Pays for rental on network link to each GP site	Non-Recurrent	190
					3,725