

Month 4 Finance Report

Governing Body meeting

5 September 2019

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Purpose of Paper	
<p>This report provides information on the financial position at Month 4 (July 2019), together with an assessment of the risks and existing mitigations available to deliver the CCG's control total of in year break even position (which also equates to a cumulative year end surplus of £18m).</p>	
Key Issues	
<p>Governing Body approved the financial plan for 2018/19 at its meeting on 1 March 2019. This report provides a summary of expenditure to date against the approved budgets, together with an assessment of forecast outturn and risks to the delivery of the control total agreed with NHS England.</p> <p>The overall year-to-date (YTD) position shows a surplus of £6.0m, which is in line with our planned YTD surplus of £6.0m. However, there are a range of financial pressures, challenges and risks to delivery of the financial plan. Whilst we are still forecasting delivery of our planned surplus, we need to maintain tight financial control and focus in order to deliver this position.</p>	
Is your report for Approval / Consideration / Noting	
<p>Consideration of the risk assessment and existing mitigations to manage the risks to deliver the CCG's year end control total of a £18.0m outlined in section 2.</p>	
Recommendations / Action Required by Governing Body	
<p>Consider the risk assessment and existing mitigations to manage the risks to deliver the CCG's year end control total of a £18.0m surplus as outlined in section 2.</p>	
Governing Body Assurance Framework	
<p><i>Which of the CCG's objectives does this paper support?</i> Strategic Objective - To ensure there is a sustainable, affordable healthcare system in Sheffield. It supports management of the CCG's principal risks 3.1, 4.1, 4.2 and 4.3 in the Assurance Framework.</p>	

Are there any Resource Implications (including Financial, Staffing etc)?
Not specifically
<i>Please attach if completed. Please explain if not, why not</i>
Not applicable
<i>Have you involved patients, carers and the public in the preparation of the report?</i>
Not applicable

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1. Executive Summary

Key Duties	Year to date	Forecast	Key Issues
Deliver £18.0m Surplus (CCG's Control Total) against Commissioning Revenue Resource Limit (RRL) + RCA combined	(£6.0m) Under Spend	(£18.0m) Under Spend	The surplus brought forward from 2018/19 was £18.0m. For 2019/20 the CCG has been set an in-year breakeven control total by NHS England, i.e. we are required to maintain our brought forward cumulative surplus. Whilst we are forecasting achievement of this surplus, there are an increasing number of financial risks emerging that, if not carefully managed, may impact on delivery of the control total. As a result, the RAG rating of this duty continues to be AMBER.
a) Achieve a surplus against the Programme Allocation	(£5.2m) Under Spend	(£16.6m) Under Spend	At this early stage of the financial year, there are a number of risks and challenges that need to be managed (see section 2). In particular, there are risks associated with full delivery of the QIPP plan (£15.2m) in addition to additional financial pressures from the recently announced national changes to Category M reimbursement prices. Hence a RAG rating of AMBER indicating the need for additional actions which are being progressed.
b) Remain within Running Cost Allowance (RCA) of £12.64m.	(£0.8m) Under Spend	(£1.4m) Under Spend	At the plan stage, we agreed £1.0m of our surplus should come from the RCA. Additional savings have been identified and reported this month.
Remain within the Cash Limit (i.e. Maximum draw down set by NHS England)	£3.1m closing balance	Breakeven	The CCG's maximum draw down for 2019/20 notified in June was £909.6m. To remain within this limit, which requires the revenue position to be brought in on plan, cash payments will need to be managed to meet this target.

Key:

Red	Significant risk of non-delivery. Additional actions need to be urgently pursued.
Amber	Medium risk of non-delivery requires additional management effort.
Green	Low risk of non-delivery – current management effort should deliver success.

2. Summary of the reported position

The overall position is summarised in table 1 below.

Table 1: Summary Position at 30 June 2019	Annual Budget	Year to Date Variance	Forecast Variance	Forecast Variance
	£'000s	£'000s	£'000s	%
Acute Care	443,416	789	2,404	0.5%
Mental Health & Learning Disabilities	92,076	60	180	0.2%
Community	87,436	96	519	0.6%
Continuing Care	60,226	(251)	(465)	-0.8%
Primary Care	99,941	52	693	0.7%
Prescribing	93,962	549	3,782	4.0%
Collaborative	2,119	241	0	0.0%
Other Programme	7,589	96	536	7.1%
Reserves including planned surplus				
Return of prior year surplus	17,031	(5,677)	(17,031)	-100%
Contingency reserve	3,155	(1,037)	(3,155)	-100%
Specific reserves (incl. ICS)	9,457	(133)	(4,089)	-43.2%
Programme Costs	916,409	(5,215)	(16,627)	-1.8%
Running Costs (RCA)	11,486	(465)	(394)	-3.4%
RCA reserves incl. return prior year surplus	1,157	(333)	(1,000)	-86.4%
Year to date and Year end Surplus	929,052	(6,014)	(18,021)	-2.0%

Figures are subject to rounding

We are reporting an overall year-to-date (YTD) surplus of £6.0m, which is in line with our planned YTD surplus of £6.0m. However, this is only after the release of £1m from the £3.2m general contingency reserve. The reported forecast is that the CCG will meet the planned cumulative surplus of £18m by achieving our required in year breakeven plan. This is after utilising the totality of the contingency reserve, meaning that there is very limited flexibility to manage a number of key risks and issues over the remainder of the financial year.

Further detail of spend in different areas can be found at Appendix A (summary level) and a more detailed position by programme category is then provided in Appendix B. Appendix C provides a summary of the financial position for our main contracts with Sheffield providers (STHFT, SCFT and SHSCT). Appendix D historically reports on the activity and expenditure for STHFT, however due to problems with the portal no meaningful information could be presented this month. Therefore Appendix D at month 4 summarises the overall position for budgets within the scope of the BCF arrangement with Sheffield City Council (usually Appendix E).

Overall Risk Assessment

The financial pressures included in the forecast position, described in more detail in section 3 below, utilise the totality of the contingency reserve. However, the consequence of this is that mitigating actions are required to manage any additional risks that may materialise in the remainder of the financial year. Given the time of year, the financial

range of risks and potential benefits is wide. Our assessment, using the information available to date, identifies a risk range of £7m upside to £14m downside, which reflects a range of issues. This level of financial risk requires careful management and we will continue prioritise actions to ensure that we have sufficient mitigations to manage the in year position.

3 Further Information on Key Budgets and related risks

Acute Hospital Activity:

Sheffield Teaching Hospitals (STH) is by far the most significant contract in value terms as it is planned to account for £433m (including the MSK and Walk in Centre contracts). At month 4, we are reporting a £0.7m (0.5%) overspend for the contract overall. This remains at a similar level to that reported at month 3.

It is important to note that the phasing of QIPP savings means that £2.2m of the £8.2m attributed to the STH contract is phased into the first 4 months as there are some schemes which have an impact from later months. The delivery of the agreed QIPP plan is vital to the delivery of the financial position.

The issue relating to uncoded activity appears to be improving as uncoded activity in July makes up 35% of the total (this was 57% last month). The Trust is hopeful that this improvement can be sustained through summer with further improvement expected in the coming months.

The table below shows the value of budgets included within the blended plus agreement. The CCG is working with STHFT to develop the new reporting systems which will enable additional details to be included within Appendix C. Using the July information there appears to be a small overspend of £167k on the Blended plus elements of the contract which would result in the CCG paying just 20% of the over-performance. This position should be treated with an air of caution given the high level of estimates built into the reporting.

STHFT Blended Plus agreement	Annual Budget (£000's)	Year to date Target (£000's)	Year to date Actuals (£000's)	Year to date Variance (£000's)
Urgent Care (NEL, A&E, CDU, BPT)	£137,886	£46,491	£46,658	£167
Community (incl. Intermediate Care & Reablement)	£57,338	£19,113	£19,113	£0
Other Acute (including CQUINS)	£6,352	£2,117	£2,117	£0
Primary Care - Out of Hours	£1,826	£609	£609	£0
Total	£203,402	£68,330	£68,497	£167
Marginal Adjustment	£0	£0	-£134	-£134
Total	£203,402	£68,330	£68,363	£33

Sheffield Children's (SCH): The reported position shows a YTD overspend of £82k with a forecast reported underspend of £160k.

Non Contract Activity (NCAs): Based on invoices received to month 4 there is a pressure on the budget equating to £325k by the year end. The risk to be managed going forward is the ongoing increase in private sector expenditure, particularly in regards to ophthalmology.

Continuing Health Care (CHC) and Funded Nursing Care (FNC): Last month we reported a large underspend resulting from a lower than forecast number of new patients during the first three months of the year. We have identified that this was partly the result of delays in new placements and in care package costs being recorded on the QA system. This is now being addressed and CHC is showing a reduced forecast underspend of £589k, £138k less than last month.

FNC numbers have reduced again this month, meaning that the forecast underspend has increased from £295k to £319k. As mentioned in previous reports, the bulk of the underspend is the result of lower than forecast patient numbers in the final months of 18/19 and, therefore, a smaller 19/20 opening patient base than expected.

Children's continuing care is showing a year to date overspend of £21k and a year-end forecast overspend of £62k. The in-month improvement reflects forecasting based on improved cost information provided by the Continuing Care team. We are anticipating that care package reviews during 19/20 will address some of this overspend.

Primary Care: There is a small year to date overspend on primary care delegated budgets of £55k. As previously reported, the late change to primary care allocations left an unfunded (full year) cost pressure on delegated primary care budgets of £938k. PCCC confirmed the CCG's commitment to underwriting the expected impact of full implementation of the revised GP contract. The forecast financial impact has been mitigated through the application of the contingency reserve of £400k and revised forecast commitments on key budget lines, in particular extended access, meaning that the latest forecast is a residual overspend of £352k.

Additional CCG-Commissioned Services is forecast to underspend by £59k at Month 4 and this is helping to offset some of the overspend.

Prescribing: The latest data received relates to June 2019 and shows an average cost per item of £6.74, which is a further increase of 3p from May's average which was 10p greater than April's. This has resulted in a £2.8m cost pressure compared to April's average cost per item prescribed, it is estimated that around £1m of this pressure is due to no cheaper stock obtainable (NCSO) on certain drugs. There has also been a further increase in the growth of items prescribed to 2.01%, this compares to the 3 year average of previous years of 1.07%. This increase has increased costs by £0.7m. As reported in last month's report there is a further cost pressure due to the nationally agreed cost of Category M drugs will increase with effect from August 2019 by £0.15m per month resulting in a £1.2m overspend. The budget set of Prescribing did include a contingency for increase growth and price however there is still expected to be a £3.8m overspend at year end, this is a £0.77m increase from Month 3 reporting due to the further increases in growth and price in June.

Collaborative Working: Appendix A includes a separate section to report spend on our collaborative working with partners, particularly as Sheffield CCG is the host organisation for both the SY&BL Integrated Care System (ICS) (incorporating the previous commissioner working together arrangements) and the Sheffield Accountable Care Partnership (ACP).

This captures, in summary level, expenditure against allocations from NHS England, which the CCG receives on behalf of the ICS and contributions from Sheffield CCG. The CCG will be collecting income from all partner organisations to contribute to ICS and ACP costs and this income will be offset against relevant expenditure, hence only the net

position is reported on Appendix A. In this regard, it is important to note that separate financial reporting will occur to the public sessions of both ICS and Sheffield ACP Boards during 2019/20 and so it is not the intention that detailed reporting occurs in this CCG financial report.

At month 4, the ICS still awaits confirmation of funding from NHS England, in terms of transformation funding as well as funding for the cancer alliance. In addition, the ICS has bid and continues to bid for a range of funding which, if successful, will increase the confirmed allocation in future months. The annual budget reflects a number of allocations received by month 4 including Maternity Transformation, Suicide and Personalised Care. In addition the CCG committed to funding the Moor Market Cancer Hub and to a contribution to the SY&B CCG's End of Life Care proposal. A breakeven position is reported.

Section 75 Framework Partnership Agreement (Better Care Fund): Appendix D shows the citywide position in relation to the Better Care Fund. The year to date position shows an underspend of £0.1m in relation to CCG budgets and reduction in the underspend to £3.9 in relation to council budgets as at M4.

The overall forecast is a slight overspend of £0.4m, an improvement of £0.2m. Sheffield CCG reported a small benefit of £0.3m with a forecast underspend relating to Ongoing Care offsetting a potential cost pressure elsewhere in the BCF, and a reduction of £0.1m within Independent Living Solutions Equipment spend. The Council's On Going Care position shows an underspend of £2.942m year to date which is anticipated to end the year with an overspend of £2.501m due to the phasing of high cost packages beginning in year. As reported in previous months the different between the favourable year to date position and slightly adverse yearend forecast position is a result of anticipated caseload pressures later in the year.

Running Costs: The forecast spend against the £12.6m running cost allocation is summarised in the table below. At month 4 a forecast underspend of £1.4m is reported, which is another small increase to the £1.0m agreed at the planning stage. This is primarily due to slippage on pay budgets.

Category	Annual Budget £'000s	YTD Variance £'000s	Forecast Variance £'000s
Pay	9,122	(251)	(417)
Non Pay	3,536	(220)	29
Income	(1,172)	6	(6)
Running Costs Reserve	157	0	0
Running Costs Planned Surplus	1,000	(333)	(1,000)
Running Cost Budget	12,643	(798)	(1,394)

Figures are subject to rounding

Additional Income: Under the Financial Management Principles previously approved by Governing Body we are seeking to maximise additional income, over and above the CCG's core allocations, to be able to support delivery of our commissioning intentions. Given the early point in the year, there is a level of uncertainty with regards to additional funding that may be received. Appendix E details the current funding the CCG has been allocated.

QIPP: At month 4 we are reporting a shortfall of £598k year to date and a shortfall of £1,653k against the forecast position. A detailed report is being written for the Integrated QIPP working group.

Portfolio	Annual Gross Savings Plan (£'000)	Annual Gross Savings Forecast (£'000)	Annual Gross Savings Forecast Variance (£'000)	Year to Date Gross Savings Plan (£'000)	Year to Date Gross Savings Actual (£'000)	Year to Date Gross Savings Variance (£'000)
Care Outside of Hospital	(2,210)	(1,510)	700	(596)	(244)	352
Children & Young People	(113)	(103)	10	(16)	(13)	3
Elective Care	(1,894)	(1,409)	485	(525)	(285)	240
Medicines Optimisation	(4,861)	(4,531)	330	(1,512)	(1,512)	0
Mental Health	(1,532)	(1,532)	0	(575)	(520)	55
Ongoing Care	(1,980)	(1,880)	100	(661)	(734)	(73)
Urgent and Emergency Care	(850)	(850)	0	(200)	(200)	0
Sub Total - Portfolios	(13,440)	(11,815)	1,625	(4,085)	(3,508)	577
Other Commissioning	(800)	(100)	700	(268)	0	268
Running Costs	(1,000)	(1,394)	(394)	(332)	(797)	(465)
Other Adjustment	0	(348)	(348)	0	(138)	(138)
Sub Total - Other	(1,800)	(1,842)	(42)	(600)	(935)	(335)
Grand Total	(15,240)	(13,657)	1,583	(4,685)	(4,443)	242
% Achievement		90%			95%	

In addition to the position above, we have identified £548k at risk of financial underperformance. This is an improvement of £1.5m since the position reported at month 3. This has been included in the overall assessment of financial risk reported in section 2.

4. Delivery of Cash Position

The CCG was notified of a maximum cash drawdown limit of £909.6m at month 4. The total cash used to the end of July was £307.5m against a requested cash drawdown of £275.5m, prescribing & home oxygen of £30.2m, other income of £4.8m and a brought forward balance of £149k. The cash balance at bank at the end of the month was £3.1m. A minimal cash balance at bank is planned for the year end.

5. Better Payment Practice Code

The Better Payment Practice Code requires the CCG to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later. A summary of the position for the 12 month period to the end of July is reported in the table below:

Measure of compliance	12 months to July-19 Number	12 months to July-19 £'000
Non-NHS Payables		
Total Non-NHS Trade invoices paid in the year	16,934	199,478
Total Non-NHS Trade Invoices paid within target	16,736	198,759
Percentage of Non-NHS Trade invoices paid within target	98.83%	99.64%
NHS Payables		
Total NHS Trade invoices paid in the year	3,921	651,045
Total NHS Trade invoices paid within target	3,871	650,213
Percentage of NHS Trade invoices paid within target	98.72%	99.87%

6. Key Budget Movements

In line with the Scheme of Budgetary Delegation, the Governing Body is required to sign off all budget movements over £2m. There were no budget movements over £2m in months 3 or 4.

In addition, in line with the section 75 agreement with Sheffield City Council (SCC), any proposed changes to Better Care Fund budgets in excess of £1m are required to be approved by the Governing Body (as well as by SCC). There have been no proposed changes over £1m in months 3 or 4.

Recommendations

Governing Body is asked to:

- Consider the risk assessment and existing mitigations to manage the risks to deliver the CCG's year end control total of a £18.0m surplus as outlined in section 2.

Paper prepared by: Chris Cotton, Deputy Director of Finance and Pat Lunness, Senior Finance Manager

On behalf of: Jackie Mills, Director of Finance

August 2019

NHS Sheffield Clinical Commissioning Group
Finance Report 2019/20 - Financial Position for Period Ending 31 July 2019

	Year to Date: July				Year End Forecast Out-turn				Forecast Variance @ Month 3 £'000s	
	Budget	Expenditure	Variance		Budget	Forecast	Variance			Change from prev month*
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%		
PROGRAMME COSTS										
Revenue Resource Limit	302,987	302,987	0	0.0%	916,409	916,409	0	0.0%	→	0
EXPENDITURE										
Acute Hospital Care										
Elective	54,557	54,842	285	0.5%	162,786	164,082	1,296	0.8%	↓	1,720
Urgent care	60,648	60,756	108	0.2%	176,269	176,468	199	0.1%	↑	(43)
Other Acute Care / Ambulance Services	34,017	34,412	395	1.2%	104,362	105,270	909	0.9%	↑	187
Acute Hospital Care	149,222	150,010	789	0.5%	443,416	445,821	2,404	0.5%	↑	1,863
Mental Health & Learning Disabilities										
Mental Health & Learning Disabilities	30,622	30,682	60	0.2%	92,076	92,255	180	0.2%	↓	185
Community Services										
Elective Community Care	7,356	7,340	(16)	-0.2%	22,069	22,023	(46)	-0.2%	↓	(40)
Intermediate Care & Reablement	15,330	15,455	125	0.8%	45,991	46,365	374	0.8%	↓	520
Local Authority	6,507	6,495	(12)	-0.2%	19,376	19,567	191	1.0%	↑	(297)
Community Services	29,194	29,290	96	0.3%	87,436	87,955	519	0.6%	↑	183
Long Term Care and End of Life										
Long Term Care and End of Life	19,811	19,560	(251)	-1.3%	60,226	59,761	(465)	-0.8%	↓	(440)
Primary Care										
Primary Care Co-commissioning	24,795	24,850	55	0.2%	75,424	76,176	752	1.0%	↓	774
Locally Commissioned Primary Care Services	7,009	7,006	(3)	0.0%	24,517	24,457	(59)	-0.2%	↓	5
Prescribing	31,318	31,867	549	1.8%	93,962	97,745	3,782	4.0%	↑	3,012
Primary Care	63,122	63,723	601	1.0%	193,902	198,378	4,475	2.3%	↑	3,791
Other Programme										
Collaborative Working	1,612	1,853	241	14.9%	2,119	2,119	0	0.0%	→	0
Other Services	2,557	2,653	96	3.8%	7,589	8,125	536	7.1%	↑	223
Other Programme	4,169	4,506	337	8.1%	9,708	10,244	536	5.5%	↑	223
Reserves										
Reserves	6,847	0	(6,847)	-100.0%	29,643	5,368	(24,275)	-81.9%	↓	(22,511)
TOTAL EXPENDITURE - PROGRAMME COSTS	302,987	297,771	(5,216)	-1.7%	916,409	899,782	(16,626)	-1.8%	↑	(16,707)
(UNDER)/OVER SPEND - Programme Costs	(0)	(5,216)	(5,216)		(0)	(16,627)	(16,626)		↑	(16,707)
RUNNING COSTS ALLOWANCE										
Running Cost Funding	4,160	4,160	0	0.0%	12,643	12,643	0	0.0%	→	0
Total Running Cost Expenditure	4,160	3,362	(798)	-19.2%	12,643	11,249	(1,394)	-11.0%	↓	(1,314)
(UNDER)/OVER SPEND - Running Costs	0	(798)	(798)		0	(1,394)	(1,394)		↓	(1,314)
TOTAL										
Revenue Resource Limit	307,147	307,147	0	0.0%	929,052	929,052	0	0.0%	→	0
Expenditure	307,146	301,133	(6,014)	-2.0%	929,052	911,031	(18,021)	-1.9%	→	(18,021)
TOTAL (A)	(0)	(6,014)	(6,014)		(0)	(18,021)	(18,021)		→	(18,021)

* ↑ = deterioration, ↓ = improvement, → = no change.

Appendix A (cont)

RESOURCE LIMIT ALLOCATIONS	Revenue			Annual Cash Drawdown Requirement incl Capital £'000s
	Recurrent	Non Rec	Total	
	£'000s	£'000s	£'000s	
Programme Costs - CCG				
CCG Allocations - final allocation after place-based pace of change	805,733		805,733	909,566
CCG Allocations - other funding after pace of change	3,840		3,840	
Primary Care Delegated Allocations - final allocation after place-based pace of change	82,473		82,473	
Primary Care Delegated Allocation - reduction for central indemnity scheme	(2,374)		(2,374)	
Specialised Services identification rules transfer	1,352		1,352	
Brought Forward Surplus/(Deficit)		18,031	18,031	
Medicines Optimisation in Care Homes Q1 and Q2		89	89	
Diabetes Transformation Fund		45	45	
Latent TB Q1		25	25	
Excess Treatment Costs		(36)	(36)	
South Yorkshire and Bassetlaw - Expansion fund delivering Personalised Care		126	126	
Improving Access Allocations from National Programme		83	83	
IPS Wave 2 Transformation funding Q1 & Q2		301	301	
Community Mental Health Transformation Funding		1,429	1,429	
Supported Self management PAM mentor - Personalised Care		20	20	
Programme Costs - ICS				
Suicide Prevention Q1		278	278	909,566
South Yorkshire and Bassetlaw STP		282	282	
Targeted Lung Health Checks Funding for South Yorkshire Cancer Alliance		386	386	
GPFV - STP Funding		3,202	3,202	
Maternity Transformation funding tranches 1&2 South Yorkshire and Bassetlaw		1,084	1,084	
Public Engagement Activity to support System Priorities - ICS		40	40	
Month 4 Programme Costs Resource Limit	891,024	25,385	916,409	
Running Costs				
Initial Running Costs allocation	12,643		12,643	909,566
Month 4 Running Cost Resource Limit	12,643	0	12,643	
CLOSING LIMITS (B)	903,667	25,385	929,052	909,566

Memo Table: Planned Surplus

	£'000
Cumulative surplus carried forward from 2018/19	18,021
Planned increase to surplus in 2019/20	0
Total planned surplus for 2019/20	18,021

Memo Table: Forecast In Year Financial Performance

Total Allocation 19/20 from the above table	929,052
Less cumulative surplus carried forward from 2018/19	-18,021
In Year Allocation	911,031

Expenditure:

Forecast Expenditure (Programme Spend plus Running Costs)	911,031
Forecast under/(over)-spend against in year allocation	0

NHS Sheffield Clinical Commissioning Group
Finance Report 2019/20 - Financial Position for Period Ending 31 July 2019

	Year to Date: July				Forecast Out-turn				Forecast Variance @ Month 3 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000s	£'000s	Over (+)/ Under(-) £'000s	%	£'000s	£'000s	Over (+)/ Under(-) £'000s	%	
PROGRAMME COSTS									
Revenue Resource Limit	302,987	302,987	0	0%	916,409	916,409	0	0%	0
EXPENDITURE									
Acute Hospital Care									
Planned Care									
Sheffield Teaching Hospitals NHS FT	48,244	48,442	198	0%	143,614	144,679	1,065	1%	1,556
Sheffield Children's NHS FT	4,201	4,253	52	1%	12,793	12,886	93	1%	30
Other NHS Trusts	1,297	1,297	0	0%	3,914	3,914	0	0%	0
ISTC & Extended Choice	815	851	35	4%	2,465	2,603	138	6%	134
Planned Care	54,557	54,842	285	1%	162,786	164,082	1,296	1%	1,720
Urgent Care									
Sheffield Teaching Hospitals NHS FT	53,866	54,033	167	0%	155,958	156,333	375	0%	89
Sheffield Children's NHS FT	4,455	4,434	(21)	0%	13,366	13,337	(29)	0%	(24)
Other NHS Trusts	2,327	2,289	(38)	-2%	6,945	6,798	(147)	-2%	(108)
Urgent Care	60,648	60,756	108	0%	176,269	176,468	199	0%	(43)
Other Acute Care / Ambulance Services									
Sheffield Teaching Hospitals NHS FT	21,312	21,629	317	1%	66,578	67,308	730	1%	270
Sheffield Children's NHS FT	893	906	13	1%	2,276	2,259	(17)	-1%	(65)
Other NHS Trusts	713	713	0	0%	2,150	2,150	0	0%	0
Ambulance Services	8,812	8,806	(6)	0%	26,494	26,476	(18)	0%	(18)
Other Acute Services including NCAs	2,288	2,359	71	3%	6,863	7,077	214	3%	0
Other Acute Care	34,017	34,412	395	1%	104,362	105,270	909	1%	187
SUB TOTAL - ACUTE SERVICES	149,222	150,010	789	1%	443,416	445,821	2,404	1%	1,863
Mental Health & Learning Disabilities									
Sheffield Health and Social Care NHS FT	27,681	27,681	(0)	0%	83,042	83,042	0	0%	0
Sheffield Children's NHS FT CAMHS	2,053	2,102	49	2%	6,369	6,517	148	2%	153
Other Mental Health Services including Voluntary Sector	888	899	11	1%	2,665	2,697	32	1%	32
SUB TOTAL - MENTAL HEALTH & LEARNING DISABILITIES SERVICES	30,622	30,682	60	0%	92,076	92,255	180	0%	185
Community Services									
Planned Care									
Sheffield Teaching Hospitals NHS FT including MSK	5,659	5,659	0	0%	16,978	16,978	0	0%	0
Sheffield Children's NHS FT	1,301	1,289	(12)	-1%	3,902	3,867	(35)	-1%	(40)
Local Authority CSWs	232	228	(4)	-2%	696	685	(11)	-2%	0
Other Community Services including Voluntary sector	164	164	(0)	0%	493	493	(0)	0%	(0)
Planned Community Care	7,356	7,340	(16)	0%	22,069	22,023	(46)	0%	(40)
Intermediate Care & Reablement									
Sheffield Teaching Hospitals NHS FT	14,123	14,123	0	0%	42,369	42,369	0	0%	0
Local Authority Social Care	606	606	0	0%	1,817	1,817	0	0%	0
Community Equipment	602	726	125	21%	1,805	2,179	374	21%	520
Intermediate Care	15,330	15,455	125	1%	45,991	46,365	374	1%	520
Local Authority									
Grants	149	136	(12)	-8%	446	409	(37)	-8%	(37)
Services within the Better Care Fund	6,359	6,359	0	0%	18,930	19,158	228	1%	(260)
Social Care	6,507	6,495	(12)	0%	19,376	19,567	191	1%	(297)
SUB TOTAL - COMMUNITY SERVICES	29,194	29,290	96	0%	87,436	87,955	519	1%	183
Long Term Care and End of Life									
Continuing Care	15,719	15,567	(152)	-1%	47,157	46,982	(176)	0%	(427)
Funded Nursing Care	2,426	2,320	(106)	-4%	7,278	6,959	(319)	-4%	(296)
St Lukes Hospice	928	928	0	0%	2,784	2,784	0	0%	0
Sheffield Teaching Hospitals NHS FT MacMillan Unit and Palliative Care	738	746	8	1%	3,007	3,037	30	1%	283
SUB TOTAL - CONTINUING CARE SERVICES	19,811	19,560	(251)	-1%	60,226	59,761	(465)	-1%	(440)
Primary Care									
Co-Commissioning									
Core Contract	17,342	17,184	(158)	-1%	52,026	52,026	0	0%	0
Premises	3,538	3,501	(37)	-1%	10,615	10,615	0	0%	0
QoF	2,570	2,570	0	0%	7,711	7,711	0	0%	0
Enhanced Services	917	916	(1)	0%	3,787	3,765	(21)	-1%	0
Primary Care Other	428	680	252	59%	1,284	2,058	774	60%	774
Sub total - Primary Care Delegated	24,795	24,850	55	0%	75,424	76,176	752	1%	774
Locally Commissioned Primary Care Services	5,538	5,535	(3)	0%	20,105	20,045	(59)	0%	5
STH GP Collaborative Out of Hours Service	1,471	1,471	0	0%	4,412	4,412	0	0%	0
GP Prescribing									
Prescribing	30,638	31,175	538	2%	91,913	95,715	3,802	4%	3,031
Medicines Management Team	680	692	12	2%	2,049	2,030	(19)	-1%	(19)
SUB TOTAL - PRIMARY CARE SERVICES	63,122	63,723	601	1%	193,902	198,378	4,475	2%	3,791
Collaborative Working									
Accountable Care Partnership	35	32	(3)	-10%	109	109	0	0%	0
Integrated Care System	1,503	1,753	250	17%	1,784	1,784	0	0%	0

Better Care Fund	46	40	(6)	-13%	140	140	0	0%	0
Other Collaborative Working	29	29	0	0%	86	86	0	0%	0
Collaborative Working	1,612	1,853	241	15%	2,119	2,119	0	0%	0
Other Programme									
111	565	565	0	0%	1,696	1,696	0	0%	0
IFR team	22	15	(7)	-31%	66	55	(11)	-17%	(6)
Other Commissioning	945	1,187	242	26%	2,740	3,417	678	25%	275
Development Nurses	187	188	1	1%	564	549	(15)	-3%	(13)
Continuing Healthcare Assessments	837	697	(140)	-17%	2,523	2,407	(116)	-5%	(32)
Other Programme	2,557	2,653	96	4%	7,589	8,125	536	7%	223
Reserves									
Commissioning Reserves and Non recurrent reserve	23	0	(23)		5,754	2,065	(3,689)	-64%	(1,636)
General Contingency Reserve	1,014	0	(1,014)		3,155	0	(3,155)	-100%	(3,444)
ICS Reserves	0	0	0		3,303	3,303	0	0%	0
Primary Care	133	0	(133)		400	0	(400)		(400)
Unidentified QIPP	0	0	0		0	0	0		0
Planned Surplus	5,677	0	(5,677)	-100%	17,031	0	(17,031)	-100%	(17,031)
Reserves	6,847	0	(6,847)	-100%	29,643	5,368	(24,275)	-82%	(22,511)
SUB TOTAL - OTHER PROGRAMME SERVICES	9,404	2,653	(6,751)	-72%	37,233	13,494	(23,739)	-64%	(22,289)
TOTAL EXPENDITURE - PROGRAMME SERVICES	302,987	297,771	(5,216)	-2%	916,409	899,782	(16,626)	-2%	(16,707)
(UNDER)/OVER SPEND - Programme Costs	(0)	(5,216)	(5,216)		(0)	(16,627)	(16,626)		(16,707)
RUNNING COSTS ALLOWANCE									
Funding net of £1.0m transfer to commissioning budgets	4,160	4,160	0	0%	12,643	12,643	0	0%	0
EXPENDITURE									
Accountable Officer	711	609	(102)	-14%	2,137	2,075	(62)	-3%	(7)
Commissioning & Performance	1,074	976	(98)	-9%	3,207	3,154	(52)	-2%	(79)
Finance & Facilities	900	800	(100)	-11%	2,706	2,687	(19)	-1%	(5)
Nursing, Quality & Workforce	756	692	(64)	-8%	2,275	2,152	(123)	-5%	(93)
Transformation & Delivery	385	284	(101)	-26%	1,162	1,024	(139)	-12%	(129)
Running Cost Reserve	0	0	0		157	157	0	0%	0
Running Cost Planned surplus	333	0	(333)	-100%	1,000	0	(1,000)	-100%	(1,000)
TOTAL EXPENDITURE - RUNNING COSTS	4,160	3,362	(798)	-19%	12,643	11,249	(1,394)	-11%	(1,314)
(UNDER)/OVER SPEND - Running Costs	0	(798)	(798)		0	(1,394)	(1,394)		(1,314)
TOTAL (UNDER)/OVER SPEND	(0)	(6,014)	(6,014)	-	(0)	(18,021)	(18,021)	-	(18,021)

NHS Sheffield Clinical Commissioning Group
Finance Report 2019/20 - Financial Position for Period Ending 31 July 2019

Main Provider Contracts

	Year to Date: July				Year End Forecast Out-turn				
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000	£'000	£'000	%	£'000	£'000	£'000	%	
EXPENDITURE									
Sheffield Teaching Hospitals NHS FT									
Planned Care - STH	48,244	48,442	198	0.4%	143,614	144,679	1,065	0.7%	
Urgent Care - STH	53,866	54,033	167	0.3%	155,958	156,333	375	0.2%	
Community Care - STH	5,659	5,659	0	0.0%	16,978	16,978	0	0.0%	
Other Acute - STH	12,065	12,354	289	2.4%	38,838	39,484	646	1.7%	
High Cost Drugs - STH	5,469	5,469	0	0.0%	16,407	16,407	0	0.0%	
Maternity Services	3,778	3,806	28	0.7%	11,333	11,417	84	0.7%	
Primary Care - Out of Hours	1,471	1,471	0	0.0%	4,412	4,412	0	0.0%	
Intermediate Care & Reablement	14,123	14,123	0	0.0%	42,369	42,369	0	0.0%	
End of Life Care	738	746	8	1.1%	3,007	3,037	30	1.0%	
<i>Sub Total</i>	145,413	146,103	690	0.5%	432,916	435,116	2,200	0.5%	
Sheffield Children's NHS FT									
Planned Care - SCH	4,201	4,253	52	1.2%	12,793	12,886	93	0.7%	
Urgent Care - SCH	4,455	4,434	(21)	-0.5%	13,366	13,337	(29)	-0.2%	
Community Care - SCH	1,301	1,289	(12)	-0.9%	3,902	3,867	(35)	-0.9%	
Mental Health Services - SCH	2,053	2,102	49	2.4%	6,369	6,517	148	2.3%	
Other Acute - SCH	580	612	32	5.5%	1,336	1,377	41	3.0%	
High Cost Drugs - SCH	313	294	(19)	-6.1%	940	882	(57)	-6.1%	
Safeguarding	0	0	0	#DIV/0!	0	0	0	#DIV/0!	
<i>Sub Total</i>	12,902	12,984	82	0.6%	38,706	38,867	160	0.4%	
Sheffield Health and Social Care NHS FT									
Mental Health & Learning Disabilities	27,681	27,681	(0)	0.0%	83,042	83,042	0	0.0%	
<i>Sub Total</i>	27,681	27,681	(0)	0.0%	83,042	83,042	0	0.0%	
	185,996	186,767	772	0.4%	554,664	557,025	2,360	0.4%	

NHS Sheffield Clinical Commissioning Group
Finance Report 2019/20 - Financial Position for Period Ending 31 July 2019
Memorandum: Section 75 - Better Care Fund

Theme	Year to Date: July				Year End Forecast Out-turn				Forecast Variance @ Month 3 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
			Over (+)/ Under(-)				Over (+)/ Under(-)		
£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	£'000s	
Citywide Position									
People Keeping Well in their local community	2,911	2,405	(505)	(17.4%)	8,011	7,804	(207)	(2.6%)	(139)
Active Support & Recovery	18,575	18,556	(19)	(0.1%)	55,637	55,618	(19)	(0.0%)	(67)
Independent Living Solutions	1,346	1,389	43	3.2%	3,987	4,209	223	5.6%	481
Ongoing Care	47,902	44,657	(3,245)	(6.8%)	142,885	144,477	1,592	1.1%	1,020
Emergency Medical Admissions - STH	22,874	22,941	67	0.3%	68,622	68,822	200	0.3%	200
Mental Health	36,561	36,685	124	0.3%	109,682	109,778	96	0.1%	158
Capital Grants	1,032	536	(496)	(48.0%)	9,322	7,816	(1,506)	(16.2%)	(1,506)
TOTAL EXPENDITURE	131,200	127,169	(4,032)	(3.1%)	398,146	398,525	379	0.1%	147
NHS Sheffield CCG									
People Keeping Well in their local community	506	487	(19)	(3.7%)	1,517	1,492	(25)	(1.6%)	7
Active Support & Recovery	15,015	15,015	0	0.0%	45,045	45,045	(0)	(0.0%)	(0)
Independent Living Solutions	602	726	125	20.7%	1,805	2,179	374	20.7%	520
Ongoing Care	15,288	14,985	(303)	(2.0%)	45,865	44,956	(909)	(2.0%)	(1,023)
Emergency Medical Admissions - STH	22,874	22,941	67	0.3%	68,622	68,822	200	0.3%	200
Mental Health	34,400	34,413	13	0.0%	103,199	103,239	39	0.0%	(235)
Capital Grants	0	0	0	0.0%	0	0	0	0.0%	0
CCG Total	88,684	88,567	(117)	(0.1%)	266,053	265,733	(320)	(0.1%)	(531)
Sheffield City Council (SCC)									
People Keeping Well in their local community	2,405	1,918	(487)	(20.2%)	6,494	6,312	(183)	(2.8%)	(146)
Active Support & Recovery	3,560	3,541	(19)	(0.5%)	10,592	10,573	(19)	(0.2%)	(67)
Independent Living Solutions	745	663	(82)	(11.0%)	2,182	2,030	(151)	(6.9%)	(39)
Ongoing Care	32,613	29,671	(2,942)	(9.0%)	97,021	99,521	2,501	2.6%	2,043
Emergency Medical Admissions - STH	0	0	0	0.0%	0	0	0	0.0%	0
Mental Health	2,161	2,272	111	5.2%	6,483	6,539	57	0.9%	393
Capital Grants	1,032	536	(496)	(48.0%)	9,322	7,816	(1,506)	(16.2%)	(1,506)
SCC Total	42,516	38,601	(3,915)	(9.2%)	132,093	132,792	698	0.5%	678

Notes:**Key elements of each theme are summarised below:**

People Keeping Well in their local community	Includes Care Planning, Health trainers/ Community Support Workers, Community Grants and Support to VCF sector, Public Health, Housing related support to Older People and other support services
Active Support & Recovery	Includes community nursing, Intermediate Care Beds, CICs, Transfer of Care Teams, STIT, Intermediate Care Assessment teams
Independent Living Solutions	Includes community equipment and adaptations
Ongoing Care	Includes CHC& FNC, Learning Disabilities, Adult Social Care. From April 2017, this excludes spend on mental health which is now included in the mental health theme.
Emergency Medical Admissions - STH	Includes Adult Inpatient Medical Emergency Admissions (excluding gastroenterology)
Mental Health	Includes all adult mental health services as commissioned by the CCG, with those for under 65 years purchased by SCC in 2017/18.

Additional Funding via CCG into Sheffield System 2019/20 - Status as at July 2019

Appendix E

Funding Name	Source	Provider	Description	Recurrent?	Funding £'000	Forecast 19/20 Spend £'000
Diabetes Treatment & Care	NHS England	STH	Structural Education, Multi-Disciplinary Foot Team, Specialist Nursing	Non- Recurrent	180	180
Latent TB Initiative	NHS England	STH/Primary Care	Latent TB services for Sheffield	Non- Recurrent	25	25
Community Mental Health Transformation Funding	NHS England	Various	Create a new Primary Care/Community Mental Health service	Non- Recurrent	1,429	1,429
Personalisation	NHS England		Patient Activation Measure Mentor	Non- Recurrent	20	20
Maternity Transformation	ICS	STH		Non- Recurrent	744	744
Suicide Prevention	ICS	Various	Pilot new services and implement targetted training to reduce suicides in Sheffield	Non- Recurrent	167	167
					2,565	2,565