

Month 11 Finance Update

Governing Body meeting

4 April 2019

1. Executive Summary

Key Duties	Year to date	Forecast	Key Issues
Deliver £18.0m Surplus (CCG's Control Total) against Commissioning Revenue Resource Limit (RRL) + RCA combined	(£16.5m) Under Spend	(£18.0m) Under Spend	The surplus brought forward from 2017/18 was £18.0m. For 2018/19, the CCG has been set an in-year breakeven control total by NHS England, i.e. we are required to maintain our brought forward cumulative surplus. We are forecasting achievement of this surplus.
a) Achieve a surplus against the Programme Allocation	(£14.0m) Under Spend	(£15.2) Under Spend	With one month remaining in the financial year the most likely scenario suggests that we have sufficient mitigations and contingencies to report delivery of the forecast and hence to report to NHS England that we have no "uncovered risk". As a result we have RAG rated delivery as green.
b) Remain within Running Cost Allowance (RCA) of £12.66m.	(£2.6m) Under Spend	(£2.8m) Under Spend	At the plan stage, we agreed £0.3m of our surplus should come from the RCA. In line with previous reports, we have identified additional savings as a result of careful management of budgets.
Remain within Cash Limit (i.e. Maximum draw down set by NHS England)	£1.4m closing balance	Breakeven	The CCG's maximum draw down for 2018/19 notified in February was £876.5m. To remain within this limit, which requires the revenue position to be brought in on plan, cash payments will need to be managed to meet this target.

Figures are subject to rounding

Key:

Red	Significant risk of non-delivery. Additional actions need to be urgently pursued.
Amber	Medium risk of non-delivery requires additional management effort.
Green	Low risk of non-delivery – current management effort should deliver success.

2. Summary of the reported position

The overall position is summarised in table 1 below.

Table 1: Summary Position at 31 January 2019	Annual Budget £'000s	Year to Date Variance £'000s	Forecast Variance £'000s	Forecast Variance %
Acute Hospital Care	409,357	10,807	12,695	3.1%
Mental Health & Learning Disabilities	89,215	63	(242)	-0.3%
Prescribing	95,860	(3,538)	(4,127)	-4.3%
Community Services including CHC	157,243	(947)	(867)	-0.6%
Primary Care	94,438	(608)	(1,514)	-1.6%
Collaborative Working	13,576	(916)	(87)	-0.6%
Reserves including planned surplus	21,572	(18,829)	(21,092)	
Programme Costs	881,262	(13,968)	(15,234)	-1.7%
Running Costs	12,778	(2,551)	(2,770)	-21.7%
Year to date and Year end Surplus	894,040	(16,519)	(18,004)	-2.0%

Figures are subject to rounding

We are reporting an overall year-to-date (YTD) surplus of £16.5m, which is in line with our planned surplus for this point in the year. The reported forecast is that the CCG will meet the planned cumulative surplus of £18m by achieving our required in year breakeven plan. This is after utilising £2.1m of the £2.4m contingency reserve, leaving a small amount of contingency to manage any remaining pressures which may emerge in the remaining month of the financial year.

Further detail of spend in different areas can be found at Appendix A (at summary level) and a more detailed position by programme category is then provided in Appendix B. Appendix C provides a summary of the financial position for our main contracts with Sheffield providers (STHFT, SCFT and SHSCT), with further detail in Appendix D on the activity and spend for STHFT. Appendix E summarises the overall position for budgets within the scope of the BCF arrangement with Sheffield City Council.

Overall Risk Assessment

Overall the forecast position at month 11 is in line with that previously reported. The anticipated risks and expected slippage in reserves have broadly materialised as expected. Our assessment is that we have sufficient mitigating actions to manage the remaining level of financial risk.

Risk Assessment within context of Sheffield ACP and SY&BL ICS Financial Position

The overall risk assessment above is based on the CCG's own organisational risks. This takes into account our view on the level of funding which will flow under our existing commissioning and contractual arrangements to our key partners. This broadly equates to the income assumed by our provider partners as part of the most recent triangulation process at month 10.

The SY&BL ICS Director of Finance produces a monthly report summarising the financial position for all NHS organisations within the ICS. These are the positions as reported to our respective national regulators NHS England and NHS Improvement. These showed

that there was a residual risk to delivery of the ICS wide control total. As a result, it was agreed to allocate £2m system transformation funding to all trusts in SY&B, which is expected to improve the overall financial position of the provider sector and allow delivery of the overall control total and so securing all of the Provider Sustainability Funding (PSF) related to delivery of overall system performance.

3 Further Information on Key Budgets and related risks

Acute Hospital Activity:

Sheffield Teaching Hospitals (STH) is by far the most significant contract in value terms as it is planned to account for £400m (including the MSK and Walk in Centre contracts). At month 11, there is a £10m (2.7%) overspend for the contract overall (compared to an over spend at month 10 of £8.1m) including £3.8m of slippage on QIPP plans.

The table below provides a breakdown of the £10m variance (it is worth noting that there are a number of assumptions behind this analysis, due to the level of uncoded activity).

Contract Position for the period ending February 2019	Financial variance	Volume of activity	Price of activity
Planned Inpatients	£ 246	£ 643	-£ 397
All Outpatients Appointments	£ 3,401	£ 2,445	£ 955
Urgent Inpatients	£ 3,807	£ 1,204	£ 2,603
A&E	£ 677	£ 1,044	-£ 367
Sub Total	£ 8,131	£ 5,337	£ 2,794
High Cost Drugs	£ 1,026		
All other areas	£ 886		
Total	£ 10,042		

February activity data continues to include high levels of un-coded activity for the latest month (74% for elective inpatients and 80% for non-elective inpatients). An estimate of £12.5m has been included for this activity. As previously reported, the CCG will only pay for activity at the correct coding as any over/under payments based on estimated information are corrected as part of the standard reconciliation processes with the trust.

The Outpatient queue continues to report a fairly static position over the last few months but it remains impossible to compare the count this year with March 2018 and earlier periods. During February the Inpatient Waiting List has dropped slightly and is now 9.6% above March (last month was 11.5%). The February RTT position shows that STHFT achieved 92.54% overall but some specialties remain under target.

The year-end forecast remains at the £11.9m over spend predicted previously but there have been changes to some areas within this overall position. Non elective activity and price increased in January as we often expect in winter, this risk had been reflected via our assessment of risks and benefits in previous months but is now recognised in our forecast. In addition to this we have now received the latest CQUINS assessment from STHFT and the under-achievement of certain schemes has been factored into the reported position.

Sheffield Children's (SCH) shows an increase in forecast of £65k to a predicted overspend of £453k. The majority of this movement is due to increased outpatient activity.

Individual Funding Requests (IFR) now shows a negative budget and actuals. This is as a result of raising an invoice (£711k) to another CCG as part of responsible commissioner arrangements as based on NHSE guidance and our legal advice the other CCG is the responsibility commissioner. This benefit to the financial position has previously been factored into the risk assessment (Section 2).

Primary Care: A detailed report was presented to the Primary Care Commissioning Committee (PCCC) on 21st March. This notes that there has been no material change in the position reported at Month 10. Actions to spend the various non recurrent allocations in 2018/19 are largely complete.

Continuing Healthcare (CHC): Adults CHC and Children's Continuing Care continue to be in line with previous forecasts of around £300k underspend for each. Funded Nursing Care (FNC) underspend has increased by £144k to £710k as a result of a further reduction in patients in receipt of FNC.

Prescribing: The latest data received relates to January. Using this data the year to date underspend is £3.4m with an expectation of £4.0m underspend at year end. Actual spend in December was roughly in line with the previous forecast with the average price per item increasing but growth of items prescribed reducing.

Collaborative Working: For 2018/19 a separate section has been included on Appendix A, to report spend on our collaborative working with partners, particularly as Sheffield CCG is the host organisation for both the SY&BL Integrated Care System (ICS) (incorporating the previous commissioner working together arrangements) and the Sheffield Accountable Care Partnership (ACP).

The annual ICS budget for 2018/19 is £13.1m, inclusive of Cancer Alliance. All ICS reserves have been transferred to budgets and few changes are expected in month 12. The ICS finance team is working with work stream leads to ensure that forecasts are accurate and that any required transactions are recorded on a timely basis.

Small underspends within the Sheffield ACP and Better Care Fund are forecast due to in year vacancies within the programme teams.

Section 75 Framework Partnership Agreement (Better Care Fund): Appendix E shows the citywide position in relation to the Better Care Fund. The year to date position shows an overspend of £4.5m in relation to CCG budgets (mainly Emergency Medical Admissions) and an overspend of £6.1m in relation to council budgets, mainly in relation to Ongoing Care (Adult social care purchasing and Learning Disabilities purchasing).

The overall forecast is an overspend of £12.0m. Sheffield CCG has reported a pressure of £5.3m, mainly relating to spend on Emergency Medical Admissions at Sheffield Teaching Hospitals. Sheffield City Council reports a forecast overspend of £6.7m. The overspends on Ongoing Care (£9.3m) and Mental Health (£0.3m) are partially offset by slippage of £2.3m on capital grants and £0.8m across Active Support and Recovery and People Keeping Well in Their Community.

Running Costs: The forecast spend against the £12.8m running cost allocation is summarised in the table below. At month 11 a £2.77m underspend is forecast showing little movement to the position at month 10.

Category	Annual Budget £'000s	YTD Variance £'000s	Forecast Variance £'000s
Pay	8,925	(590)	(625)
Non Pay	3,520	(656)	(428)
Income	(1,237)	(36)	(147)
Running Costs Reserve	1,170	(902)	(1,170)
Running Costs Planned Surplus	400	(367)	(400)
Running Cost Budget	12,778	(2,551)	(2,770)

Additional Income: Under the Financial Management principles previously approved by Governing Body we are seeking to maximise additional income, over and above the CCG's core allocation, to be able to support delivery of our commissioning intentions. Appendix F details the current funding the CCG has been able to secure.

QIPP: As agreed previously by Governing Body, no detailed QIPP report is being produced during Q4 unless there is a material change to report. At month 11 we continue to forecast delivery of 85% against our £18.5m QIPP plan.

Area of Spend	Annual Gross Savings Plan (£'000)	Annual Gross Savings Forecast (£'000)	Annual Gross Savings Forecast Variance (£'000)	Year to Date Gross Savings Plan (£'000)	Year to Date Gross Savings Actual (£'000)	Year to Date Gross Savings Variance (£'000)
Childrens' services incl. Childrens' CHC	(400)	(354)	46	(369)	(331)	38
Community - Adults	(1,350)	(751)	599	(1,237)	(688)	549
Continuing Care	(2,542)	(2,505)	37	(2,358)	(2,317)	41
Continuing Care - via MH Portfolio	(1,073)	(1,110)	(37)	(945)	(994)	(49)
Elective Care	(2,708)	(1,161)	1,547	(2,295)	(981)	1,314
Excess Bed Days	(750)	(1,029)	(279)	(602)	(990)	(388)
GP Prescribing	(3,095)	(4,434)	(1,339)	(2,841)	(3,756)	(915)
High Cost Drugs	(1,304)	(1,090)	214	(1,190)	(999)	191
Individual Funding Requests	(42)	(77)	(35)	(38)	(68)	(30)
Local Authority	438	223	(215)	414	233	(181)
Primary Care & Community Services	(24)	(24)	0	(20)	(20)	0
Running Costs	(400)	(1,680)	(1,280)	(366)	(1,540)	(1,174)
Urgent Care	(4,126)	(1,541)	2,585	(3,581)	(1,421)	2,160
Other Commissioning	(168)	(58)	110	(121)	(58)	63
Learning Disabilities	(200)	(200)	0	(165)	(165)	0
Sub Total (£'000)	(17,744)	(15,791)	1,953	(15,714)	(14,095)	1,619
Unidentified	(752)	0	752	(498)	0	498
Total (£'000)	(18,496)	(15,791)	2,705	(16,212)	(14,095)	2,117
% Achievement		85.4%			86.9%	

4. Delivery of Cash Position

The CCG was notified of a maximum cash drawdown limit of £876.5m at month 11. The total cash used to the end of February was £808.1m. This was against requested cash drawdowns of £716.7m, prescribing & home oxygen of £83.0m, other income of £9.6m and a brought forward balance of £181k. No additional cash draw down was required in February. The cash balance at bank at the end of the month was £1.4m and a minimal cash balance at bank is planned for the year end.

5. Better Payment Practice Code

The Better Payment Practice Code requires the CCG to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later. A summary of the position for the 12 month period to the end of February is reported in the table below:

Measure of compliance	12 months to Feb-19 Number	12 months to Feb-19 £'000
Non-NHS Payables		
Total Non-NHS Trade invoices paid in the year	16,666	195,388
Total Non-NHS Trade Invoices paid within target	16,476	194,976
Percentage of Non-NHS Trade invoices paid within target	98.86%	99.79%
NHS Payables		
Total NHS Trade invoices paid in the year	4,058	638,519
Total NHS Trade invoices paid within target	3,997	637,950
Percentage of NHS Trade invoices paid within target	98.50%	99.91%

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On behalf of: Julia Newton, Director of Finance
March 2019

NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 28 February 2019

	Year to Date: February				Year End Forecast Out-turn				Forecast Variance @ Month 10 £'000s	
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)			Change from prev month*
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%		
PROGRAMME COSTS										
Revenue Resource Limit	803,377	803,377	0	0.0%	881,262	881,262	0	0.0%	→	0
EXPENDITURE										
Acute Hospital Care										
Elective	141,737	145,614	3,878	2.7%	153,534	159,063	5,529	3.6%	↑	5,034
Urgent care	141,791	145,999	4,208	3.0%	154,638	160,381	5,744	3.7%	↑	4,742
Other Acute Care / Ambulance Services	92,074	94,796	2,722	3.0%	101,186	102,608	1,422	1.4%	↓	2,615
	375,602	386,409	10,807	2.9%	409,357	422,052	12,695	3.1%	↑	12,390
Mental Health & Learning Disabilities										
Mental Health & Learning Disabilities	81,390	81,453	63	0.1%	89,215	88,973	(242)	-0.3%	↓	(207)
Community Services										
Elective Community Care	35,760	35,732	(28)	-0.1%	38,768	38,742	(26)	-0.1%	↓	(22)
Urgent Community Care	4,795	4,795	0	0.0%	5,227	5,227	0	0.0%	→	0
Intermediate Care & Reablement	41,839	42,554	715	1.7%	45,646	46,611	965	2.1%	↓	980
Long Term Care and End of Life	58,338	56,695	(1,643)	-2.8%	63,644	61,829	(1,815)	-2.9%	↓	(1,516)
Prescribing	87,832	84,295	(3,538)	-4.0%	95,860	91,733	(4,127)	-4.3%	↑	(4,230)
Other Commissioning	3,630	3,638	8	0.2%	3,959	3,967	8	0.2%	↓	201
	232,194	227,709	(4,485)	-1.9%	253,103	248,109	(4,995)	-2.0%	↓	(4,587)
Primary Care										
Primary Care Co-commissioning	66,600	66,163	(437)	-0.7%	72,672	72,309	(363)	-0.5%	↑	(418)
Locally Commissioned Primary Care Services	18,091	17,920	(171)	-0.9%	21,766	20,616	(1,150)	-5.3%	↓	(1,104)
	84,691	84,083	(608)	-0.7%	94,438	92,924	(1,514)	-1.6%	↑	(1,522)
Collaborative Working										
Collaborative Working	10,670	9,755	(916)	-8.6%	13,576	13,489	(87)	-0.6%	↓	(79)
	10,670	9,755	(916)	-8.6%	13,576	13,489	(87)	-0.6%	↓	(79)
Reserves										
Reserves	18,829	0	(18,829)	-100.0%	21,572	480	(21,092)	-97.8%	↑	(21,244)
TOTAL EXPENDITURE - PROGRAMME COSTS	803,377	789,409	(13,968)	-1.7%	881,262	866,028	(15,234)	-1.7%	↑	(15,248)
(UNDER)/OVER SPEND - Programme Costs	0	(13,968)	(13,968)		(0)	(15,234)	(15,234)		↑	(15,248)
RUNNING COSTS ALLOWANCE										
Running Cost Funding	11,536	11,536	0	0.0%	12,778	12,778	0	0.0%	→	0
Total Running Cost Expenditure	11,536	8,985	(2,551)	-22.1%	12,778	10,008	(2,770)	-21.7%	↓	(2,755)
(UNDER)/OVER SPEND - Running Costs	0	(2,551)	(2,551)		0	(2,770)	(2,770)		↓	(2,755)
TOTAL										
Revenue Resource Limit	814,913	814,913	0	0.0%	894,040	894,040	0	0.0%	→	0
Expenditure	814,913	798,394	(16,519)	-2.0%	894,040	876,036	(18,004)	-2.0%	→	(18,004)
TOTAL (A)	0	(16,519)	(16,519)		(0)	(18,004)	(18,004)		→	(18,004)

* ↑ = deterioration, ↓ = improvement, → = no change.

Appendix A (cont)

RESOURCE LIMIT ALLOCATIONS	Revenue			Annual Cash Drawdown Requirement incl Capital £'000s
	Recurrent	Non Rec	Total	
	£'000s	£'000s	£'000s	
Programme Costs - CCG				876,548
18/19 Opening CCG Recurrent Allocation	761,852		761,852	
2018-19 Additional Cash Uplift announced January 2018	5,867		5,867	
18/19 Opening Position - Primary Care Co Commissioning - Delegated	77,727		77,727	
18/19 Opening Position - Recurrent Change - Primary Care Access	3,496		3,496	
18/19 Opening Position Allocation adjustments b/f from 17/18	(2,945)		(2,945)	
2017/18 Brought Forward Surplus/Deficit		18,004	18,004	
SCH AMBER services	718		718	
18/19 Paramedic Allocations		147	147	
Health and Social Care Network		169	169	
Latent TB Qtrs 1,2,3 & 4 allocations		90	90	
Diabetes Transformation Fund		636	636	
2018-19 CYP IAPT Trainee staff salary support funding		36	36	
Learning Disabilities mortality reviews		34	34	
Adjustment for IR Changes, made recurrent M5	6		6	
Agenda for Change pay award uplift - Programme	60		60	
CYP Looked After Children Personalised Care		130	130	
Personalisation Level 2 demonstrator funding 2018/19		50	50	
GP WiFi maintenance Yr 2		46	46	
Patient Activation Mentor sites 2018/19		8	8	
National Diabetes Prevention Programme Q1,2 & 3		23	23	
Excess Treatment Programme		(16)	(16)	
Windows 10 Upgrades		58	58	
Hadfield Wing Support		750	750	
GP Uplift - delegated		592	592	
GPFV Practice Nurse Measures		16	16	
Development of Primary Care networks		575	575	
Charge Exempt Overseas Visitor (CEOV) Adjustment		(148)	(148)	
Medicines Optimisation in Care Homes Q1 - 4		355	355	
Cancer 62 Day Performance Improvement Funding (Sheffield Teaching)		26	26	
Mental Health Winter Pressures		39	39	
Quality Premium Tranche 1 Measures 2 - 6		256	256	
Correction Month 10 - AfC Pay award uplift - Programme	(60)	60	0	
Green Paper Waiting Time Initiatives		161	161	
S117 Mental Health Personal health Budget PHB development programme		10	10	
GP workload tool backfill for GPs to test		17	17	
HCA Apprenticeship Development		16	16	
LAC Sheffield remaining Flexi Funding		40	40	
Programme Costs - ICS				
Core Funding for South Yorkshire Cancer Alliance		275	275	
National Support Funding for South Yorkshire Cancer Alliance		744	744	
ED Revenue Funding for South Yorkshire Cancer Alliance		2,428	2,428	
Infrastructure funding for STPs		282	282	
Suicide Prevention - Qtrs 1,2,3 & 4		317	317	
Perinatal Comm Services Development Fund		880	880	
Maternity Transformation Funding		497	497	
South Yorkshire & Bassetlaw ICS - Transformation Funds		6,937	6,937	
Comms support funding for ICS		233	233	
Improving Access to General Practice: Budding Network		5	5	
2018/19 UEC Transformation Allocation for SYB STP		431	431	
Elective Care - 18/19 Elective Care funding - SY&B ICS		109	109	
Advanced practice managers course - part funding for ICS		6	6	
ICS Population Health Management Transformation Funding		232	232	
ICS funding - leadership		85	85	
Transformation - Cancer		7	7	
STP Comms Support - public engagement		40	40	
ICS transformation funding - enhanced health in care homes		50	50	
ICS Prevention Funding		(1,200)	(1,200)	
Cancer Alliance Programme/Project Management		3	3	
Month 11 Programme Costs Resource Limit	846,721	34,541	881,262	876,548
Running Costs				
Initial Running Costs allocation	12,612		12,612	
Market rents		28	28	
Health and Social Care Network		21	21	
Agenda for Change pay award uplift - Admin	117		117	
Correction Month 10 - AfC Pay award uplift	(117)	117	0	
Month 11 Running Cost Resource Limit	12,612	166	12,778	
CLOSING LIMITS (B)	859,333	34,707	894,040	876,548

Memo Table: Planned Surplus

	£'000
Historic Surplus b/f	6,134
Plus release of 1% reserve in 2016/17	8,124
Plus release of 0.5% reserve in 2017/18	3,746
Cumulative surplus carried forward from 2017/18	18,004
Planned increase to surplus in 2018/19	0
Total planned surplus for 2018/19	18,004

Memo Table: Forecast In Year Financial Performance

Total Allocation 18/19 from the above table	894,040
Less cumulative surplus carried forward from 2017/18	-18,004
In Year Allocation	876,036

Expenditure:

Forecast Expenditure (Programme Spend plus Running Costs)	876,036
Forecast under/(over)-spend against in year allocation	0

NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 28 February 2019

	Year to Date: February				Forecast Out-turn				Forecast	
	Budget	Expenditure	Variance		Budget	Forecast	Variance		Variance @	
	£'000s	£'000s	Over (+)/ Under(-)		£'000s	£'000s	Over (+)/ Under(-)		Month 10	
									£'000s	
PROGRAMME COSTS										
Revenue Resource Limit	803,377	803,377	0	0%	881,262	881,262	0	0%		0
EXPENDITURE										
Acute Hospital Care										
Planned Care										
Sheffield Teaching Hospitals NHS FT	125,113	128,680	3,567	3%	135,384	140,447	5,062	4%		4,668
Sheffield Children's NHS FT	11,152	11,249	97	1%	12,166	12,331	165	1%		109
Other NHS Trusts	3,278	3,599	321	10%	3,618	4,022	404	11%		371
ISTC & Extended Choice	2,193	2,086	(107)	-5%	2,365	2,263	(102)	-4%		(114)
Planned Care	141,737	145,614	3,878	3%	153,534	159,063	5,529	4%		5,034
Urgent Care										
Sheffield Teaching Hospitals NHS FT	124,951	129,034	4,083	3%	136,234	141,766	5,532	4%		4,541
Sheffield Children's NHS FT	10,958	10,921	(37)	0%	11,954	11,990	36	0%		25
Other NHS Trusts	5,882	6,043	161	3%	6,450	6,626	176	3%		176
Urgent Care	141,791	145,999	4,208	3%	154,638	160,381	5,744	4%		4,742
Other Acute Care / Ambulance Services										
Sheffield Teaching Hospitals NHS FT	61,384	63,077	1,693	3%	67,715	68,036	321	0%		1,653
Sheffield Children's NHS FT	1,930	2,057	127	7%	2,105	2,246	140	7%		137
Other NHS Trusts	1,801	1,981	179	10%	1,860	2,032	172	9%		172
ISTC & Extended Choice	23	23	0	0%	54	54	0	0%		0
Ambulance Services	22,674	22,451	(223)	-1%	24,735	24,494	(241)	-1%		(241)
Other Acute Services including NCAs	4,262	5,208	946	22%	4,718	5,746	1,029	22%		894
Other Acute Care	92,074	94,796	2,722	3%	101,186	102,608	1,422	1%		2,615
Mental Health & Learning Disabilities										
Sheffield Health and Social Care NHS FT	72,597	72,442	(155)	0%	79,196	79,044	(152)	0%		(330)
Sheffield Children's NHS FT	5,422	5,512	90	2%	6,055	6,153	98	2%		103
Local Authority	1,135	1,168	33	3%	1,238	1,274	36	3%		36
Other Mental Health Services	2,237	2,331	95	4%	2,726	2,502	(224)	-8%		(16)
Mental Health & Learning Disabilities	81,390	81,453	63	0%	89,215	88,973	(242)	0%		(207)
Community Services										
Planned Care										
Sheffield Teaching Hospitals NHS FT including MSK	11,666	11,754	88	1%	12,663	12,763	100	1%		100
Sheffield Children's NHS FT	3,749	3,762	13	0%	4,090	4,104	14	0%		14
Local Authority	19,192	19,208	16	0%	20,755	20,768	13	0%		5
Development Nurses	421	321	(100)	-24%	459	352	(106)	-23%		(105)
Other Community Services	732	687	(45)	-6%	801	755	(46)	-6%		(36)
Planned Community Care	35,760	35,732	(28)	0%	38,768	38,742	(26)	0%		(22)
Urgent Care										
111	1,332	1,332	0	0%	1,449	1,449	0	0%		0
Out of Hours	3,463	3,463	0	0%	3,778	3,778	0	0%		0
Urgent Community Care	4,795	4,795	0	0%	5,227	5,227	0	0%		0
Intermediate Care & Reablement										
Sheffield Teaching Hospitals NHS FT	38,160	38,891	731	2%	41,633	42,614	982	2%		982
Local Authority	1,666	1,666	0	0%	1,817	1,817	0	0%		0
Community Equipment	2,013	1,997	(16)	-1%	2,196	2,179	(17)	-1%		(2)
Intermediate Care	41,839	42,554	715	2%	45,646	46,611	965	2%		980
Long Term Care and End of Life										
Continuing Care	43,512	42,973	(539)	-1%	47,468	46,878	(590)	-1%		(512)
Continuing Healthcare Assessments	2,695	2,425	(269)	-10%	2,942	2,627	(315)	-11%		(292)
Continuing Care - IFRs	57	44	(13)	-23%	63	48	(14)	-23%		(14)
Funded Nursing Care	7,040	6,389	(651)	-9%	7,680	6,970	(710)	-9%		(566)
St Lukes Hospice	2,163	2,113	(50)	-2%	2,360	2,306	(54)	-2%		(46)
Sheffield Teaching Hospitals NHS FT	2,870	2,751	(120)	-4%	3,131	3,001	(130)	-4%		(85)
Long Term Care	58,338	56,695	(1,643)	-3%	63,644	61,829	(1,815)	-3%		(1,516)
GP Prescribing										
Prescribing	86,217	82,794	(3,423)	-4%	94,097	90,057	(4,040)	-4%		(4,151)
Medicines Optimisation Team	1,616	1,501	(115)	-7%	1,763	1,676	(87)	-5%		(79)
Prescribing	87,832	84,295	(3,538)	-4%	95,860	91,733	(4,127)	-4%		(4,230)
Other Commissioning										
Other Commissioning	3,630	3,638	8	0%	3,959	3,967	8	0%		201
Other Commissioning	3,630	3,638	8	0%	3,959	3,967	8	0%		201
Primary Care										
Co-Commissioning										
Core Contract	46,753	46,843	89	0%	51,004	51,123	119	0%		116
Premises	9,201	9,038	(162)	-2%	10,040	9,947	(93)	-1%		(85)
QoF	6,864	6,864	0	0%	7,501	7,501	0	0%		0
Enhanced Services	1,630	1,441	(189)	-12%	1,776	1,574	(201)	-11%		(207)
Primary Care Other	2,153	1,978	(175)	-8%	2,352	2,164	(188)	-8%		(241)
Locally Commissioned Primary Care Services	18,091	17,920	(171)	-1%	21,766	20,616	(1,150)	-5%		(1,104)

Primary Care	84,691	84,083	(608)		94,438	92,924	(1,514)	-2%	(1,522)
Collaborative Working									
Accountable Care Partnership	72	(6)	(77)	-108%	78	18	(60)	-77%	(55)
Integrated Care System	10,263	9,440	(822)	-8%	13,140	13,132	(8)	0%	(8)
Better Care Fund	157	142	(16)	-10%	172	154	(18)	-11%	(16)
Other Collaborative Working	179	179	(0)	0%	186	186	(0)	0%	(0)
Collaborative Working	10,670	9,755	(916)		13,576	13,489	(87)		(79)
Reserves									
Commissioning Reserves	338	0	(338)		1,765	125	(1,640)	-93%	(1,844)
General Contingency Reserve	2,355	0	(2,355)		2,355	238	(2,117)	-90%	(2,173)
ICS Reserves	0	0	0		0	0	0	#DIV/0!	0
Primary Care	0	0	0		395	117	(278)		(185)
Unidentified QIPP	0	0	0		(752)	0	752		752
0.5% Non Recurrent Reserve	0	0	0		205	0	(205)	-100%	(190)
Planned Surplus	16,137	0	(16,137)	-100%	17,604	0	(17,604)	-100%	(17,604)
Reserves	18,829	0	(18,829)	-100%	21,572	480	(21,092)	-98%	(21,244)
TOTAL EXPENDITURE - PROGRAMME COSTS	803,377	789,409	(13,968)	-2%	881,262	866,028	(15,234)	-2%	(15,248)
(UNDER)/OVER SPEND - Programme Costs	0	(13,968)	(13,968)		(0)	(15,234)	(15,234)		(15,248)
RUNNING COSTS ALLOWANCE									
Funding net of £1.5m transfer to commissioning budgets	11,536	11,536	0	0%	12,778	12,778	0	0%	0
EXPENDITURE									
Accountable Officer	1,869	1,678	(191)	-10%	2,040	1,860	(180)	-9%	(174)
Commissioning & Performance	2,616	2,430	(186)	-7%	2,850	2,706	(144)	-5%	(170)
Finance & Facilities	2,642	2,243	(399)	-15%	2,883	2,544	(339)	-12%	(305)
Nursing, Quality & Workforce	2,194	1,875	(319)	-15%	2,401	2,064	(338)	-14%	(337)
Transformation & Delivery	947	760	(187)	-20%	1,034	835	(199)	-19%	(199)
Running Cost Reserve	902	0	(902)		1,170	0	(1,170)	-100%	(1,170)
Running Cost Planned surplus	367	0	(367)	-100%	400	0	(400)	-100%	(400)
TOTAL EXPENDITURE - RUNNING COSTS	11,536	8,985	(2,551)	-22%	12,778	10,008	(2,770)	-22%	(2,755)
(UNDER)/OVER SPEND - Running Costs	0	(2,551)	(2,551)		0	(2,770)	(2,770)		(2,755)
TOTAL (UNDER)/OVER SPEND	0	(16,519)	(16,519)	-	(0)	(18,004)	(18,004)	-	(18,004)

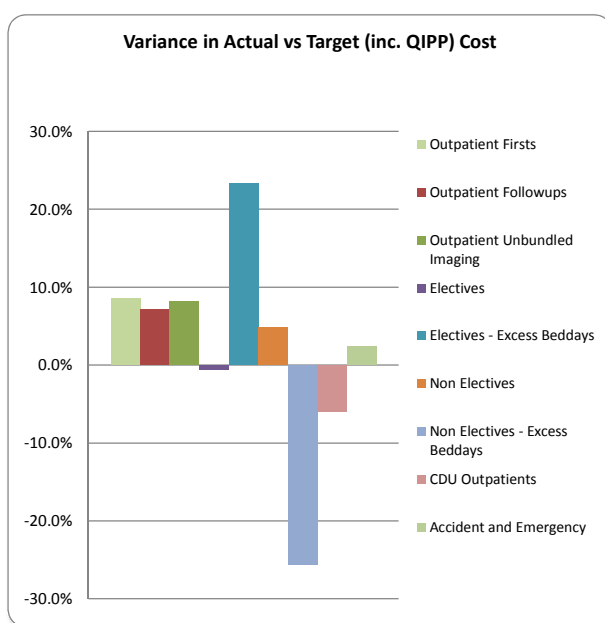
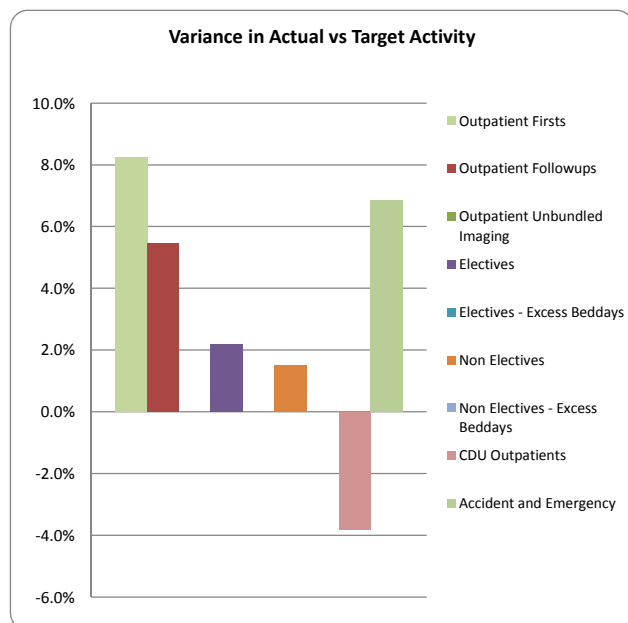
NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 28 February 2019

Main Provider Contracts

	Year to Date: February				Year End Forecast Out-turn			
	Budget	Expenditure	Variance		Budget	Forecast	Variance	
	£'000	£'000	£'000	%	£'000	£'000	£'000	%
EXPENDITURE								
Sheffield Teaching Hospitals NHS FT								
Planned Care - STH	125,113	128,680	3,567	2.9%	135,384	140,447	5,062	3.7%
Urgent Care - STH	124,951	129,034	4,083	3.3%	136,234	141,766	5,532	4.1%
Community Care - STH	11,666	11,754	88	0.8%	12,663	12,763	100	0.8%
Other Acute - STH	37,040	38,025	984	2.7%	41,158	40,975	(182)	-0.4%
High Cost Drugs - STH	14,090	15,115	1,026	7.3%	15,371	16,220	850	5.5%
Maternity Services	10,254	9,937	(317)	-3.1%	11,186	10,840	(346)	-3.1%
Primary Care - Out of Hours	3,463	3,463	0	0.0%	3,778	3,778	0	0.0%
Intermediate Care & Reablement	38,160	38,891	731	1.9%	41,633	42,614	982	2.4%
End of Life Care	2,870	2,751	(120)	-4.2%	3,131	3,001	(130)	-4.2%
<i>Sub Total</i>	367,608	377,650	10,042	2.7%	400,537	412,404	11,867	3.0%
Sheffield Children's NHS FT								
Planned Care - SCH	11,152	11,249	97	0.9%	12,166	12,331	165	1.4%
Urgent Care - SCH	10,958	10,921	(37)	-0.3%	11,954	11,990	36	0.3%
Community Care - SCH	3,390	3,403	13	0.4%	3,698	3,712	14	0.4%
Mental Health Services - SCH	5,422	5,512	90	1.7%	6,055	6,153	98	1.6%
Other Acute - SCH	1,243	1,349	106	8.5%	1,356	1,470	114	8.4%
High Cost Drugs - SCH	687	708	22	3.1%	749	776	27	3.6%
Safeguarding	359	359	0	0.1%	391	392	0	0.1%
<i>Sub Total</i>	33,210	33,500	290	0.9%	36,370	36,823	453	1.2%
Sheffield Health and Social Care NHS FT								
Mental Health & Learning Disabilities	72,597	72,442	(155)	-0.2%	79,196	79,044	(152)	-0.2%
<i>Sub Total</i>	72,597	72,442	(155)	-0.2%	79,196	79,044	(152)	-0.2%
	473,415	483,593	10,178	2.1%	516,104	528,272	12,168	2.4%

Sheffield CCG Commissioned Activity and Costs - February 2019

Sheffield Teaching Hospitals NHS FT



Point of Delivery	Year to Date Activity Plan	Year to Date Actual Activity	Variance	
			Activity	%
Outpatient Firsts	104,150	112,740	8,590	8.2%
Outpatient Followups	258,884	272,971	14,087	5.4%
Outpatient Unbundled Imaging				
Electives	53,083	54,237	1,154	2.2%
Electives - Excess Beddays				
Non Electives	49,626	50,378	752	1.5%
Non Electives - Excess Beddays				
CDU Outpatients	30,236	29,085	-1,151	-3.8%
Accident and Emergency	118,203	126,303	8,100	6.9%
Total				

Year to Date Budget	Actual Expenditure	Variance	
		£'000s	%
18,370	19,945	1,576	8.6%
21,094	22,595	1,501	7.1%
3,529	3,815	286	8.1%
43,818	43,947	129	-0.6%
322	397	75	23.3%
101,170	106,094	4,924	4.9%
4,381	3,258	-1,123	-25.6%
1,484	1,396	-88	-5.9%
15,241	15,611	370	2.4%
209,408	217,058	7,650	3.7%

MFF Uplift Applied to Contract Monitoring Costings at 2.9422% for PbR Activity Only

Includes PbR and Non-PbR Activity (and CDU (A&E) activity)

Includes Financial Adjustments and QIPP

A&E does not include Primary Care Access Centre activity or costs

There are some minor differences between the finance report and the BI version due to financial adjustments which are not reflected in BI data

Note - This appendix now excludes the MSK contract activity

Appendix E

**NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 28 February 2019**
Memorandum: Section 75 - Better Care Fund

Theme	Year to Date: February				Year End Forecast Out-turn				Forecast Variance @ Month 10 £'000s
	Budget	Expenditure	Variance Over (+)/ Under(-)		Budget	Forecast	Variance Over (+)/ Under(-)		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	
Citywide Position									
People Keeping Well in their local community	7,275	7,029	(246)	-3.4%	8,178	7,751	(428)	-5.2%	(210)
Active Support & Recovery	48,242	48,394	152	0.3%	52,566	53,092	526	1.0%	522
Independent Living Solutions	3,648	3,978	330	9.0%	3,966	4,060	94	2.4%	97
Ongoing Care	128,084	135,879	7,795	6.1%	139,830	148,348	8,518	6.1%	8,895
Emergency Medical Admissions - STH	58,525	63,293	4,768	8.1%	63,699	69,137	5,438	8.5%	4,498
Mental Health	98,412	98,309	(103)	-0.1%	107,358	107,478	120	0.1%	(32)
Capital Grants	3,851	1,703	(2,147)	-55.8%	5,678	3,368	(2,311)	-40.7%	(2,864)
TOTAL EXPENDITURE	348,036	358,584	10,548	3.0%	381,276	393,233	11,957	3.1%	10,907
NHS Sheffield CCG									
People Keeping Well in their local community	1,626	1,514	(112)	-6.9%	1,778	1,653	(125)	-7.0%	(71)
Active Support & Recovery	39,826	40,557	731	1.8%	43,449	44,432	982	2.3%	982
Independent Living Solutions	2,013	1,997	(16)	-0.8%	2,196	2,179	(17)	-0.8%	(2)
Ongoing Care	42,922	42,163	(759)	-1.8%	46,824	45,994	(830)	-1.8%	(475)
Emergency Medical Admissions - STH	58,525	63,293	4,768	8.1%	63,699	69,137	5,438	8.5%	4,498
Mental Health	91,580	91,424	(156)	-0.2%	99,906	99,731	(174)	-0.2%	(348)
Capital Grants	0	0	0	0.0%	0	0	0	0.0%	0
CCG Total	236,493	240,949	4,456	1.88%	257,852	263,126	5,274	2.0%	4,585
Sheffield City Council (SCC)									
People Keeping Well in their local community	5,648	5,514	(134)	-2.4%	6,401	6,098	(303)	-4.7%	(138)
Active Support & Recovery	8,416	7,837	(579)	-6.9%	9,117	8,660	(457)	-5.0%	(461)
Independent Living Solutions	1,635	1,980	346	21.1%	1,770	1,881	111	6.3%	99
Ongoing Care	85,162	93,716	8,554	10.0%	93,005	102,354	9,348	10.1%	9,370
Emergency Medical Admissions - STH	0	0	0	0.0%	0	0	0	0.0%	0
Mental Health	6,832	6,884	53	0.8%	7,452	7,746	294	3.9%	315
Capital Grants	3,851	1,703	(2,147)	-55.8%	5,678	3,368	(2,311)	-40.7%	(2,864)
SCC Total	111,543	117,635	6,092	5.5%	123,423	130,107	6,683	5.4%	6,322

Notes:**Key elements of each theme are summarised below:**

People Keeping Well in their local community	Includes Care Planning, Health trainers/ Community Support Workers, Community Grants and Support to VCF sector, Public Health, Housing related support to Older People and other support services
Active Support & Recovery	Includes community nursing, Intermediate Care Beds, CICs, Transfer of Care Teams, STIT, Intermediate Care Assessment teams
Independent Living Solutions	Includes community equipment and adaptations
Ongoing Care	Includes CHC& FNC, Learning Disabilities, Adult Social Care. From April 2017, this excludes spend on mental health which is now included in the mental health theme.
Emergency Medical Admissions - STH	Includes Adult Inpatient Medical Emergency Admissions (excluding gastroenterology)
Mental Health	Includes all adult mental health services as commissioned by the CCG, with those for under 65 years purchased by SCC in 2017/18.

Funding Name	Source	Provider	Description	Recurrent?	Funding £'000
CYP IPC Project	NHS England	Mainly CCG Costs	Integrated Personal Commissioning for Looked After Children and Young People with Mental Health Support Needs Project	Non- Recurrent	193
Diabetes Treatment & Care	NHS England	STH	Structural Education, Multi-Disciplinary Foot Team, Specialist Nursing	Non- Recurrent	848
	NHS England	CCG Internal	Currently determining plan for remainder		
Latent TB Initiative	NHS England	STH/Primary Care	Latent TB services for Sheffield	Non- Recurrent	88
CYPT IAPT Trainee staff support costs	NHS England	SCH	Funds backfill costs to release CAMHS staff to train in IAPT	Non- Recurrent	18
Health Led Employment Trial	Sheffield City Region	CCG	CCG Contract lead on Health Led Employment Trial Procurement	Non- Recurrent	58
Learning Disability Mortality Review Programme	NHS England	TBC	Map LeDeR maturity, capacity and capability across South Yorkshire & Bassetlaw as well as recommend developments and share best practice	Non- Recurrent	34
End of Life care Health Needs Assessment and Equity Audit	MacMillan	Mainly CCG Costs	Project to identify where development work is required to ensure equitable access to services for all Sheffield residents	Non- Recurrent	51
Suicide Prevention	NHS England via ICS	TBC	Schemes to help prevent suicides	Non- Recurrent	120
Personalisation	NHS England	CCG Internal	Provide project support to enhanced personalised care	Non- Recurrent	50
Mental Health Winter Pressures	NHS England	SHSC & SCH	Increase mental health crisis response over winter	Non- Recurrent	39
Suicide Prevention	SY&B ICS	Various	Pilot new services and implement targeted training to reduce suicides in Sheffield	Non- Recurrent	120
					1,619

In addition the CCG is in receipt of certain earmarked allocations linked to the Primary Care FYFV as follows:

Health and Social Care Network (HSCN)	NHS England	BT	Pays for rental on network link to each GP site	Non-Recurrent	190
Practice Nurse Measures	NHS England		Practice nurse education scoping exercise	Non-Recurrent	16
GP workload tool	NHS England		£400 for 43 practices to test, review and feedback on National Workload Tool roll-out	Non-Recurrent	17
HCA Apprenticeship Development	NHS England		Nurse apprentice feasibility funding grant - funding assessment of current provision, training routes, impact in practices and training hubs, final report & proposal	Non-Recurrent	16
					239