

Month 10 Finance Report

Governing Body meeting

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7 March 2019

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Purpose of Paper	
This report provides information on the financial position at Month 10 (January 2019), together with an assessment of the risks and existing mitigations available to deliver the CCG's control total of in year break even (which also equates to a cumulative year end surplus of £18m).	
Key Issues	
We are reporting an overall year-to-date (YTD) surplus of £15.0m, which is in line with our plan for this point in this financial year. We continue to forecast delivery of our planned in-year breakeven position (which will maintain a cumulative surplus of £18m). At month 10, while there will continue to be some risks and uncertainties to manage over the final two months of the year the assessment is that we have sufficient flexibility to manage these.	
Is your report for Approval / Consideration / Noting	
Consideration	
Recommendations / Action Required by Governing Body	
Governing Body is asked to consider the risks and mitigations to deliver the planned year end position.	
Governing Body Assurance Framework	
<i>Which of the CCG's objectives does this paper support?</i> Strategic Objective - To ensure there is a sustainable, affordable healthcare system in Sheffield. It supports management of the CCG's principal risks 3.1, 4.1, 4.2 and 4.3 in the Assurance Framework.	
Are there any Resource Implications (including Financial, Staffing etc)?	
Not specifically	

<i>Please attach if completed. Please explain if not, why not</i> Not applicable
<i>Have you involved patients, carers and the public in the preparation of the report?</i>
Not applicable

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1. Executive Summary

Key Duties	Year to date	Forecast	Key Issues
Deliver £18.0m Surplus (CCG's Control Total) against Commissioning Revenue Resource Limit (RRL) + RCA combined	(£15.0m) Under Spend	(£18.0m) Under Spend	The surplus brought forward from 2017/18 was £18.0m. For 2018/19, the CCG has been set an in-year breakeven control total by NHS England, i.e. we are required to maintain our brought forward cumulative surplus. We are forecasting achievement of this surplus.
a) Achieve a surplus against the Programme Allocation	(£12.7m) Under Spend	(£15.2) Under Spend	There remain a number of risks and challenges that need to be managed (see sections 2 and 3) during the final two months of the year. In particular, potential volatility in terms of prescribing spend as well as acute care. We continue to hold a small amount of contingency reserves which, based on current information, should be sufficient to manage the assessed level of risk. As a result we have RAG rated delivery as green.
b) Remain within Running Cost Allowance (RCA) of £12.66m.	(£2.3m) Under Spend	(£2.8m) Under Spend	At the plan stage, we agreed £0.3m of our surplus should come from the RCA. In line with previous reports, we have identified additional savings as a result of careful management of budgets.
Remain within Cash Limit (i.e. Maximum draw down set by NHS England)	£1.5m closing balance	Breakeven	The CCG's maximum draw down for 2018/19 notified in January was £877.3m. To remain within this limit, which requires the revenue position to be brought in on plan, cash payments will need to be managed to meet this target.

Key:

Red	Significant risk of non-delivery. Additional actions need to be urgently pursued.
Amber	Medium risk of non-delivery requires additional management effort.
Green	Low risk of non-delivery – current management effort should deliver success.

2. Summary of the reported position

The overall position is summarised in table 1 below.

Table 1: Summary Position at 31 January 2019	Annual Budget £'000s	Year to Date Variance £'000s	Forecast Variance £'000s	Forecast Variance %
Acute Hospital Care	410,068	8,000	12,366	3.0%
Mental Health & Learning Disabilities	88,496	55	(207)	-0.2%
Prescribing	95,870	(3,223)	(4,764)	-5.0%
Community Services including CHC	155,093	(503)	(430)	0.3%
Primary Care	94,356	(624)	(1,522)	-1.6%
Collaborative Working	14,899	(107)	(79)	-0.5%
Reserves including planned surplus	23,246	(16,305)	(20,612)	
Programme Costs	882,029	(12,708)	(15,248)	-1.7%
Running Costs	12,778	(2,306)	(2,755)	-21.6%
Year to date and Year end Surplus	894,807	(15,013)	(18,004)	-2.0%

Figures are subject to rounding

We are reporting an overall year-to-date (YTD) surplus of £15.0m, which is in line with our planned surplus for this point in the year. The reported forecast is that the CCG will meet the planned cumulative surplus of £18m by achieving our required in year breakeven plan. This is after utilising £2.2m of the £3.8m contingency reserve, leaving a small amount of contingency to manage any remaining pressures which may emerge in the remaining two months of the financial year. Should these contingency reserves not be required to meet CCG pressures, as part of our joint working with Sheffield City Council (SCC) and to help ensure the resilience of services across the city, it is envisaged that additional funding would be made available to SCC for social care. This recognises the pressures which have particularly resulted from the system wide actions to reduce delayed discharges from hospital over recent months.

Further detail of spend in different areas can be found at Appendix A (at summary level) and a more detailed position by programme category is then provided in Appendix B. Appendix C provides a summary of the financial position for our main contracts with Sheffield providers (STHFT, SCFT and SHSCT), with further detail in Appendix D on the activity and spend for STHFT. Appendix E summarises the overall position for budgets within the scope of the BCF arrangement with Sheffield City Council.

Overall Risk Assessment

The range of uncertainty on expenditure or risk to delivery of the reported position is around £3m. However, our most likely scenario suggests that we have sufficient mitigations and contingencies to report delivery of the forecast and hence to report to NHS England that we have no "uncovered risk". There are a number of budget areas such as prescribing and hospital urgent care where spend can vary significantly month on month and it is difficult to extrapolate with certainty how activity and costs will change over the winter months.

Risk Assessment within context of Sheffield ACP and SY&BL ICS Financial Position

The overall risk assessment above is based on the CCG's own organisational risks. This takes into account our view on the level of funding which will flow under our existing commissioning and contractual arrangements to our key partners. This broadly equates to the income assumed by our provider partners as part of the most recent triangulation process at month 9.

The SY&BL ICS Director of Finance produces a monthly report summarising the financial position for all NHS organisations within the ICS. These are the positions as reported to our respective national regulators NHS England and NHS Improvement.

While some organisations are forecasting to generate additional surpluses, unless the national regulators agree to the ICS's arguments that the in year technical changes to the financial framework rules are unfair/inappropriate, it looks very difficult to achieve delivery of the overall system control total. (System Improvement Plan value). This could result in the 7 NHS trusts across the ICS losing in whole or in part Q4 Provide Sustainability Funding (PSF) of £2m, in addition to PSF lost at organisational level most likely at SCH and Doncaster & Bassetlaw Teaching Hospitals.

The ICS has held back an element of the system transformation funding until Q4 but this will now be deployed most likely to all trusts to support financial sustainability.

There is no financial impact for Sheffield CCG as a result of the above.

3 Further Information on Key Budgets and related risks

Acute Hospital Activity:

Sheffield Teaching Hospitals (STH) is by far the most significant contract in value terms as it is planned to account for £400m (including the MSK and Walk in Centre contracts). At month 10, there is a £8.1m (2.4%) overspend for the contract overall (compared to an overspend at month 9 of £6.7m) including £3.3m of slippage on QIPP plans. The table below provides a breakdown of the £8.1m variance.

Contract Position for the period ending January 2019	Financial variance	Volume of activity	Price of activity
Planned Inpatients	£ 133	£ 311	-£ 178
All Outpatients Appointments	£ 2,899	£ 1,757	£ 1,142
Urgent Inpatients	£ 2,538	£ 284	£ 2,254
A&E	£ 358	£ 931	-£ 573
Sub Total	£ 5,928	£ 3,282	£ 2,645
High Cost Drugs	£ 912		
All other areas	£ 1,251		
Total	£ 8,091		

January activity data continues to include high levels of un-coded activity for the latest month (70% for elective inpatients and 84% for non-elective inpatients). An estimate of £13.6m has been included for this activity. As previously reported, the CCG will only pay

for activity at the correct coding as any over/under payments based on estimated information are corrected as part of the standard reconciliation processes with the trust.

The Outpatient queue shows a reasonably static position over the last few months but it remains impossible to compare the count this year with March 2018 and earlier periods. During January the Inpatient Waiting List has seen a small rise from 10.2 to 11.5% above March levels. RTT in January remains just above target at 92.17%.

The year-end forecast has increased by £0.8m to an overspend of £11.9m; linked to the re-assessment of QIPP delivery by year-end; changes to all other areas now that the first 9 months of activity are fully coded; and agreement of additional non recurrent funding for offsite beds, linked to the issues of the Robert Hadfield Block closure.

Activity over the winter months can be volatile, and as a result there is a risk that the cost of acute activity could increase over the current forecast, in addition to this, the closure of Hadfield wards may still have an undetermined impact on activity and performance in the last two months of the year.

Sheffield Children's (SCH) shows an increase in forecast of £119k to a predicted overspend of £388k. The majority of this movement is due to increased elective care activity as well as mental health activity.

Non Contract Activity (NCAs): Spend in recent months on non contract activity has increased significantly compared to earlier months. Work is on-going to understand the reasons for this. However, based on recent month's information, the forecast variance is expected to increase to £927k (19% overspent).

Primary Care: Although the meeting of 21st February was cancelled, a detailed report has been prepared for the Primary Care Commissioning Committee (PCCC) for information. This notes that there has been no material change in the position reported at Month 9. Actions to spend the various non recurrent allocations of funding in 2018/19 are progressing well and a full update will be made to PCCC in March.

Prescribing: The latest data received relates to December. Using this data the year to date underspend is £3.1m with an expectation of £4.2m underspend at year end. Actual spend in December was lower than previously predicted, as a result of the volume of items dispensed being much lower than trend and the average price being lower than expected. Using this latest information to adjust the forecast position explains why the overall forecast spend has reduced by £950k.

Collaborative Working: For 2018/19 a separate section has been included on Appendix A, to report spend on our collaborative working with partners, particularly as Sheffield CCG is the host organisation for both the SY&BL Integrated Care System (ICS) (incorporating the previous commissioner working together arrangements) and the Sheffield Accountable Care Partnership (ACP).

The ICS budget for 2018/19 as at month 10 is £14.5m inclusive of Cancer Alliance. All ICS reserves have been transferred to budgets but further allocations are expected in months 11 and 12. The ICS finance team are working with work stream leads to ensure that forecasts are accurate and that any required funding transfers are actioned on a timely basis. Small underspends within the Sheffield ACP and Better Care Fund are forecast due to vacancies.

Section 75 Framework Partnership Agreement (Better Care Fund): Appendix E shows the citywide position in relation to the Better Care Fund. The year to date position shows an overspend of £3.7m in relation to CCG budgets (mainly Emergency Medical Admissions) and an overspend of £5.2m in relation to council budgets, mainly in relation to Ongoing Care (Adult social care purchasing and Learning Disabilities purchasing).

The overall forecast is an overspend of £10.9m. Sheffield CCG has reported a pressure of £4.6m, mainly relating to spend on Emergency Medical Admissions at Sheffield Teaching Hospitals. Sheffield City Council reports that although the Ongoing Care overspend will reduce slightly in proportion by the year end, in other areas they expect some slippage currently reported will be utilised later in the financial year and/or there are additional activity pressures that will materialise. As a result, a forecast overspend of £6.3m is reported, the overspends on Ongoing Care (£9.4m) and Mental Health (£0.3m) are partially offset by slippage of £2.9m on capital grants and £0.6m across Active Support and Recovery and People Keeping Well in Their Community.

Running Costs: The forecast spend against the £12.8m running cost allocation is summarised in the table below. At month 10, we are forecasting a £2.75m underspend, being an increase of £0.3m to that reported last month. This is primarily due to the release of £0.2m reserves together with additional pay and non pay slippage.

Category	Annual Budget £'000s	YTD Variance £'000s	Forecast Variance £'000s
Pay	8,925	(293)	(331)
Non Pay	3,520	(536)	(330)
Income	(1,237)	(364)	(524)
Running Costs Reserve	1,170	(779)	(1,170)
Running Costs Planned Surplus	400	(333)	(400)
Running Cost Budget	12,778	(2,306)	(2,755)

Additional Income: Under the Financial Management principles previously approved by Governing Body we are seeking to maximise additional income, over and above the CCG's core allocation, to be able to support delivery of our commissioning intentions. Appendix F details the current funding the CCG has been able to secure.

QIPP: As agreed previously by Governing Body, no detailed QIPP report is being produced during Q4 unless there is a material change to report. At month 10 we continue to forecast under-delivery of £2.7m as shown on the table below, this allows us to continue to report 85% delivery against our £18.5m QIPP plan.

Area of Spend	Annual Gross Savings Plan (£'000)	Annual Gross Savings Forecast (£'000)	Annual Gross Savings Forecast Variance (£'000)	Year to Date Gross Savings Plan (£'000)	Year to Date Gross Savings Actual (£'000)	Year to Date Gross Savings Variance (£'000)
Childrens' services incl. Childrens' CHC	(400)	(400)	0	(339)	(337)	2
Community - Adults	(1,350)	(751)	599	(1,125)	(418)	707
Continuing Care	(2,542)	(2,624)	(82)	(2,166)	(2,228)	(62)
Continuing Care - via MH Portfolio	(1,073)	(991)	82	(821)	(758)	63
Elective Care	(2,708)	(1,098)	1,610	(1,884)	(830)	1,054
Excess Bed Days	(750)	(1,029)	(279)	(478)	(887)	(409)
GP Prescribing	(3,095)	(4,425)	(1,330)	(2,602)	(3,226)	(624)
High Cost Drugs	(1,304)	(1,090)	214	(1,076)	(908)	168
Individual Funding Requests	(42)	(77)	(35)	(34)	(60)	(26)
Local Authority	438	239	(199)	382	289	(93)
Primary Care & Community Services	(24)	(24)	0	(16)	(16)	0
Running Costs	(400)	(1,680)	(1,280)	(332)	(1,400)	(1,068)
Urgent Care	(4,126)	(1,584)	2,542	(3,035)	(1,301)	1,734
Other Commissioning	(168)	(58)	110	(77)	(58)	19
Learning Disabilities	(200)	(200)	0	(132)	(132)	0
Sub Total (£'000)	(17,744)	(15,792)	1,952	(13,735)	(12,270)	1,465
Unidentified	(752)	0	752	(244)	0	244
Total (£'000)	(18,496)	(15,792)	2,704	(13,979)	(12,270)	1,709
% Achievement		85.4%			87.8%	

4. Delivery of Cash Position

The CCG was notified of a maximum cash drawdown limit of £877.3m at month 10. The total cash used to the end of January was £734.6m against a requested cash drawdown of £651.1m, prescribing & home oxygen of £75.6m, other income of £9.2m and a brought forward balance of £181k. The cash balance at bank at the end of the month was £1.5m. A minimal cash balance at bank is planned for the year end.

5. Better Payment Practice Code

The Better Payment Practice Code requires the CCG to pay all valid invoices by the due date or within 30 days of receipt of a valid invoice, whichever is later. A summary of the position for the 12 month period to the end of January is reported in the table below:

Measure of compliance	12 months to Jan-19 Number	12 months to Jan-19 £'000
Non-NHS Payables		
Total Non-NHS Trade invoices paid in the year	16,432	193,291
Total Non-NHS Trade Invoices paid within target	16,239	192,835
Percentage of Non-NHS Trade invoices paid within target	98.83%	99.76%

NHS Payables

Total NHS Trade invoices paid in the year	4,087	636,088
Total NHS Trade invoices paid within target	4,025	635,504
Percentage of NHS Trade invoices paid within target	98.48%	99.91%

6. Key Budget Movements

In line with the Scheme of Budgetary Delegation, the Governing Body is required to sign off all budget movements over £2m. There have been no CCG budget movements over £2m since month 8.

There have been a number of budget movements in months 9 and 10 in relation to the ICS as follows:

- £0.35m Cancer Alliance
- £0.1m Maternity Transformation
- £2.8m balance of ICS transformation funds to budgets from reserves prior to deployment

These have been agreed within the ICS governance structure and are presented for completeness, not approval.

In addition, in line with the section 75 agreement with Sheffield City Council (SCC), any proposed changes to Better Care Fund budgets in excess of £1m are required to be approved by the Governing Body (as well as by SCC). There have been no proposed changes over £1m in months 9 or 10. At the time of writing this report we await confirmation of details of month 10 budget changes by SCC and any over £1m will be included in the next report to Governing Body.

7. Recommendations

Governing Body is asked to consider the risks and mitigations to deliver the year end planned position.

Paper prepared by: Jackie Mills, Deputy Director of Finance; Chris Cotton, Senior Finance Manager and Pat Lunness, Senior Finance Manager

On behalf of: Julia Newton, Director of Finance

February 2019

NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 31 January 2019

	Year to Date: January				Year End Forecast Out-turn				Forecast Variance @ Month 9 £'000s	
	Budget	Expenditure	Variance		Budget	Forecast	Variance			Change from prev month*
	£'000s	£'000s	Over (+)/ Under(-)	%	£'000s	£'000s	Over (+)/ Under(-)	%		
PROGRAMME COSTS										
Revenue Resource Limit	725,887	725,887	0	0.0%	882,029	882,029	0	0.0%	→	0
EXPENDITURE										
Acute Hospital Care										
Elective	129,254	132,565	3,311	2.6%	153,534	158,567	5,034	3.3%	↑	4,268
Urgent care	130,063	132,891	2,828	2.2%	154,638	159,379	4,742	3.1%	↓	5,058
Other Acute Care / Ambulance Services	84,353	86,589	2,236	2.7%	101,897	104,512	2,615	2.6%	↑	1,968
	343,670	352,045	8,375	2.4%	410,068	422,459	12,390	3.0%	↑	11,295
Mental Health & Learning Disabilities										
Mental Health & Learning Disabilities	73,630	73,685	55	0.1%	88,496	88,289	(207)	-0.2%	↑	(230)
Community Services										
Elective Community Care	33,084	33,030	(54)	-0.2%	36,618	36,595	(22)	-0.1%	↑	(76)
Urgent Community Care	1,844	1,844	0	0.0%	5,227	5,227	0	0.0%	→	0
Intermediate Care & Reablement	38,032	38,660	628	1.7%	45,646	46,626	980	2.1%	↑	881
Long Term Care and End of Life	53,033	51,860	(1,172)	-2.2%	63,644	62,128	(1,516)	-2.4%	↓	(1,327)
Prescribing	79,795	76,571	(3,223)	-4.0%	95,870	91,640	(4,230)	-4.4%	↓	(3,256)
Other Commissioning	3,301	3,395	95	2.9%	3,959	4,160	201	5.1%	↑	181
	209,088	205,361	(3,726)	-1.8%	250,963	246,376	(4,587)	-1.8%	↓	(3,597)
Primary Care										
Primary Care Co-commissioning	60,533	60,047	(486)	-0.8%	72,672	72,254	(418)	-0.6%	↓	(387)
Locally Commissioned Primary Care Services	15,545	15,406	(139)	-0.9%	21,684	20,580	(1,104)	-5.1%	↓	(1,086)
	76,078	75,453	(624)	-0.8%	94,356	92,834	(1,522)	-1.6%	↓	(1,473)
Collaborative Working										
Collaborative Working	6,742	6,635	(107)	-1.6%	14,899	14,820	(79)	-0.5%	↓	(78)
	6,742	6,635	(107)	-1.6%	14,899	14,820	(79)	-0.5%	↓	(78)
Reserves										
Reserves	16,680	0	(16,680)	-100.0%	23,246	2,002	(21,244)	-91.4%	↑	(21,471)
TOTAL EXPENDITURE - PROGRAMME COSTS	725,887	713,179	(12,708)	-1.8%	882,029	866,780	(15,248)	-1.7%	↑	(15,553)
(UNDER)/OVER SPEND - Programme Costs	0	(12,708)	(12,708)		(0)	(15,249)	(15,248)		↑	(15,553)
RUNNING COSTS ALLOWANCE										
Running Cost Funding	10,440	10,440	0	0.0%	12,778	12,778	0	0.0%	→	0
Total Running Cost Expenditure	10,440	8,134	(2,306)	-22.1%	12,778	10,023	(2,755)	-21.6%	↓	(2,450)
(UNDER)/OVER SPEND - Running Costs	0	(2,306)	(2,306)		0	(2,755)	(2,755)		↓	(2,450)
TOTAL										
Revenue Resource Limit	736,327	736,327	0	0.0%	894,807	894,807	0	0.0%	→	0
Expenditure	736,327	721,314	(15,013)	-2.0%	894,807	876,803	(18,004)	-2.0%	→	(18,004)
TOTAL (A)	0	(15,013)	(15,013)		(0)	(18,004)	(18,004)		→	(18,004)

* ↑ = deterioration, ↓ = improvement, → = no change.

Appendix A (cont)

RESOURCE LIMIT ALLOCATIONS	Revenue			Annual Cash Drawdown Requirement incl Capital £'000s
	Recurrent	Non Rec	Total	
	£'000s	£'000s	£'000s	
Programme Costs - CCG				877,315
18/19 Opening CCG Recurrent Allocation	761,852		761,852	
2018-19 Additional Cash Uplift announced January 2018	5,867		5,867	
18/19 Opening Position - Primary Care Co Commissioning - Delegated	77,727		77,727	
18/19 Opening Position - Recurrent Change - Primary Care Access	3,496		3,496	
18/19 Opening Position Allocation adjustments b/f from 17/18	(2,945)		(2,945)	
2017/18 Brought Forward Surplus/Deficit		18,004	18,004	
SCH AMBER services	718		718	
18/19 Paramedic Allocations		147	147	
Health and Social Care Network		169	169	
Latent TB Qtrs 1,2 & 3 allocations		67	67	
Diabetes Transformation Fund		636	636	
2018-19 CYP IAPT Trainee staff salary support funding		36	36	
Learning Disabilities mortality reviews		34	34	
Adjustment for IR Changes, made recurrent M5	6		6	
Agenda for Change pay award uplift - Programme	60		60	
CYP Looked After Children Personalised Care		130	130	
Personalisation Level 2 demonstrator funding 2018/19		50	50	
GP WIFI maintenance Yr 2		46	46	
Patient Activation Mentor sites 2018/19		8	8	
National Diabetes Prevention Programme Q1,2 & 3		23	23	
Excess Treatment Programme		(16)	(16)	
Windows 10 Upgrades		58	58	
Hadfield Wing Support		750	750	
GP Uplift - delegated		592	592	
GPV Practice Nurse Measures		16	16	
Development of Primary Care networks		575	575	
Charge Exempt Overseas Visitor (CEOV) Adjustment		(148)	(148)	
Medicines Optimisation in Care Homes Q1 - 4		355	355	
Cancer 62 Day Performance Improvement Funding (Sheffield Teaching)		7	7	
Mental Health Winter Pressures		39	39	
Quality Premium Tranche 1 Measures 2 - 6		256	256	
Correction Month 10 - AfC Pay award uplift - Programme	(60)	60	0	
Green Paper Waiting Time Initiatives		140	140	
S117 Mental Health Personal health Budget PHB development programme		10	10	
Programme Costs - ICS				
Core Funding for South Yorkshire Cancer Alliance		275	275	
National Support Funding for South Yorkshire Cancer Alliance		744	744	
ED Revenue Funding for South Yorkshire Cancer Alliance		2,428	2,428	
Infrastructure funding for STPs		282	282	
Suicide Prevention - June/Sept allocation		178	178	
Perinatal Comm Services Development Fund		880	880	
Maternity Transformation Funding		392	392	
South Yorkshire & Bassetlaw ICS - Transformation Funds		6,937	6,937	
Comms support funding for ICS		233	233	
Improving Access to General Practice: Buddying Network		5	5	
2018/19 UEC Transformation Allocation for SYB STP		431	431	
Elective Care - 18/19 Elective Care funding - SY&B ICS		109	109	
Advanced practice managers course - part funding for ICS		6	6	
ICS Population Health Management Transformation Funding		232	232	
ICS funding - leadership		85	85	
Transformation - Cancer		7	7	
STP Comms Support - public engagement		40	40	
Month 10 Programme Costs Resource Limit	846,721	35,308	882,029	877,315
Running Costs				
Initial Running Costs allocation	12,612		12,612	
Market rents		28	28	
Health and Social Care Network		21	21	
Agenda for Change pay award uplift - Admin	117		117	
Correction Month 10 - AfC Pay award uplift	(117)	117	0	
Month 10 Running Cost Resource Limit	12,612	166	12,778	
CLOSING LIMITS (B)	859,333	35,474	894,807	877,315

Memo Table: Planned Surplus

	£'000
Historic Surplus b/f	6,134
Plus release of 1% reserve in 2016/17	8,124
Plus release of 0.5% reserve in 2017/18	3,746
Cumulative surplus carried forward from 2017/18	18,004
Planned increase to surplus in 2018/19	0
Total planned surplus for 2018/19	18,004

Memo Table: Forecast In Year Financial Performance

Total Allocation 18/19 from the above table	894,807
Less cumulative surplus carried forward from 2017/18	-18,004
In Year Allocation	876,803

Expenditure:

Forecast Expenditure (Programme Spend plus Running Costs)	876,803
Forecast under/(over)-spend against in year allocation	0

NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 31 January 2019

	Year to Date: January				Forecast Out-turn				Forecast Variance @ Month 9 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	
PROGRAMME COSTS									
Revenue Resource Limit	725,887	725,887	0	0%	882,029	882,029	0	0%	0
EXPENDITURE									
Acute Hospital Care									
Planned Care									
Sheffield Teaching Hospitals NHS FT	114,148	117,179	3,031	3%	135,384	140,052	4,668	3%	4,051
Sheffield Children's NHS FT	10,138	10,186	48	0%	12,166	12,276	109	1%	36
Other NHS Trusts	2,989	3,316	327	11%	3,618	3,989	371	10%	331
ISTC & Extended Choice	1,979	1,885	(94)	-5%	2,365	2,250	(114)	-5%	(149)
Planned Care	129,254	132,565	3,311	3%	153,534	158,567	5,034	3%	4,268
Urgent Care									
Sheffield Teaching Hospitals NHS FT	114,738	117,559	2,821	2%	136,234	140,774	4,541	3%	4,857
Sheffield Children's NHS FT	9,962	9,807	(154)	-2%	11,954	11,979	25	0%	25
Other NHS Trusts	5,364	5,525	161	3%	6,450	6,626	176	3%	176
Urgent Care	130,063	132,891	2,828	2%	154,638	159,379	4,742	3%	5,058
Other Acute Care / Ambulance Services									
Sheffield Teaching Hospitals NHS FT	55,804	57,406	1,602	3%	67,715	69,368	1,653	2%	1,454
Sheffield Children's NHS FT	1,754	1,861	107	6%	2,105	2,242	137	6%	113
Other NHS Trusts	1,643	1,822	179	11%	1,860	2,032	172	9%	172
ISTC & Extended Choice	23	23	0	0%	54	54	0	0%	0
Ambulance Services	20,612	20,410	(202)	-1%	24,735	24,494	(241)	-1%	(187)
Other Acute Services	4,517	5,066	550	12%	5,428	6,322	894	16%	415
Other Acute Care	84,353	86,589	2,236	3%	101,897	104,512	2,615	3%	1,968
Mental Health & Learning Disabilities									
Sheffield Health and Social Care NHS FT	65,511	65,506	(5)	0%	78,613	78,283	(330)	0%	(446)
Sheffield Children's NHS FT	4,929	5,029	100	2%	6,055	6,158	103	2%	81
Local Authority	1,032	1,143	111	11%	1,238	1,274	36	3%	133
Other Mental Health Services	2,158	2,008	(151)	-7%	2,590	2,574	(16)	-1%	2
Mental Health & Learning Disabilities	73,630	73,685	55	0%	88,496	88,289	(207)	0%	(230)
Community Services									
Planned Care									
Sheffield Teaching Hospitals NHS FT	13,121	13,198	77	1%	12,663	12,763	100	1%	0
Sheffield Children's NHS FT	3,408	3,419	11	0%	4,090	4,104	14	0%	14
Local Authority	15,629	15,642	13	0%	18,755	18,760	5	0%	13
Development Nurses	377	284	(93)	-25%	459	354	(105)	-23%	(103)
Other Community Services	549	488	(61)	-11%	651	615	(36)	-6%	(0)
Planned Community Care	33,084	33,030	(54)	0%	36,618	36,595	(22)	0%	(76)
Urgent Care									
111	1,214	1,214	0	0%	1,449	1,449	0	0%	0
Out of Hours	630	630	0	0%	3,778	3,778	0	0%	0
Urgent Community Care	1,844	1,844	0	0%	5,227	5,227	0	0%	0
Intermediate Care & Reablement									
Sheffield Teaching Hospitals NHS FT	34,687	35,317	630	2%	41,633	42,614	982	2%	877
Local Authority	1,514	1,514	0	0%	1,817	1,817	0	0%	0
Community Equipment	1,830	1,828	(2)	0%	2,196	2,194	(2)	0%	4
Intermediate Care	38,032	38,660	628	2%	45,646	46,626	980	2%	881
Long Term Care and End of Life									
Continuing Care	39,557	39,239	(318)	-1%	47,468	46,956	(512)	-1%	(532)
Continuing Healthcare Assessments	2,448	2,187	(261)	-11%	2,942	2,650	(292)	-10%	(129)
Continuing Care - IFRs	52	41	(11)	-22%	63	48	(14)	-23%	(8)
Funded Nursing Care	6,400	5,928	(472)	-7%	7,680	7,113	(566)	-7%	(500)
St Lukes Hospice	1,967	1,927	(40)	-2%	2,360	2,314	(46)	-2%	(29)
Sheffield Teaching Hospitals NHS FT	2,609	2,539	(71)	-3%	3,131	3,046	(85)	-3%	(128)
Long Term Care	53,033	51,860	(1,172)	-2%	63,644	62,128	(1,516)	-2%	(1,327)
GP Prescribing									
Prescribing	78,326	75,214	(3,112)	-4%	94,107	89,956	(4,151)	-4%	(3,201)
Medicines Management Team	1,468	1,357	(111)	-8%	1,763	1,684	(79)	-4%	(55)
Prescribing	79,795	76,571	(3,223)	-4%	95,870	91,640	(4,230)	-4%	(3,256)
Other Commissioning									
Other Commissioning	3,301	3,395	95	3%	3,959	4,160	201	5%	181
Other Commissioning	3,301	3,395	95	3%	3,959	4,160	201	5%	181
Primary Care									
Co-Commissioning									
Core Contract	42,503	42,576	73	0%	51,004	51,120	116	0%	138
Premises	8,363	8,180	(183)	-2%	10,040	9,954	(85)	-1%	(80)
QoF	6,227	6,227	(0)	0%	7,501	7,501	0	0%	0
Enhanced Services	1,486	1,305	(181)	-12%	1,776	1,569	(207)	-12%	(207)
Primary Care Other	1,954	1,758	(196)	-10%	2,352	2,111	(241)	-10%	(237)
Locally Commissioned Primary Care Services	15,545	15,406	(139)	-1%	21,684	20,580	(1,104)	-5%	(1,086)
Primary Care	76,078	75,453	(624)	-1%	94,356	92,834	(1,522)	-1%	(1,473)
Collaborative Working									
Accountable Care Partnership	65	(18)	(83)	-128%	78	24	(55)	-70%	(54)

Integrated Care System	6,362	6,360	(2)	0%	14,463	14,455	(8)	0%	(8)
Better Care Fund	143	121	(22)	-15%	172	156	(16)	-9%	(16)
Other Collaborative Working	172	171	(0)	0%	186	186	(0)	0%	(0)
Collaborative Working	6,742	6,635	(107)		14,899	14,820	(79)		(78)
Reserves									
Commissioning Reserves	0	0	0		2,015	171	(1,844)	-92%	(1,444)
General Contingency Reserve	2,010	0	(2,010)		3,794	1,621	(2,173)	-57%	(2,970)
ICS Reserves	0	0	0		0	0	0	#DIV/0!	0
Primary Care	0	0	0		395	210	(185)		0
Unidentified QIPP	0	0	0		(752)	0	752		752
0.5% Non Recurrent Reserve	0	0	0		190	0	(190)	-100%	(205)
Planned Surplus	14,670	0	(14,670)	-100%	17,604	0	(17,604)	-100%	(17,604)
Reserves	16,680	0	(16,680)	-100%	23,246	2,002	(21,244)	-91%	(21,471)
TOTAL EXPENDITURE - PROGRAMME COSTS	725,887	713,179	(12,708)	-2%	882,029	866,780	(15,248)	-2%	(15,553)
(UNDER)/OVER SPEND - Programme Costs	0	(12,708)	(12,708)		(0)	(15,249)	(15,248)		(15,553)
RUNNING COSTS ALLOWANCE									
Funding net of £1.5m transfer to commissioning budgets	10,440	10,440	0	0%	12,778	12,778	0	0%	0
EXPENDITURE									
Accountable Officer	1,698	1,538	(161)	-9%	2,040	1,866	(174)	-9%	(154)
Commissioning & Performance	2,391	2,192	(200)	-8%	2,850	2,680	(170)	-6%	(152)
Finance & Facilities	2,401	2,031	(370)	-15%	2,883	2,577	(305)	-11%	(293)
Nursing, Quality & Workforce	1,978	1,683	(295)	-15%	2,401	2,064	(337)	-14%	(313)
Transformation & Delivery	859	691	(168)	-20%	1,034	835	(199)	-19%	(185)
Running Cost Reserve	779	0	(779)	-100%	1,170	0	(1,170)	-100%	(953)
Running Cost Planned surplus	333	0	(333)	-100%	400	0	(400)	-100%	(400)
TOTAL EXPENDITURE - RUNNING COSTS	10,440	8,134	(2,306)	-22%	12,778	10,023	(2,755)	-22%	(2,450)
(UNDER)/OVER SPEND - Running Costs	0	(2,306)	(2,306)		0	(2,755)	(2,755)		(2,450)
TOTAL (UNDER)/OVER SPEND	0	(15,013)	(15,013)	-	(0)	(18,004)	(18,004)	-	(18,004)

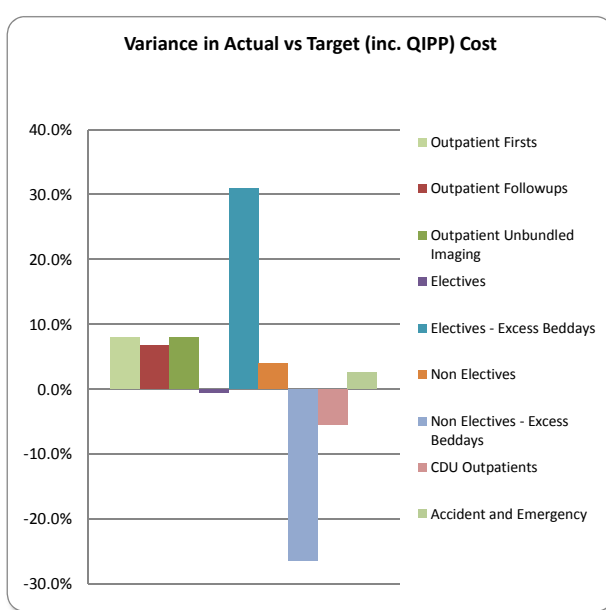
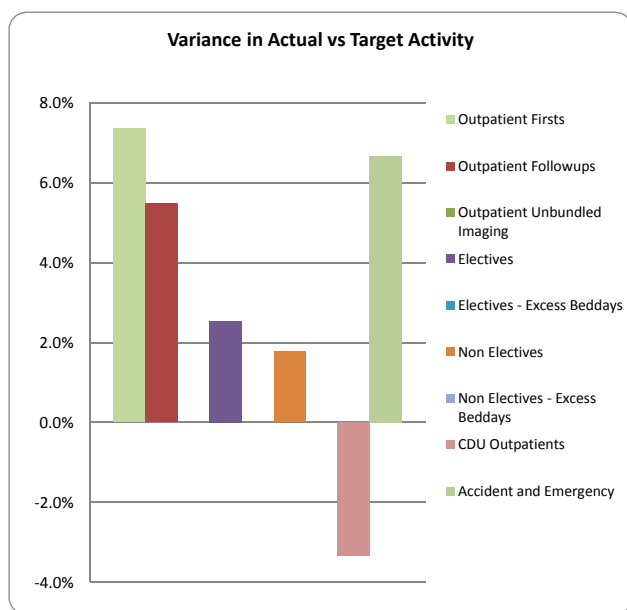
NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 31 January 2019

Main Provider Contracts

	Year to Date: January				Year End Forecast Out-turn			
	Budget	Expenditure	Variance		Budget	Forecast	Variance	
	£'000	£'000	£'000	%	£'000	£'000	£'000	%
EXPENDITURE								
Sheffield Teaching Hospitals NHS FT								
Planned Care - STH	114,148	117,179	3,031	2.7%	135,384	140,052	4,668	3.4%
Urgent Care - STH	114,738	117,559	2,821	2.5%	136,234	140,774	4,541	3.3%
Community Care - STH	13,121	13,198	77	0.6%	12,663	12,763	100	0.8%
Other Acute - STH	33,673	34,594	921	2.7%	41,158	42,228	1,070	2.6%
High Cost Drugs - STH	12,809	13,721	912	7.1%	15,371	16,231	860	5.6%
Maternity Services	9,322	9,091	(231)	-2.5%	11,186	10,909	(277)	-2.5%
Primary Care - Out of Hours	630	630	0	0.0%	3,778	3,778	0	0.0%
Intermediate Care & Reablement	34,687	35,317	630	1.8%	41,633	42,614	982	2.4%
End of Life Care	2,609	2,539	(71)	-2.7%	3,131	3,046	(85)	-2.7%
<i>Sub Total</i>	335,737	343,828	8,091	2.4%	400,537	412,396	11,859	3.0%
Sheffield Children's NHS FT								
Planned Care - SCH	10,138	10,186	48	0.5%	12,166	12,276	109	0.9%
Urgent Care - SCH	9,962	9,807	(154)	-1.5%	11,954	11,979	25	0.2%
Community Care - SCH	3,082	3,093	11	0.4%	3,698	3,712	14	0.4%
Mental Health Services - SCH	4,929	5,029	100	2.0%	6,055	6,158	103	1.7%
Other Acute - SCH	1,130	1,201	71	6.3%	1,356	1,442	86	6.3%
High Cost Drugs - SCH	624	661	36	5.8%	749	800	51	6.8%
Safeguarding	326	325	(1)	-0.2%	391	392	0	0.1%
<i>Sub Total</i>	30,191	30,302	111	0.4%	36,370	36,758	388	1.1%
Sheffield Health and Social Care NHS FT								
Mental Health & Learning Disabilities	65,511	65,506	(5)	0.0%	78,613	78,283	(330)	-0.4%
<i>Sub Total</i>	65,511	65,506	(5)	0.0%	78,613	78,283	(330)	-0.4%
	431,439	439,636	8,197	1.9%	515,521	527,437	11,917	2.3%

Sheffield CCG Commissioned Activity and Costs - January 2019

Sheffield Teaching Hospitals NHS FT



Point of Delivery	Year to Date Activity Plan	Year to Date Actual Activity	Variance	
			Activity	%
Outpatient Firsts	95,700	102,734	7,034	7.4%
Outpatient Followups	235,563	248,467	12,904	5.5%
Outpatient Unbundled Imaging				
Electives	48,487	49,720	1,233	2.5%
Electives - Excess Beddays				
Non Electives	45,550	46,357	807	1.8%
Non Electives - Excess Beddays				
CDU Outpatients	27,700	26,773	-927	-3.3%
Accident and Emergency	108,228	115,445	7,217	6.7%
Total				

Year to Date Budget	Actual Expenditure	Variance	
		£'000s	%
16,816	18,166	1,349	8.0%
19,271	20,563	1,292	6.7%
3,225	3,482	257	8.0%
40,012	40,054	42	-0.6%
295	386	91	30.8%
92,904	96,522	3,618	3.9%
4,087	3,007	-1,080	-26.4%
1,359	1,285	-75	-5.5%
13,955	14,312	358	2.6%
191,925	197,777	5,852	3.0%

MFF Uplift Applied to Contract Monitoring Costings at 2.9422% for PbR Activity Only
Includes PbR and Non-PbR Activity (and CDU (A&E) activity)
Includes Financial Adjustments and QIPP

A&E does not include Primary Care Access Centre activity or costs

There are some minor differences between the finance report and the BI version due to financial adjustments which are not reflected in BI data

Note - This appendix now excludes the MSK contract activity

Appendix E

NHS Sheffield Clinical Commissioning Group
Finance Report 2018/19 - Financial Position for Period Ending 31 January 2019
Memorandum: Section 75 - Better Care Fund

Theme	Year to Date: January				Year End Forecast Out-turn				Forecast Variance @ Month 9 £'000s
	Budget	Expenditure	Variance		Budget	Forecast	Variance		
	£'000s	£'000s	£'000s	%	£'000s	£'000s	£'000s	%	
Citywide Position									
People Keeping Well in their local community	6,713	6,356	(357)	-5.3%	8,178	7,969	(210)	-2.6%	(119)
Active Support & Recovery	43,842	43,997	155	0.4%	52,623	53,145	522	1.0%	471
Independent Living Solutions	3,311	3,329	18	0.5%	4,041	4,138	97	2.4%	179
Ongoing Care	117,119	124,925	7,806	6.7%	139,698	148,593	8,895	6.4%	9,173
Emergency Medical Admissions - STH	53,845	57,318	3,473	6.5%	63,699	68,197	4,498	7.1%	4,680
Mental Health	89,105	88,894	(211)	-0.2%	106,925	106,893	(32)	0.0%	174
Capital Grants	3,725	1,703	(2,022)	-54.3%	5,678	2,815	(2,864)	-50.4%	(2,694)
TOTAL EXPENDITURE	317,660	326,522	8,862	2.8%	380,843	391,750	10,907	2.9%	11,864
NHS Sheffield CCG									
People Keeping Well in their local community	1,475	1,393	(83)	-5.6%	1,778	1,706	(71)	-4.0%	(63)
Active Support & Recovery	36,202	36,832	630	1.7%	43,449	44,432	982	2.3%	878
Independent Living Solutions	1,830	1,828	(2)	-0.1%	2,196	2,194	(2)	-0.1%	4
Ongoing Care	39,020	38,625	(395)	-1.0%	46,824	46,350	(475)	-1.0%	(565)
Emergency Medical Admissions - STH	53,845	57,318	3,473	6.5%	63,699	68,197	4,498	7.1%	4,680
Mental Health	82,894	82,944	50	0.1%	99,473	99,125	(348)	-0.3%	(361)
Capital Grants	0	0	0	0.0%	0	0	0	0.0%	0
CCG Total	215,267	218,940	3,674	1.71%	257,420	262,005	4,585	1.8%	4,572
Sheffield City Council (SCC)									
People Keeping Well in their local community	5,237	4,963	(274)	-5.2%	6,401	6,263	(138)	-2.2%	(55)
Active Support & Recovery	7,640	7,165	(475)	-6.2%	9,174	8,713	(461)	-5.0%	(407)
Independent Living Solutions	1,481	1,501	19	1.3%	1,845	1,944	99	5.4%	175
Ongoing Care	78,099	86,300	8,201	10.5%	92,873	102,243	9,370	10.1%	9,738
Emergency Medical Admissions - STH	0	0	0	0.0%	0	0	0	0.0%	0
Mental Health	6,210	5,949	(261)	-4.2%	7,452	7,767	315	4.2%	535
Capital Grants	3,725	1,703	(2,022)	-54.3%	5,678	2,815	(2,864)	-50.4%	(2,694)
SCC Total	102,393	107,581	5,188	5.1%	123,423	129,745	6,322	5.1%	7,292

Notes:

Key elements of each theme are summarised below:

People Keeping Well in their local community	Includes Care Planning, Health trainers/ Community Support Workers, Community Grants and Support to VCF sector, Public Health, Housing related support to Older People and other support services
Active Support & Recovery	Includes community nursing, Intermediate Care Beds, CICs, Transfer of Care Teams, STIT, Intermediate Care Assessment teams
Independent Living Solutions	Includes community equipment and adaptations
Ongoing Care	Includes CHC& FNC, Learning Disabilities, Adult Social Care. From April 2017, this excludes spend on mental health which is now included in the mental health theme.
Emergency Medical Admissions - STH	Includes Adult Inpatient Medical Emergency Admissions (excluding gastroenterology)
Mental Health	Includes all adult mental health services as commissioned by the CCG, with those for under 65 years purchased by SCC in 2017/18.

Funding Name	Source	Provider	Description	Recurrent?	Funding £'000
CYP IPC Project	NHS England	Mainly CCG Costs	Integrated Personal Commissioning for Looked After Children and Young People with Mental Health Support Needs Project	Non- Recurrent	113
Diabetes Treatment & Care	NHS England	STH	Structural Education, Multi-Disciplinary Foot Team, Specialist Nursing	Non- Recurrent	848
Latent TB Initiative	NHS England	STH/Primary Care	Latent TB services for Sheffield	Non- Recurrent	88
CYPT IAPT Trainee staff support costs	NHS England	SCH	Funds backfill costs to release CAMHS staff to train in IAPT	Non- Recurrent	18
Health Led Employment Trial	Sheffield City Region	CCG	CCG Contract lead on Health Led Employment Trial Procurement	Non- Recurrent	58
Learning Disability Mortality Review Programme	NHS England	TBC	Map LeDeR maturity, capacity and capability across South Yorkshire & Bassetlaw as well as recommend developments and share best practice	Non- Recurrent	34
End of Life care Health Needs Assessment and Equity Audit	MacMillan	Mainly CCG Costs	Project to identify where development work is required to ensure equitable access to services for all Sheffield residents	Non- Recurrent	51
Suicide Prevention	NHS England via ICS	TBC	Schemes to help prevent suicides	Non- Recurrent	120
Personalisation	NHS England	CCG Internal	Provide project support to enhanced personalised care	Non- Recurrent	50
					1,380

In addition the CCG is in receipt of certain earmarked allocations linked to the Primary Care FYFV as follows:

Health and Social Care Network (HSCN)	NHS England	BT	Pays for rental on network link to each GP site	Non-Recurrent	190
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