

**Report from Audit and Integrated Governance Committee (AIGC)
 Meeting held on 10 September 2020**

Governing Body meeting

Item 17c

5 November 2020

Author(s)	Ms Carol Henderson, Committee Secretariat and Business Manager
Sponsor Director	Anthea Morris, Chair of Audit and Integrated Governance Committee
Purpose of Paper	
This paper summarises the key points arising from the CCG's Audit and Integrated Governance Committee meeting held on 10 September 2020	
Key Issues	
The key issues are as set out in the attached report.	
Is your report for Approval/Consideration/Noting	
Noting	
Recommendations/Action Required by Governing Body	
The Governing Body is asked to note the key messages in the attached summary report	
What assurance does this report provide to the Governing Body in relation to Governing Body Assurance Framework (GBAF) objectives?	
<p>Assurance Framework Number: The report links to all risks identified on the GBAF and also supports the good governance arrangements in place which are integral to each of the CCG's objectives contributing to a sound system of internal control.</p> <p>How does this paper provide assurance that the risk is being addressed? The Audit and Integrated Governance Committee is a Committee of the Governing Body and is integral to the good governance arrangements set out within the CCG's Constitution</p> <p>Is this an existing or additional control: Existing control</p>	
Are there any Resource Implications (including Financial, Staffing etc)?	
None	
Have you carried out an Equality Impact Assessment and is it attached?	
Not applicable	

Have you involved patients, carers and the public in the preparation of the report?

Not applicable

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1 Introduction

The Audit and Integrated Governance Committee (AIGC) met on 10 September 2020.

2 Key Messages

- 2.1 External Audit will have to report to the Secretary of State if we are, or are near, a deficit financial position. This will also impact on KPMG's Value for Money (VfM) Opinion for the current financial year. AIGC noted that the VfM requirements were changing and would become lengthier, with a publicly available report that AIGC would have the opportunity to review before it was published in the public domain. Further guidance on this was expected.
- 2.2 AIGC was joined by Mr Mark Tuckett, Accountable Care Partnership (ACP) Programme Director, who gave an overview of the ACP's governance that included how the ACP made decisions and what the framework was for making those decisions. He has promised to give more thought to Lay involvement in the ACP and to when the ACP may require its own Assurance Committee, which it currently doesn't have.
- 2.3 360 Assurance, our Internal Auditors, presented three reports. The report on Health Inequalities had received a Limited Assurance Opinion due to one high risk recommendation, which was being taken forward by the CCG's Executive Team.
- 2.4 AIGC approved the Internal Audit Workplan for 2020/21, which had been revised as a result of COVID.
- 2.5 AIGC received a report that described the CCG's governance structures in place during the COVID Pandemic, which was clear and easy to understand. AIGC agreed to keep it on the agenda for the foreseeable future and asked for this document to be presented to Governing Body for assurance and referral.
- 2.6 AIGC noted that the GBAF and COVID risk registers are to be reviewed and merged where appropriate.
- 2.7 AIGC noted the risk raised by the Quality Assurance Committee (QAC) relating to the dates of continuing healthcare assessments with potentially different outcomes depending on what date the patient was assessed, and to the potential reputational and financial risks to the CCG.
- 2.8 Prior to the formal meeting, AIGC held a one hour development session led by KPMG, to consider what its 'hot topics' for the future may be.

3. Recommendation

The Governing Body is invited to receive and noted the report.

Paper prepared by: Ms Carol Henderson, Committee Secretariat and Business Manager

On behalf of: Ms Anthea Morris, Audit and Integrated Governance Committee Chair

October 2020