

**Report from Audit and Integrated Governance Committee (AIGC)  
 Meeting held on 20 May 2021**

**Governing Body meeting**

**Item 17d**

**1 July 2021**

<b>Author(s)</b>	Ms Carol Henderson, Committee Secretariat and Business Manager
<b>Sponsor Director</b>	Anthea Morris, Chair of Audit and Integrated Governance Committee
<b>Purpose of Paper</b>	
This paper summarises the key points arising from the CCG's Audit and Integrated Governance Committee meeting held on 20 May 2021	
<b>Key Issues</b>	
The key issues are as set out in the attached report.	
<b>Is your report for Approval/Consideration/Noting</b>	
Noting	
<b>Recommendations/Action Required by Governing Body</b>	
The Governing Body is asked to note the key messages in the attached summary report	
<b>What assurance does this report provide to the Governing Body in relation to Governing Body Assurance Framework (GBAF) objectives?</b>	
<p><b>Assurance Framework Number:</b>                  The report links to all risks identified on the GBAF and also supports the good governance arrangements in place which are integral to each of the CCG's objectives contributing to a sound system of internal control.</p> <p><b>How does this paper provide assurance that the risk is being addressed?</b>                  The Audit and Integrated Governance Committee is a Committee of the Governing Body and is integral to the good governance arrangements set out within the CCG's Constitution</p> <p><b>Is this an existing or additional control:</b> Existing control</p>	
<b>Are there any Resource Implications (including Financial, Staffing etc)?</b>	
None	
<b>Have you carried out an Equality Impact Assessment and is it attached?</b>	
Not applicable	

***Have you involved patients, carers and the public in the preparation of the report?***

Not applicable

## **Report from Audit and Integrated Governance Committee (AIGC) Meeting held on 20 May 2021**

### **Governing Body meeting**

**1 July 2021**

#### **1 Introduction**

The Audit and Integrated Governance Committee (AIGC) met on 20 May 2021

#### **2 Key Messages**

- 2.1 The Committee reviewed, received and noted the papers for the end of the Financial Year to 31 March 2021, and they recommended the approval of the Annual Accounts, ISA 260 Management Report, Management Letter of Representation and Annual Report to Governing Body.
- 2.2 The Final Head of Internal Audit Opinion for 2020/21 was received and the CCG received **Significant Assurance** overall and in each of the three measures assessed. This shows that we have a sound framework of risk management and control within the organisation.

The Chair thanked the whole CCG team, KPMG External Audit, and 360 Assurance Internal Audit, for their work to get us to this level of assurance in difficult times and within the tight deadlines.

- 2.3 There have been two internal audits since the last AIGC meeting: Data Security and Protection which received the highest possible level of assurance (**Substantial**) and Financial Systems which received **Significant Assurance**.
- 2.4 The Register of Interests, Procurement, Gifts and Hospitality and Sponsorship was reviewed and it was noted that completion would increase for the next report
- 2.5 Governance Sub-committee is working on harmonising policies across South Yorkshire and Bassetlaw (SYB), including a Home Working Policy for the new normal.
- 2.6 AIGC members were pleased that the system for escalation of risks felt embedded, systematic and robust in the CCG's governance structure.

#### **3. Recommendation**

The Governing Body is invited to receive and note the report.

Paper prepared by Ms Carol Henderson, Corporate Governance Manager  
On behalf of Ms Anthea Morris, Audit and Integrated Governance Committee Chair

June 2021