

**Report from Audit and Integrated Governance Committee (AIGC)  
 Meeting held on 11 March 2021**

**Governing Body meeting**

**Item 16e**

**6 May 2021**

<b>Author(s)</b>	Ms Carol Henderson, Committee Secretariat and Business Manager
<b>Sponsor Director</b>	Anthea Morris, Chair of Audit and Integrated Governance Committee
<b>Purpose of Paper</b>	
This paper summarises the key points arising from the CCG's Audit and Integrated Governance Committee meeting held on 11 March 2021	
<b>Key Issues</b>	
The key issues are as set out in the attached report.	
<b>Is your report for Approval/Consideration/Noting</b>	
Noting	
<b>Recommendations/Action Required by Governing Body</b>	
The Governing Body is asked to note the key messages in the attached summary report	
<b>What assurance does this report provide to the Governing Body in relation to Governing Body Assurance Framework (GBAF) objectives?</b>	
<p><b>Assurance Framework Number:</b>                  The report links to all risks identified on the GBAF and also supports the good governance arrangements in place which are integral to each of the CCG's objectives contributing to a sound system of internal control.</p> <p><b>How does this paper provide assurance that the risk is being addressed?</b>                  The Audit and Integrated Governance Committee is a Committee of the Governing Body and is integral to the good governance arrangements set out within the CCG's Constitution</p> <p><b>Is this an existing or additional control:</b> Existing control</p>	
<b>Are there any Resource Implications (including Financial, Staffing etc)?</b>	
None	
<b>Have you carried out an Equality Impact Assessment and is it attached?</b>	
Not applicable	

***Have you involved patients, carers and the public in the preparation of the report?***

Not applicable

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#### **1 Introduction**

The Audit and Integrated Governance Committee (AIGC) met on 11 March 2021

#### **2 Key Messages**

- 2.1 AIGC received updates from Internal and External Audit on the work they were currently doing and needed to do between the date of the meeting and the finalisation of their year-end work. Assurances were received from the Executive Team that they knew what needed to be done in order to reach the desired year end position.
- 2.2 Internal Audit had issued three reports since the last AIGC meeting in December. Two gave significant assurance (HR Shared Services and Conflicts of Interests) and one was advisory (no opinion) on IT asset management. There had also been significant work done on closing internal audit recommendations with the overall position for the year much improved
- 2.3 External Audit reported that their interim work was largely complete with no major issues. There is a requirement for a separate piece of work on the Mental Health Investment Standards (MHIAs) where the requirements have changed and the CCG is still working through what it needs to do. The opinion will be published on our website.
- 2.4 AIGC approved the Internal Audit, Counter Fraud and AIGC work plans for 2021/22.
- 2.5 AIGC also approved the review of the Standing Orders, Scheme of Reservation and Delegation, Prime Financial Policies, detailed financial policies, Risk Management Strategy and Action Plan and the AIGC annual report for 2020/21.
- 2.6 AIGC noted the financial policies and estimations for the year and the year end timetable
- 2.7 AIGC reviewed the Governing Body Assurance Framework (GBAF) which was presented in its new format, and sought assurance on risk management.
- 2.8 AIGC noted the Counter Fraud progress report.
- 2.9 AIGC noted that there were many issues that the CCG is waiting for in terms of national guidance before being able to progress, including the future work on AIGC, transition plans, and staff policies.

2.10 AIGC noted the paper providing an updated overview of the CCG's governance arrangements during the COVID Pandemic.

### **3. Recommendation**

The Governing Body is invited to receive and noted the report.

Paper prepared by: Ms Carol Henderson, Committee Secretariat and Business Manager

On behalf of: Ms Anthea Morris, Audit and Integrated Governance Committee Chair

April 2021