

South Yorkshire and Bassetlaw Health Executive Group

Date: 11 January 2022

Subject: Progress update on ICS development

Report of: Will Cleary-Gray, Chief Operating Officer, SYB ICS

Sponsor: Pearse Butler, Chair SYB Health and Care Partnership, Chair Designate South Yorkshire Integrated Care Board

SUMMARY OF THE REPORT

This report provides an update on transition progress made over November and December. It builds on previous updates to the SYB Health Executive Group and sets out a number of key next steps.

KEY MESSAGES

- The naming convention for Integrated Care Boards (ICBs) was confirmed in December 2021.
- The approach to transition presented at the September HEG, including transition of functions, people, liabilities and assets and the associated due diligence of CCG and NHSEI functions, has been progressing well. It presented an approach for a landing position for April 2022. Aspects of this work has been slowed as a result of the level 4 incident and an interim due diligence report is scheduled for January 2022.
- Organisation development work on functional design of the ICB has been progressing well. The work began in October / November. The work has been slowed or rescheduled in some areas given the level 4 incident.
- A revised establishment date has been confirmed in the 2022/23 Planning guidance for ICBs. A summary of the impact is attached in A1 and the progress made to-date meets that the impact will be limited for South Yorkshire.
- Between September and November Partners engaged in both considering and giving feedback on national guidance and local proposals to support the establishment of the South Yorkshire Integrated Care Board (ICB) and its draft Constitution.
- The ICB draft Constitution, setting out board size, make-up and approach to eligibility, nomination and selection was proposed to NHS England on 3 December with feedback given on 23 December 2021. The draft constitution was approved by NHS England for South Yorkshire.
- Consultation with staff affected by establishing the ICB began in early December and closed week ending 9 January. Recruitment to wider non-executives and executive members is underway.
- A key next step is discussions with partners on the co-production work to inform wider governance and how the ICB can best support the ambitions and priorities of our Places, Collaboratives and Alliances.

PURPOSE OF THE REPORT

This report summarises progress to ensure system partners, their boards and organisations are supported with keeping up to date on key issues and progress.

Progress update on ICS development

Purpose

1. This report provides an update on transition progress made over the last month to establish statutory Integrated Care Systems (ICSs). It builds on previous updates to the SYB Health Executive Group and sets out a number of key next steps.
2. It summarises progress over November and December to ensure system partners, their boards and organisations are supported with keeping up to date on key issues and progress.

Summary

3. NHS England confirmed the naming convention for ICBs in December. For South Yorkshire the public name is **NHS South Yorkshire** and legal naming NHS South Yorkshire Integrated Care Board. Both will be adopted when ICBs are legally established. On the wider naming of ICSs and Integrated Care Partnerships (ICPs) systems were to share any further changes by 21 December 2021 – no further changes have been made to the proposals which formed part of the engagement with partners in November and [are at Appendix, A.](#)
4. The previous update to HEG summarised key guidance in context of the ICB and the steps required to ensure this was in place, including engaging on the draft constitution for NHS South Yorkshire (ICB) a [reminder of the engagement approach and timetable is at Appendix, B.](#) The draft constitution was shared with NHS England and Improvement and has been approved.
5. Work to progress actions as set out in our transition approach including recruitment of wider NHS South Yorkshire board members continues. Our designate chief executive Gavin Boyle formally takes up the role on 1st February 2022.
6. The NHS Planning Guidance set out a new target date for implementation of statutory ICSs of 1st July which is an additional full quarter. CCGs will remain in place until 30 June 2022 and CCGs and ICB designate leaders should agree the best way of working together to manage this. SYB has made significant progress to-date which puts us in a good position to manage the implications and complexities which will arise as a result. In light of the level 4 incident a number of adaptations and changes have already been made to the development work to account for the requirement to focus on the immediate operational priorities. We are also still working through the potential implications of the new target date and will update HEG partners as this develops.
7. Development work in our places and collaboratives continues to progress on ambition and priorities and the arrangements needed to continue to work well together and consider the relationship and arrangements needed between these and the future ICB / ICP to continue to support thriving places and strong and vibrant collaboratives and alliances. The additional preparation time afforded now by the new target date will help deepen these preparations.

Development of the South Yorkshire Health and Care Partnership (ICP)

8. We previously updated HEG on the ICS development Steering Groups keenness to progress the work we had done involving all partners to advance a refreshed South Yorkshire Health and Care Partnership. NHS South Yorkshire designate chair and

Chief executive met in January 2021 with Local Authority Chief executive to agree steps to establish South Yorkshire revised Health and Care Partnership (ICP). The new target date has given more time to engage with partners including Health and Well-being Boards as part of these steps.

Establishing NHS South Yorkshire

- 9 We previously clarified CCGs legal responsibility for proposing the ICB Constitution to NHS England and Improvement and engaging with relevant partners and how they have agreed a collective approach through the JCCCG and led by the designate chair and designate chief executive.
10. Engagement with partners on the draft constitution took place over October and November, including the Board size and makeup and approach to eligibility, nomination and section. Both formal and informal approaches were employed to seek insights and experiences of our partners to shape thinking as we've developed the new NHS South Yorkshire (ICB) Board and its draft constitution. This was led by the ICB designate chair and designate chief executive and engaged with Healthwatch's VCSE's, NHS Trusts and Foundations Trusts, Local Authorities and CCGs.
11. Final comments on the draft constitution were received on the 26 November 2021 and the constitution was proposed to NHS England and Improvement on 3 December 2021 with feedback received. The draft constitution was approved by NHS England and Improvement.
12. Steps are now being taken led by the ICB chair and chief executive to recruit to NHS South Yorkshire board (ICB). The consultation with staff affected commenced in early December 2021 and closed week ending 9 January 2022.
13. A key next step is to establish discussions with partners on the co- production work to inform wider governance and how NHS South Yorkshire (ICB) can best support the ambitions and priorities of our places, collaboratives and alliances. This will also involve revisiting our current ICS governance in advance of the new statutory arrangements and the new target date gives more time to progress this.
14. It is anticipated that the additional preparation time will give time for shadow running NHS South Yorkshire's Board during the first quarter of 2022

[A summary schematic of current ICS governance is at Appendix, C.](#)

Organisational Development work on functional design

15. The organisational development work on functional design is now well underway. It began with the staff most affected by the changes who will become employees of NHS South Yorkshire (ICB) and has now started to widen to involve the wider one workforce of the ICS and partners. A key objective of the work is to ensure there is a rich understanding of the transferring functions, good practice already supporting integration and opportunities. Christine Joy our interim director of OD and HR is leading this work. [A summary of the key functions is at appendix, D.](#) This work was slowed in December as a result of the level 4 incident and current pressure and key work considered for reschedule in February /March.

Due diligence

16. The arrangements to support CCG close-down and safe transition of people and

functions was previously set out to HEG. These arrangements continue to provide a robust framework and give assurance to those transferring organisations.

17. We previously updated on the ICS designate chairs and leadership meeting with the regional director and wider team in Q3 to share and discuss progress in relation to transition. This covered all aspects including CCG and NHSE transition and system development. Formal feedback has now been received and is part of this agenda for noting. A revised transition progress position (RoS) was shared with NHS England in December prior to publication of the new target date with no serious concerns identified.
18. Discussions are currently underway with CCGs and ICS leadership to consider what steps might be taken given the new target date for statutory ICS and how CCGs and the ICS can best work together. Current considerations include how our CCGs might use existing legal collaborative forums of the Joint Committee to support decision-making on issues which are forward looking and require ICB designate leadership. This include action on the 2022/23 planning guidance which will set the strategic planning, priorities, financial and contracting context for year 1 of the ICB.

Recommendations:

The Health Executive Group is asked to:

- Note the progress and summary of the position.
- Note the public and legal naming for the South Yorkshire Integrated Care Board
- Note the new target implementation date for statutory ICSs of 1st July and the initial assessment of impact.
- Note action being taken by the chair designate and LA Chief executives to consider steps to establish the new South Yorkshire Health and Care Partnership (ICP)
- Note formal approval of the draft constitution of NHS South Yorkshire (ICB)
- Note the next steps to recruit to NHS South Yorkshire Board (ICB)
- Note the functional design work and continue to support colleagues to engage in this work where appropriate.
- Note the Due Diligence work and assurance feedback from NHS England and Improvements
- Note discussions underway with CCGs to support and manage the requirement for CCGs to remain in place until 30 June 2022.

Appendix, A. ICS naming convention 7/12/21

ICS names as at 07.12.21

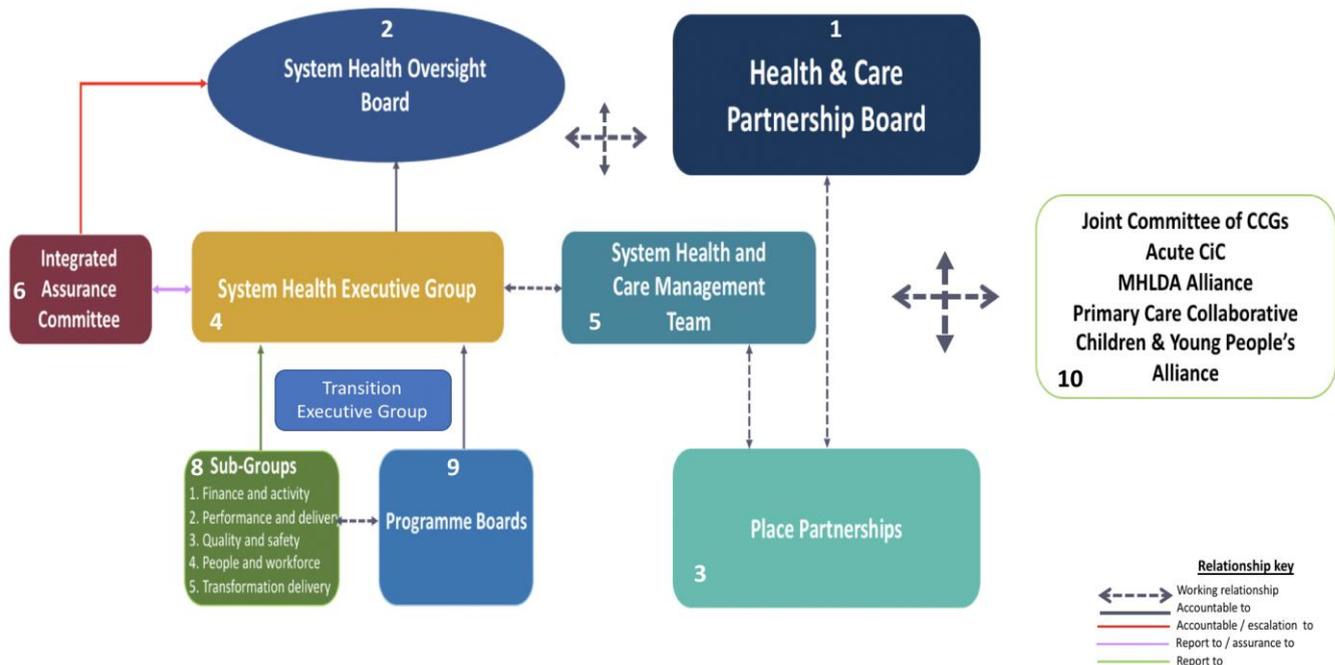
	Current ICS geographical descriptor	Integrated Care Board (ICB) legal name (applying naming convention and based on current geographical descriptor of ICS)	Public name of ICB	Proposed name of integrated care System (ICS)	Proposed description/name of Integrated Care Partnership (ICP)	NHS Region
4	South Yorkshire	NHS South Yorkshire Integrated Care Board	NHS South Yorkshire	South Yorkshire Integrated Care System	South Yorkshire Health and Care Partnership	North East and Yorkshire

Appendix, B. Previously shared partners engagement timetable on draft constitution now proposed to NHS England and Improvement

	Action for SY partners, led by ICB Designate Chair	To be completed by
Step 1	Early discussions with partners on the make-up of the board and the process for appointing partners	Friday 22 nd October 2021
Step 2	Follow up letters to partners with a small number of key questions for consideration and feedback.	Monday 25 th October 2021
Step 3	Deadline for SY partners to send in comments to the letter.	Monday 1 st November 2010
Step 4	Share proposals based on discussions and the feedback received from partners	Friday 12 th November 2021
Step 5	Second deadline for SY partners to send in comments	Friday 26 th November 2021
Step 6	Shared draft Constitution with NHS England and Improvement	Friday 3 rd December 2021

Appendix, C

Current summary schematic of SYB ICS Governance Arrangements



Appendix, D. Summary of functional design themes.

People, Culture and OD and Workforce Development
Population Health, Strategy, planning and commissioning
Finance and Capital Planning
Oversight and Assurance
EPRR
Quality, Safety and Improvement Support
Digital, Data and Business Intelligence
Clinical and Care Professional
Corporate
Partnerships, Involvement and Engagement